




**DEPARTMENT OF  
NATURAL RESOURCES**

**Forest Practices Division**  
1111 Washington St SE  
Olympia, WA 98504

**360-902-1400**  
FPD@DNR.WA.GOV  
WWW.DNR.WA.GOV

**MEMORANDUM**

April 15, 2021

**TO:** Forest Practices Board  
**FROM:** Mark Hicks, Adaptive Management Program Administrator   
**SUBJECT:** Transmittal: Eastside Modeling Effectiveness Project

At their March, 2021 meeting, TFW Policy formally considered the final report and associated materials for the study entitled Eastside Modeling Effectiveness Project (EMEP).

The EMEP Study used modeling to predict how current riparian stands in eastern Washington might respond to the eastside riparian prescriptions rules over time. EMEP evaluated riparian stand conditions using survey data collected for the 2008 Eastern Washington Riparian Assessment Project - Phase 1 (EWRAP).

The study was designed to inform the following questions:

1. To what extent do the current riparian stands meet the size and basal area thresholds for timber harvest across regulatory habitat types (elevation bands)?
2. Are there differences in stand characteristics associated with distance to the stream?
3. What are the projected rates and characteristics of stand mortality in riparian stands with and without management intervention?
4. How susceptible to insect, disease, and crown fire are the stands sampled in EWRAP Phase1, and how does susceptibility change over time?
5. How will stand characteristics change over time with no timber harvest and with timber harvest applied to the limits that rules allow?

The study authors found that overall as riparian zone growth was simulated for 50-years, without management tree size and stand density increased along with some increases in insect and disease susceptibility and potential fire severity, but with management these factors decreased.

Across the sample sites, many riparian management inner zones were not eligible for harvest primarily because they were located within the Bull Trout Overlay (BTO) area or because they lacked sufficient shade to allow management treatments. For inner zones that could be managed, either thinning throughout the zone or only thinning the outer 25 feet along larger streams in the BTO or where shade was deficient, management with available prescriptions had minimal effects

on tree growth and minimal reductions in insect and disease susceptibility. Management in outer zones, which removed more trees, increased tree growth and reduced insect and disease susceptibility, and potential wildfire severity. Higher levels of harvesting could result in forest growth and health benefits. However, potential benefits of harvesting at higher levels in riparian management zones would need to be balanced with potential negative impacts on ecological functions and processes in riparian habitats and overall aquatic system health and protection.

After reviewing the study findings, Policy agreed by consensus not to recommend the Board take any formal action in response to this study. Though no action is recommended by the Board, the study findings have been directed to the Science Advisory Group for the Eastside (SAGE) for incorporation into their eastside research strategy.

MH

Attachment

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# EASTSIDE MODELING EFFECTIVENESS PROJECT (EMEP)



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*Prepared by:*



**CRAMER  
FISH SCIENCES**

Kevin Ceder, Mark Teply,  
Kai Ross, Paul Anders

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**October 8<sup>th</sup>, 2020**

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# 1 INTRODUCTION

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2 This report provides the results from a modeling project: The Eastside Modeling Evaluation  
3 Project (EMEP). The purpose of this project was to model how current riparian stands in eastern  
4 Washington might respond to the Washington forest practices rules eastside riparian  
5 prescriptions over time. The EMEP evaluated riparian stand conditions using survey data from  
6 the previously completed Phase 1 of the Eastern Washington Riparian Assessment Project  
7 (EWRAP). EWRAP data were used to inform Forest Vegetation Simulator (FVS) modeling as the  
8 basis for evaluating: 1) current riparian stand conditions; 2) trajectory of riparian stand  
9 conditions; 3) eligibility of stands for timber harvest; and 4) trajectory of managed stand  
10 conditions.

11 The EMEP is part of an ongoing program that the Scientific Advisory Group Eastside (SAGE) has  
12 implemented to validate the Eastern Washington Type F riparian prescriptions.

## 13 Background

14 The WAC 222-30 provides forest practice rules for regulating timber harvest in eastside fish-  
15 bearing (Type S/F) streams. Two sections of WAC 222-30-022 regulate removal of trees from  
16 eastside riparian stands along fish-bearing streams on all but exempt 20-acre parcels. WAC 222-  
17 30-040 regulates shade requirements to maintain water temperature. Within the bull trout  
18 overlay (BTO), all available shade will be retained within 75 feet of the stream. Outside the BTO,  
19 no tree may be harvested within 75 feet of the stream if existing shade is insufficient to maintain  
20 compliance with temperature standards.

21 Once shade requirements are met, then WAC 222-30-022 provides further regulation for timber  
22 harvest along Type S/F streams within: 1) an “inner zone” (the area measured horizontally 30 to  
23 75 feet from the stream edge (for streams less than 15 feet wide) or 30 to 100 feet from the  
24 stream edge (for streams more than 15 feet wide); and 2) an “outer zone” (the area measured  
25 horizontally 0 to 55 feet from the outer edge of the inner zone, depending on the site class and  
26 stream width). No harvest will occur within 30 feet of the stream (core zone).

27 Specific riparian management zone harvest management prescriptions are described in WAC-  
28 222-30-022 for three timber habitat types: 1) ponderosa pine (PP, less than 2,500 feet elevation);  
29 2) mixed conifer (MC, 2,500 – 5,000 feet); and 3) high elevation (HE, greater than 5,000 feet).  
30 Prescriptions describe minimum residual stocking via basal area, trees per acre, size, species, and  
31 habitat standards.

32

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# 1 STUDY OBJECTIVES

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2 The EMEP addresses the following study questions and objectives taken from the EMEP Study  
3 Plan:

4 1. *To what extent do the current riparian stands meet the size and basal area thresholds for  
5 timber harvest across regulatory habitat types (elevation bands)?*

6  
7 • *Objective 1: Determine the number of stands eligible for timber harvest in the current  
8 year and changes by decade with no silvicultural manipulation simulated.*

9  
10 • *Objective 2: Develop harvest prescriptions that meet rule requirements and maximize  
11 timber harvest for each stand. Quantify the amount of basal area per acre and the  
12 number of trees per acre that can be cut from each stand that is eligible for harvest  
13 based on rule criteria for current stands.*

14  
15 2. *Are there differences in stand characteristics associated with distance to the stream?*

16  
17 • *Objective 1: Determine if there are differences in stand attributes by regulatory zone.*

18  
19 • *Objective 2: Determine if there are differences in stand attributes by ecological zone.*

20  
21 3. *What are the projected rates and characteristics of stand mortality in riparian stands with  
22 and without management intervention?*

23  
24 4. *How susceptible to insect, disease, and crown fire are the stands sampled in EWRAP Phase  
25 1, and how does susceptibility change over time?*

26  
27 • *Objective 1: Quantify stand susceptibility of current stands as: 1) regulatory and, 2)  
28 ecological zones for each insect and pathogen common to eastern Washington for  
29 which risk rating systems are available in Hessburg et al. (1999)*

30  
31 5. *How will stand characteristics change over time with no timber harvest and with timber  
32 harvest applied to the limits that rules allow?*

33  
34 • *Objective 1: Determine for each stand at current age and by decade to year 50: 1)  
35 basal area per acre, 2) trees per acre, 3) stand density index, 4) Curtis' relative density,  
36 5) quadratic mean diameter, 6) cubic-foot volume per acre, and 7) board-foot volume  
37 per acre.*

38 Study objectives were achieved primarily through FVS simulation modeling informed by EWRAP  
39 data for regulatory riparian management zones. This report describes the data used to inform  
40 simulation a modeling of the regulatory riparian management zones and the processes used to

1 delineate these from the data and determine harvest eligibility. Results to address each objective  
2 are then presented to describe:

- 3 • current conditions in eastern Washington riparian management zones,
- 4 • predicted changes in riparian management zones through time without management,
- 5 • eligibility of riparian management zones for harvest under the criteria in the Forest  
6 Practices Rules and the levels of harvest under applicable prescriptions,
- 7 • predicted changes in riparian management zones with management under the Forest  
8 Practices Rules, and
- 9 • a comparison of predicted unmanaged and managed conditions on those sites that  
10 were eligible for harvest.

11 A discussion of the data, models, and results follow to highlight salient points and synthesize the  
12 finding in the results followed by concluding remarks.

## 13 **METHODS**

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### 14 **Data Sets**

#### 15 ***Riparian Stand Data***

16 Forest Vegetation Simulator (FVS) modeling was informed by conditions inventoried in eastside  
17 riparian stands during Phase 1 of the Eastern Washington Riparian Assessment Project (EWRAP).  
18 Here we provide a summary of site selection and data collection used in this study, which were  
19 completed during previous projects. Complete details of site selection and data collection are  
20 available in Bonoff et al. (2006).

21 Data from the EWRAP included a sample of 103 sites along type S/F streams in eastern  
22 Washington on lands managed under the Forest and Fish Report (FFR). These sites were selected  
23 by the Scientific Advisory Group for the Eastside (SAGE) after intersecting a GIS layer representing  
24 eastern Washington FFR lands with spatially distributed random sample points (latitude and  
25 longitude), generated by the U.S. Environmental Protection Agency's Environmental Assessment  
26 Program in Corvallis, OR, using the Washington DNR's 1:24,000 hydrography layer. Following  
27 selection, sites were field validated to ensure no recent events (landslides, development, etc.)  
28 would preclude the site from inclusion in the EWRAP. The process of field validation included  
29 determining site access, and locating the monument, as well as estimations of DBH and canopy  
30 class, along with a short narrative describing the site. The resulting sample was spatially  
31 distributed across all FFR lands in eastern Washington (lands that were not federal, tribal, or  
32 covered under a Habitat Conservation Plan), providing a representative sample of riparian  
33 conditions on eastern Washington FFR lands.

34 Field data were collected at each site along a single 240-foot long transect installed perpendicular  
35 to the middle of the randomly-selected stream reach beginning at the edge of bankfull width at  
36 the edge of the channel migration zone. General physical data were collected at each site  
37 including: stream bankfull width to the nearest foot, stream direction to the nearest cardinal or

1 intercardinal direction, elevation at the start of the transect, slope of each 80-foot segment of  
2 the transect, and GPS latitude and longitude. Live and dead trees with DBH > 3.0 inches were  
3 sampled along each transect using horizontal line sampling (Ducey et al. 2002) with a basal area  
4 factor (BAF) of 20 for tree selection. Data collected from each selected sample tree included:  
5 species, diameter to the nearest inch, total height to the nearest foot, crown ratio to the nearest  
6 5%, crown class (dominant, co-dominant, etc.), condition (live, dead, etc.), damage agents,  
7 damage locations, and damage severity, decay class for dead trees, and the tree's distance along  
8 the transect from bankfull width to the nearest foot. Seedlings, shrubs, and noxious weeds were  
9 sampled using 10-foot-by-10-foot subplots located at 10-foot intervals along the transect with  
10 the first subplot center located 5 feet from the beginning of the transect. Data collected included:  
11 seedling species, DBH class to the nearest inch, tree count, and average height; and shrub and  
12 noxious weed species and percent cover to the nearest 5%. Plant associations were classified at  
13 three points along each transect (50, 130, and 210 feet for the origin) using keys provided by  
14 Kovalchick and Clausnitzer (2004) and were summarized and presented as a single value per  
15 transect.

16 Regulatory zones were delineated using stream bankfull width and site class with zone widths  
17 specified in WAC 222-30-022 (Figure 1) to create "stands" for each site. Sites were populated  
18 with field-sampled trees and seedlings for simulation and analysis to assess potential differences  
19 among zones within and across sites, and to simulate effects of zone-specific management under  
20 WAC 222-30-022. The number and width of zones varied by bankfull width and site class. All sites  
21 had a 30-foot wide core zone located adjacent to the location of bankfull width. All sites also had  
22 an inner zone adjacent to the core zone that was 45 feet wide for streams with bankfull widths  
23 of  $\leq 15$  feet, and 70 feet for streams with bankfull widths > 15 feet. Inner zones for sites on  
24 streams with bankfull width > 15 feet were further divided into two zones (45 feet wide adjacent  
25 to the core zone and a second 25-foot wide zone) to retain all shade within 75 feet of the stream  
26 to be modeled when sites fell within the bull trout overlay (BTO). An outer zone adjacent to the  
27 inner zone with width varying based on stream width and the site class of the site. For streams  
28 with bankfull width of  $\leq 15$  feet, the outer zones were 55, 35, and 15 feet wide for site classes I,  
29 II, and III, respectively. For streams with bankfull width > 15 feet the outer zones were 30 and 10  
30 feet wide for site classes I and II respectively. The resulting stands for simulation and analysis  
31 were named with a combination of the EWRAP site identifier and regulatory zone. Tree data were  
32 assigned to regulatory zone stands based on distance along the transect from bankfull width.  
33 Seedling data were assigned to regulatory zone stands based on the location of the seedling  
34 subplot along the transect. When a regulatory zone boundary split a subplot, which occurred at  
35 the outer boundary of the inner zone on streams with bankfull width of  $\leq 15$  feet or less, all  
36 seedlings in the subplot were assigned to both regulatory stands, since the actual location of  
37 seedling was not recorded, and the tree expansion factors were halved in each stand to provide  
38 correct overall weighting. Data from trees and seedlings located in the uplands outside the  
39 regulatory riparian management zones were not used in this analysis. Insufficient data existed  
40 within the EWRAP data set to reliably classify the data into ecological zones (e.g., floodplain,  
41 terrace) so ecological zones are not included in simulations and analyses. For the purposes of  
42 modeling, analysis, and interpretation, "riparian" stands or areas were assumed to reflect areas  
43 within these regulatory zones.

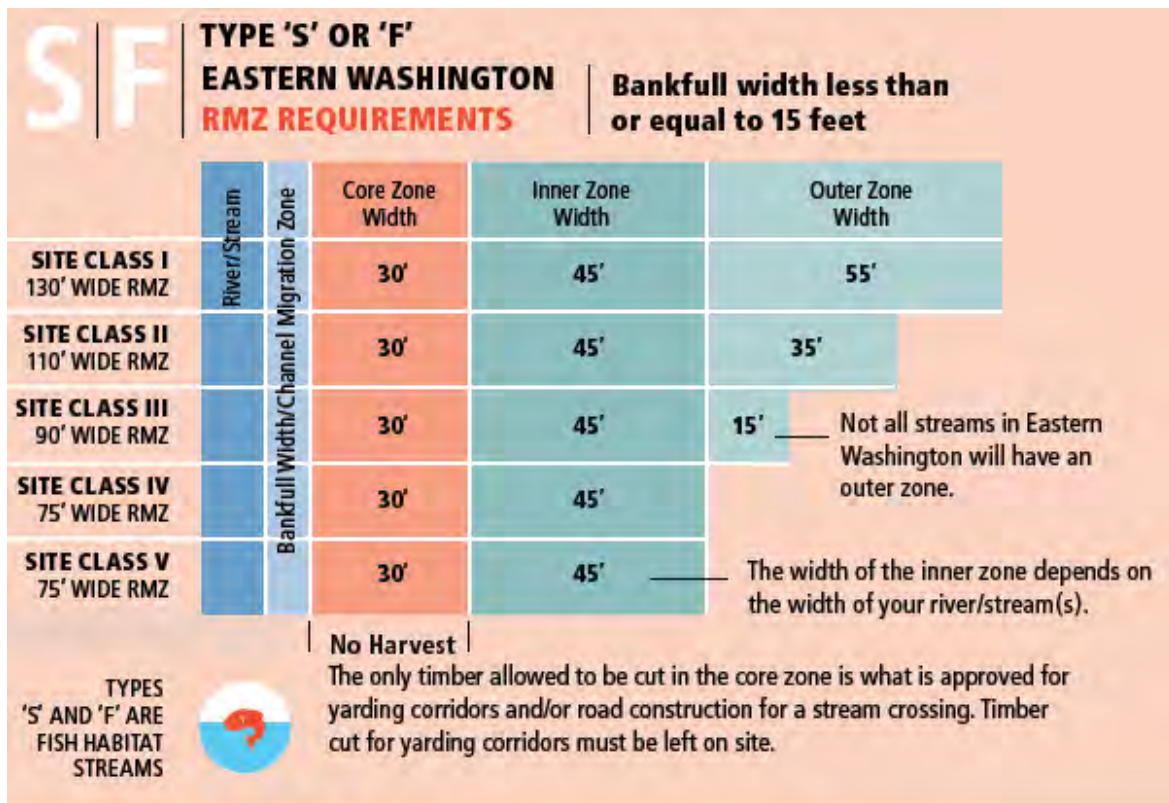
1 Site and tree data were transformed to FVS-ready formats by translating data into FVS and FVS  
2 variant-specific formats and values. A crosswalk of EWRAP attributes to FVS attributes is provided  
3 in Appendix A. Tree per acre weights for trees  $\geq 3$  inches diameter at breast height (dbh) were  
4 calculated using the modified horizontal line sampling method of Ducey et al. (2002), a method  
5 similar to the variable-radius point sampling method (e.g., Avery and Burkhart 1994). Under  
6 modified horizontal line sampling, tree-specific expansion factors were calculated based on tree  
7 dbh, the plot radius factor used in sampling, and sampling transect length. When calculating tree  
8 expansion factors for regulatory zone stands, transect length in the zone (zone width) was used.  
9 Expansion factors for seedlings (trees  $\leq 3$  inches dbh) were calculated using subplot area. Because  
10 this study compiled tree and seedling data into regulatory stands, the tree expansion factors and  
11 tree data summary values may differ from those reported in the Bonoff et al. 2006. The resulting  
12 tables were loaded into an FVS-ready Microsoft Access database that was used as the data source  
13 for FVS simulations using the FVS Database Extension (DB-FVS; Crookston et al. 2003)

14

15

16

17



1  
2 Figure 1: Eastern Washington regulatory zone delineation criteria based on stream bankfull width and site  
3 class. Reproduced from Forest Practices Illustrated, 2009 update.

4 **Ancillary Data**

5 In addition to the EWRAP data, ancillary data were compiled to support harvest eligibility  
6 determinations. Specifically, harvest eligibility relative to shade requirements in WAC 222-30-040



1 required additional knowledge of whether the site fell within the bull trout overlay (BTO) and  
 2 represented regional stream temperature characteristics for the site. The extent of the bull trout  
 3 overlay was represented by the BTO map (WAC 222-16-010). A BTO map GIS layer was not  
 4 available to allow spatial classification of each site so an electronic version of the map was  
 5 registered, to the best of our ability, in the GIS to determine which sites were located within the  
 6 bull trout overlay. Sites were located on the Washington State Department of Natural Resources  
 7 Stream Temperature Class layer<sup>1</sup> to determine the regional stream temperature characteristics  
 8 for each site. These data were used following procedures specified in WAC 222-30-040, including  
 9 procedures and nomographs in Forest Practices Board Manual Section 1, to determine required  
 10 shade levels for each site. After locating the site within the BTO and stream temperature layers  
 11 the resulting distribution of sites within each BTO/temperature requirement is show in Table 1.

12 Table 1: Numbers of EWRAP sites that are inside and outside the bull trout overlay (BTO) by forest type  
 13 and temperature requirements.

	Mixed Conifer		Ponderosa Pine	
	16°C	18°C	16°C	18°C
Outside BTO	13	6	4	7
Inside BTO	18	19	15	16

14

## 15 FVS Simulations

16 Forest growth and yield, potential fire hazard, and insect and disease rating input metrics were  
 17 simulated for all regulatory zone stands with no-harvest and with all applicable management  
 18 alternatives based on harvest eligibility criteria in WAC 222-30-022 (1) using applicable variants  
 19 of the Forest Vegetation Simulator (FVS) model (Dixon et al. 2002). Simulations occurred over a  
 20 50-year analysis window with 10-year simulation periods. FVS is a standard growth and yield  
 21 model used by public and private sector forestland management agencies and companies that is  
 22 localized to regional forest conditions in specific FVS variants. Three variants were used for this  
 23 study: 1) Blue Mountains (BM) (Keyser and Dixon 2008a) for all sites in southeast Washington; 2)  
 24 East Cascades (EC) (Keyser and Dixon 2008b) for sites in the east Cascade mountains, northcentral  
 25 Washington and northeast Washington west of the Columbia River; and 3) Inland Empire (IE)  
 26 (Keyser 2008) for all sites in northeast Washington east of the Columbia River. The Fire and Fuels  
 27 Extension (FFE) for FVS (Rebain 2010) was also used with each variant to simulate potential fire  
 28 hazard rating inputs. Insect and disease rating input metrics were calculated during growth and  
 29 yield simulations using the dynamic computation (COMPUTE) functionality of FVS. While other  
 30 growth and yield models were available for eastern Washington, FVS was the only publicly  
 31 available, open source forest growth and yield model that provided all the simulation capabilities  
 32 needed for this study. Additionally, while FVS was fit to upland conditions, FVS growth is keyed

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<sup>1</sup> Data downloaded from the Washington State DNR GIS Forest Practices Data web site  
<http://www.dnr.wa.gov/programs-and-services/forest-practices/providing-gis-data-forest-practices-activities-throughout> (last accessed 6/1/2016).

1 to habitat types so that when it is matched to the habitat types in the riparian zones it should  
2 predict the growth and yield of these sites well.

3 All FVS simulations included common configuration and parameterization to ensure comparable  
4 simulation execution and output across all sites, zones, and variants. The following keywords  
5 (Van Dyck and Smith-Mateja 2015) were used:

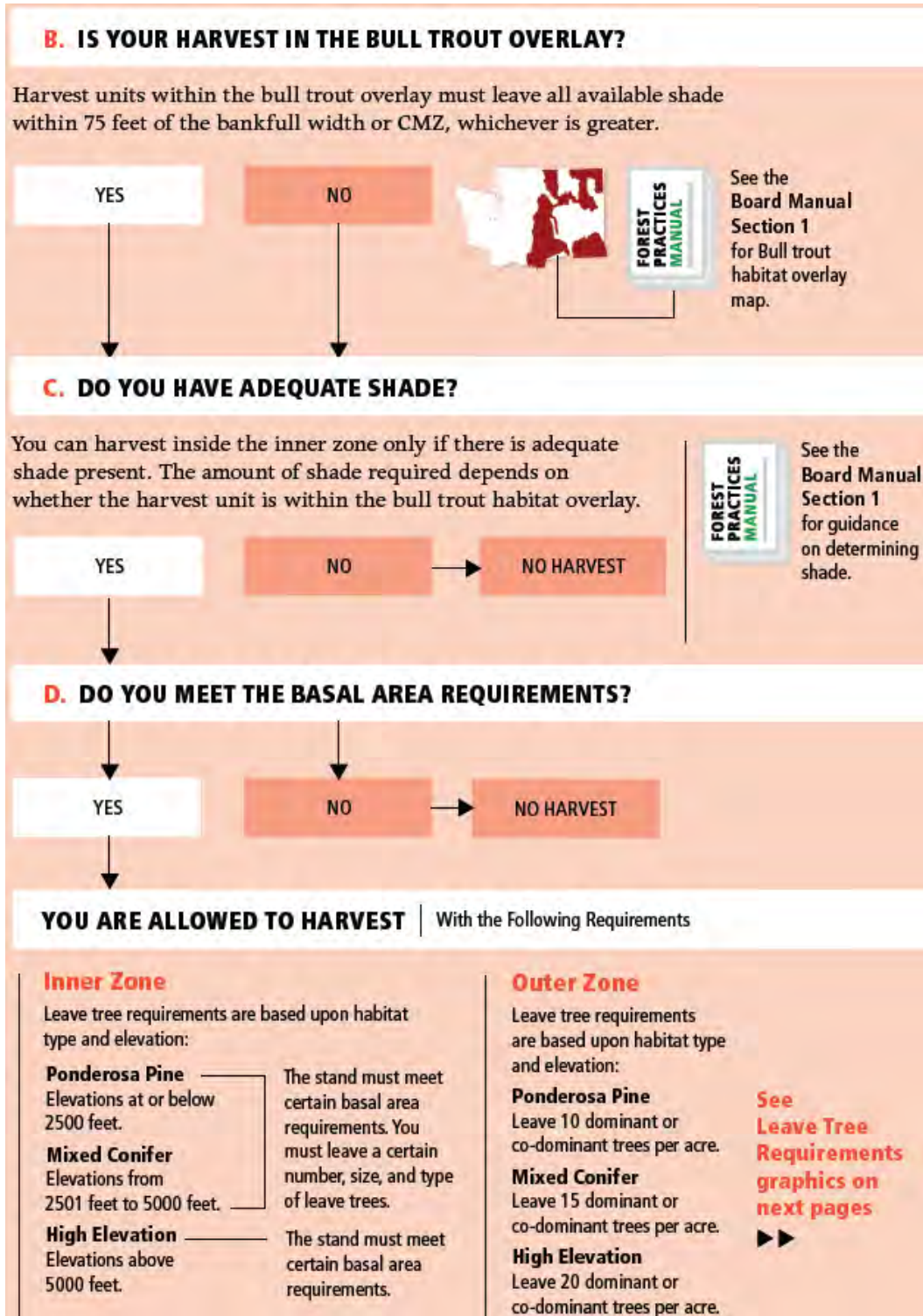
- 6 • NOAUTOES – Disabled automatic regeneration in the IE FVS variant – the only IE variant  
7 with automatic regeneration;
- 8 • TREELIST – Created FVS simulation tree lists;
- 9 • CUTLIST – Created tree list of all trees cut in harvest simulations;
- 10 • DATABASE – Enabled the database extension to use the FVS-ready database as data  
11 source and destination;
- 12 • COMPUTE – Specified variables to compute during the FVS simulation for post-processing  
13 (see Appendix C for a listing of all COMPUTE statements); and
- 14 • NUMCYCLE – Set the number of simulation cycles.
- 15 • POTFIRE – Enabled FFE potential fire report that provides fire hazard rating inputs.
- 16 • COMPUTE – Enabled dynamic metric calculation for insect and disease rating input  
17 metrics.
- 18 • STRCLASS – Enabled structural class variable calculations to provide input for the  
19 Hessburg insect and disease rating models used in COMPUTE (see below).

20 Because no growth data were collected during the EWRAP data collection and no independently  
21 collected growth data were available for this study, no height- or diameter-growth calibration  
22 were used in simulations. While regeneration may be important in growth and yield simulations,  
23 predicting regeneration accurately was complex and difficult, (Oliver and Larson 1996, Ek et al.  
24 1997). Additionally, the location of regeneration trees would be needed to inform shade  
25 modeling so the selection of the location and density of regeneration could bias shade  
26 calculations. For these reasons the choice was made to exclude regeneration from FVS  
27 simulations. The NUMCYCLE keyword was set for six simulation cycles so that fire hazard and  
28 insect and disease rating input metrics would be output for each 50-year simulation period. The  
29 reason for this is that FFE and COMPUTE metrics are back-calculated with each simulation cycle.  
30 To calculate FFE and COMPUTE metrics for the initial year of the simulation FVS must be  
31 simulated for one cycle because FVS must calculate the initial year metrics. Calculation of FFE and  
32 COMPUTE metrics for all five simulation periods of the 50-year simulation window required FVS  
33 to simulate six cycles. During analysis and reporting only FVS outputs for the initial conditions,  
34 period 0 (year 0), and the first five simulation periods, period 1 (year 10) through period 5 (year  
35 50), were used, including back-calculated FFE and COMPUTE metrics from the sixth growth cycle.  
36 When growth metrics were reported, only periods 1 through 5 were reported with each year  
37 representing growth that occurred in the 10-year period (period 1 growth represented growth  
38 from year 0 to year 10, period 2 growth from year 10 to year 20, etc.).

39 Two sets of FVS simulations were conducted to assess trajectories of regulatory zone stands  
40 through time both without and with management. First, simulations were performed without  
41 harvest to characterize current stand conditions, determine the trajectory of stands without

1 management intervention, and provide data needed to determine future harvest eligibility. After  
2 no-harvest simulations were performed, the resulting data for inner and outer zones were  
3 processed to determine when the zones were eligible for harvest based on the criteria in WAC  
4 222-30-022(1)(b) and (c) and WAC 222-30-040 (Figure 2) on a site-by-site basis for each  
5 simulation year.

6



2

3 Figure 2: Process diagram for determining inner and outer zone harvest eligibility under WAC 222-30-022  
 4 (1) (b) and (c) and WAC 222-30-040. Reproduced from Forest Practices Illustrated, 2009 update.

1 ***Harvest Eligibility***

2 Each site was first evaluated to determine shade requirements to maintain water temperature  
3 under WAC 222-30-040: determine if the site falls in the BTO, determine the stream water  
4 temperature classification, then determine minimum canopy closure requirements based on the  
5 site’s temperature classification and elevation. Each site was located within the spatially  
6 referenced BTO map described above to determine whether it fell within the BTO. If a site was  
7 located within the BTO, prescriptions were generated to retain all available shade within 75 feet  
8 of the stream per WAC 222-30-040(1) making the entire inner zone of sites with small streams  
9 and the inner 45 feet of the inner zones of sites with large streams ineligible for harvest. Stream  
10 temperature classification was determined by the location of each site according to the  
11 Washington State Department of Natural Resources Stream Temperature described above.  
12 Minimum canopy closure requirements were determined using the eastern Washington canopy  
13 cover nomograph from the Forest Practices Board Manual Section 1 using the temperature  
14 classifications and elevation data.

15 Instream shade canopy closure data were not collected as part of the EWRAP project and  
16 therefore had to be estimated for each stand. Shade assessments were performed using methods  
17 from the Washington Forest Practices Watershed Analysis Manual Riparian Function Module for  
18 wide streams. With this approach, the angle from the center of the stream to the simulated top  
19 of a tree in the riparian zone was calculated and used to determine the percent of sky blocked by  
20 that tree. For stream shade estimates we used each tree’s distance from the stream centerline,  
21 tree height, crown ratio, crown width, along with the number of trees per acre (TPA) and transect  
22 segment slopes to approximate the percent of sky above the stream that was blocked by trees in  
23 the riparian zone.

24 Several simplifying assumptions regarding trees in the riparian zone were made in this process:

- 25 • All trees in the riparian zone represented by a sample tree in the tree list were uniformly  
26 distributed in the riparian zone at the same distance from the stream as the sample tree.  
27 All trees were uniformly spaced, with spacing determined by the number of trees per acre  
28 represented by the sample tree. For example, if a sample tree represented 10 TPA then  
29 each tree accommodated an average of 4,356 square feet of the acre (one tenth of an  
30 acre), resulting in an average spacing of 66 feet between trees.
- 31 • Because additional sample trees are added when moving away from the stream, the  
32 number of trees potentially blocking the sky is additive. With each additional sample tree  
33 added to the estimation process, the average distance between trees parallel to the  
34 stream centerline decreases.
- 35 • When the average crown width of sample trees used to estimate the amount of sky  
36 blocked was at least the average tree spacing, adding additional sample trees will not  
37 increase the amount of sky blocked. At this point the riparian zone trees created a “wall”  
38 of tree crowns that blocked sky.
- 39 • The average amount of sky above the stream that was blocked by trees was  
40 representative of and proportional to the amount of shade provided to the stream by the  
41 riparian zone.

1 Estimating the percent of sky blocked by riparian zone trees was performed by:

- 2 1. Ranking sample trees for all regulatory stand zones by distance from the stream.
- 3 2. For each sample tree, calculating calculate the total number of TPA represented by that
- 4 tree and all trees closer to the stream to determine average tree spacing as each
- 5 additional sample tree was added.
- 6 3. For each sample tree calculate average crown width of the sample tree and all sample
- 7 trees closer to the stream using sample TPA as weights in the average calculations.
- 8 4. For all sample trees where average crown width was less than average spacing, we:
- 9 a. Calculated the total height of each sample tree above the stream level (total tree
- 10 height plus topographic elevation of the riparian zone above the bankfull stream
- 11 surface) using the tree's total height, distance from the stream and the slopes of
- 12 each 80-foot transect segment.
- 13 b. Calculated the elevation angle to the top of each tree as the arctangent of total
- 14 tree height above the stream and distance from stream centerline.
- 15 c. Calculated the elevation angle to a point on the outside of the crown based on
- 16 crown width estimated by (?)FVS at the top of the bottom third of the crown. This
- 17 angle was larger than the angle to the top of the tree if the crown was close to or
- 18 overhung the stream.
- 19 d. Determined the percent of the sky blocked by each sample tree by dividing the
- 20 larger of the tree top and crown elevation angles by 90 degrees.
- 21 5. Calculating the overall amount of the sky blocked as a weighted average of the sky
- 22 blocked by each sample tree, using sample TPA as weights.

23 The resulting amount of blocked sky was assumed to approximate stream shading and was used  
24 to determine harvest eligibility. Shade calculations were performed for each site using the  
25 current year of data and for each no-harvest FVS simulation period to estimate when a site  
26 initially became eligible for harvest, if the site was not eligible under current conditions.

27 If a site was determined to provide adequate shade, inner zone harvest eligibility was estimated  
28 to determine whether there was adequate stocking per WAC 222-030-022(1)(b). Using the tree  
29 and seedling data from the initial year and from each decade of the no-harvest FVS simulations,  
30 basal area per acre of trees  $\geq$  6 inches dbh and total TPA were calculated for the inner zone to  
31 determine if the stand had sufficient basal area to be eligible for high basal area or low basal  
32 area, high density harvest. Harvest eligibility criteria included:

- 33 • High basal area harvest:
  - 34 ○ 110 ft<sup>2</sup>/ac. and 50 TPA for PP (WAC 222-030-22 (1)(b)(i)(C)(I)),
  - 35 ○ 110 ft<sup>2</sup>/ac., 130 ft<sup>2</sup>/ac., or 150 ft<sup>2</sup>/ac. for site indices <90, between 90 and 110,
  - 36 and > 110, respectively, and 50 TPA for MC (WAC 222-030-22 (1)(b)(ii)(C)(I)),
  - 37 and
  - 38 ○ sufficient basal area to meet the desired future condition of 325 ft<sup>2</sup>/ac. at 140
  - 39 years (WAC 222-30-021 (1)(b)) for HE
- 40 • Low basal area high density harvest:
  - 41 ○ < 60 ft<sup>2</sup>/ac. and more than 100 TPA for PP (WAC 222-030-22 (1)(b)(i)(D)),



- < 110 ft<sup>2</sup>/ac., 130 ft<sup>2</sup>/ac., or 150 ft<sup>2</sup>/ac. for site indices < 90, between 90 and 110, and greater than 110, respectively, and more than 120 TPA for MC (WAC 222-030-22 (1)(b)(ii)(D)).

Outer zones could be harvested independently of inner zone shade and stocking requirements. Outer zones were evaluated at each time step to determine if they had enough dominant or co-dominant trees according to WAC 222-030-22 (1)(c). Dominant and co-dominant TPA were derived from FVS simulation output for outer zone stands and were eligible for harvest if there were enough dominant and co-dominant trees with dbh  $\geq$  10.0 inches to meet the leave tree requirements in WAC 222-030-22 (1) (c) (e.g.), 10 trees pre acre of PP or 12 trees per acre for MC, or WAC 222-030-21 (1)(b), 20  $\geq$  12 inch dbh trees per acre in HE sites.

Based on harvest eligibility requirements, regulatory stands could have one of the following prescription types:

- No-harvest (NA) – No harvest is applied to the regulatory zone stand. This was the baseline condition for all stands.
- Retain all shade (RAS) – No harvest within 75 feet of the stream. This was used when the site fell within the BTO.
- Forest and Fish Report (FFR) – Thinning to the specifications in WAC 222-30-022 (1) (b) and (c).
- A combination of RAS and FFR (RAS-FFR). This was used at sites with large streams in the BTO that had sufficient shade to allow harvesting in the outer 25 feet of the inner zone.

***Harvest Simulations***

For all simulation periods at which inner and outer zone stands were estimated to be eligible for harvest, pre-processing was performed. This involved using FVS keywords to simulate the required leave tree specifications based on timber habitat type and regulatory zone (WAC 222-30-022 (1)).

Generally, the following FFR prescriptions were simulated:

- Because high basal area thinning specifications in the inner zone were too complex to specify as FVS keywords directly, they required stand- and harvest period-specific keyword configurations. Prescriptions specified using one of three options to meet basal area leave targets—60 ft<sup>2</sup>/acre of basal area in ponderosa pine timber habitat type stands or 70 ft<sup>2</sup>/acre, 90 ft<sup>2</sup>/ac or 110 ft<sup>2</sup>/ac in low, moderate, or high site class mixed conifer sites:
  - If 50 TPA met or exceeded the basal area target, thin from below leaving the largest 50 trees per acre. This option was specified using the THINBTA (thin from below to TPA target) FVS keyword with a target of 50 TPA and a minimum dbh of 6 inches;
  - If 50 TPA did not meet basal area targets, leave additional trees until targets are met. This option was specified using the THINBBA (thin from below to basal area target) FVS keyword with a target of 60 ft<sup>2</sup>/acre of basal area in PP timber habitat

- 1 type sites or 70 ft<sup>2</sup>/acre, 90 ft<sup>2</sup>/ac or 110 ft<sup>2</sup>/ac in low, moderate, or high site class  
2 MC sites and minimum dbh of 6 inches; or
- 3 ○ If more than 100 TPA were needed to meet basal area targets, leave 100 TPA. This  
4 option was specified using the THINBTA FVS keyword with a target of 100 TPA and  
5 a minimum dbh of 6 inches.
  - 6 ● For low basal area high density prescriptions in the inner zone leave the 100 largest TPA  
7 for PP sites and the largest 120 TPA for MC sites. These options were specified using the  
8 THINBTA FVS keyword with the target of 100 or 120 TPA, depending on the site's timber  
9 habitat type.
  - 10 ● Outer zone thinning leaves 10 dominant or co-dominant TPA in ponderosa pine sites or  
11 12 dominant or co-dominant TPA in mixed conifer sites. These options were specified  
12 using the THINBTA FVS keyword with the target of 10 or 12 TPA, depending on the site's  
13 timber habitat type and a minimum dbh of 10 inches.
  - 14 ● Logging damage was simulated by removing 50% of all trees with dbh < 6 inches using the  
15 THINBTA keyword with a target of 0 trees per acre, a cutting efficiency of 0.5, and a  
16 maximum dbh of 6 inches. This value assumes that there are many small trees that would  
17 be damaged when falling and removing understory trees, which is based on professional  
18 judgement and applied to all harvested stands for consistency.

19 Harvest eligibility using the foregoing logic was determined by using no-harvest simulations for  
20 each simulation period where there had been no prior management simulated. Different harvest  
21 eligibility and/or harvest prescriptions could be determined on up to five simulation periods for  
22 each site and zone. When a stand was determined to be harvest-eligible, FVS keyword files were  
23 generated that included common FVS keywords (as described under no-harvest simulations)  
24 along with harvest prescription keywords. See Appendix E for FVS keywords used to simulate  
25 each harvest type. Post-harvest simulations were conducted for the number of periods necessary  
26 to produce an overall 50-year simulation period. Because no high elevation sites met harvest  
27 eligibility requirements, harvest was not simulated for these sites.

## 28 **Post-Processing and Data Analysis**

29 Simulation results were compiled into one comprehensive table. (See Appendix F for a data  
30 dictionary for the comprehensive results table). To facilitate interpretation of harvest eligibility  
31 and prescription, the following information was captured for harvest records:

- 32 ● Stream width from the EWRAP Phase 1 database;
- 33 ● Whether the stand was in the bull trout overlay based on BTO map;
- 34 ● Stream temperature class from the DNR stream temperature layer;
- 35 ● Site class from EWRAP Phase 1 data;
- 36 ● Elevation from the EWRAP Phase 1 data;
- 37 ● Timber habitat type calculated from elevation (WAC 222-16-010);
- 38 ● Minimum shade requirement for the site (WAC 222-30-040 and Forest Practices Board  
39 Manual Section M-1); and,

- 1 • Prescription type for inner and outer zones from application of WAC 222-030-022(1)(b)  
2 WAC 222-030-022(1)(c) respectively.

3 The following simulation output was then captured for each site, simulation type (no-harvest or  
4 harvest), harvest period (harvest simulations only), simulation period, and tree category  
5 (live/dead, by dbh class), by regulatory zone:

- 6 • Standing tree quadratic mean diameter, basal area per acre, trees per acre, stand density  
7 index, Curtis' relative density, board-foot, and cubic-foot volume;
- 8 • Harvested tree quadratic mean diameter, basal area per acre, TPA, board-foot volume,  
9 and cubic-foot volume; and,
- 10 • Forest health and risk metrics included:
  - 11 ○ Predicted surface and total flame lengths
  - 12 ○ Risk rating for each insect and pathogen from Hessburg et al. (1999).

13 Stand density, tree volume, and flame length metrics were calculated by FVS using internal FVS  
14 functions. For sites in the east Cascades, north central Washington, and northeast Washington,  
15 methods based on Behre's Hyperbola model were used to calculate tree volumes (USDS Forest  
16 Service 1978). For sites in southeast Washington, methods based on Flewelling's Stem Profile  
17 model were used (Flewelling and Raynes 1993; Flewelling 1993). Merchantability specifications  
18 for board-foot volume included a 1-foot stump and 4.5-inch top inside bark for all species, a 6-  
19 inch minimum dbh for lodgepole pine, and a 7-inch minimum dbh for all other species. Cubic-  
20 foot volumes included the top and stump.

21 Hessburg et al. (1999) risk ratings represented the susceptibility of vegetation to alteration from  
22 various insect and disease pathogens. They were calculated within FVS using COMPUTE  
23 statements developed for this project (see above). Information regarding landscape parameters  
24 in the Hessburg et al. (1999) risk ratings, host connectivity, logging disturbance, and topographic  
25 setting, did not exist so were held constant at 2 (moderate connectivity), 1 (no logging), and 1  
26 (other settings or no host present) respectively. Stand level parameters were informed by FVS  
27 output. Parameters and risk ratings varied for the 20 pathogens evaluated. For more information  
28 on risk ratings, see Hessburg et al. (1999).

29 Tests for statistically significant differences between timber habitat types, regulatory zones,  
30 growth periods, and/or harvest timing were performed with ANOVA, using the aov function in  
31 the R statistical software environment (R Core Team 2018), for current and year 50 conditions,  
32 and repeated measures ANOVA, using the aov\_car function in the afex R package (Singmann et  
33 al. 2018) for growth trajectories. All analyses used an alpha level of 0.05. All ANOVA models  
34 included, in order, timber habitat type and regulatory zone with growth year and harvest year  
35 added as needed. Because outer zones were not present at all sites it was necessary to use two  
36 repeated measures ANOVA tests: one to test differences between core and inner zones using all  
37 sites, and a second to test differences between core, inner, and outer zones. We used only the  
38 sites with outer zones to avoid errors related to a sparse error matrix. Where significant  
39 differences were found, pair-wise comparisons were performed using the Tukey Honestly  
40 Significant Difference test following ANOVA or pairwise t-tests with Bonferroni corrections,

1 following repeated measures ANOVA. Pair-wise comparisons were limited to: among timber  
2 habitat types, regulatory zones, growth periods, and/or harvest timings across all sites and  
3 among regulatory zones, growth periods, and/or harvest timings across sites within timber  
4 habitat types separately if there were significant differences between habitat types.

5

## 6 **RESULTS**

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### 7 **Summary of Current Riparian Stand Conditions**

8 Most sites sampled in the EWRAP occurred within the ponderosa pine (PP, N=42) and mixed  
9 conifer timber habitat types (MC, N = 58) as defined in WAC 222-16-010. Overall, stand ages in  
10 the EWRAP data set ranged from 23 to 155 years (Figure 3), including include two MC plots with  
11 no live trees and no stand age. Stand ages at most sites ranged from 40 and 120 years old. The  
12 PP timber habitat type sites tended to have a higher proportion of young stands than the MC  
13 sites, with 73% and 65% of the stands  $\leq$  80 years old, respectively. Only three sites were sampled  
14 within the high elevation timber habitat type (HE). Of these, only two sites had trees as one site  
15 burned prior to sampling. None of the HE sites were eligible for harvesting within the next 50  
16 years according to the WAC described in the previous section. Therefore, these sites were  
17 excluded from further analyses. Additionally, two MC sites (3256 and 23562) had, no trees in any  
18 regulatory zone and were also excluded from further analyses. After excluding the HE sites and  
19 sites without trees, data from 42 PP sites and 56 MC sites were used for analyses.

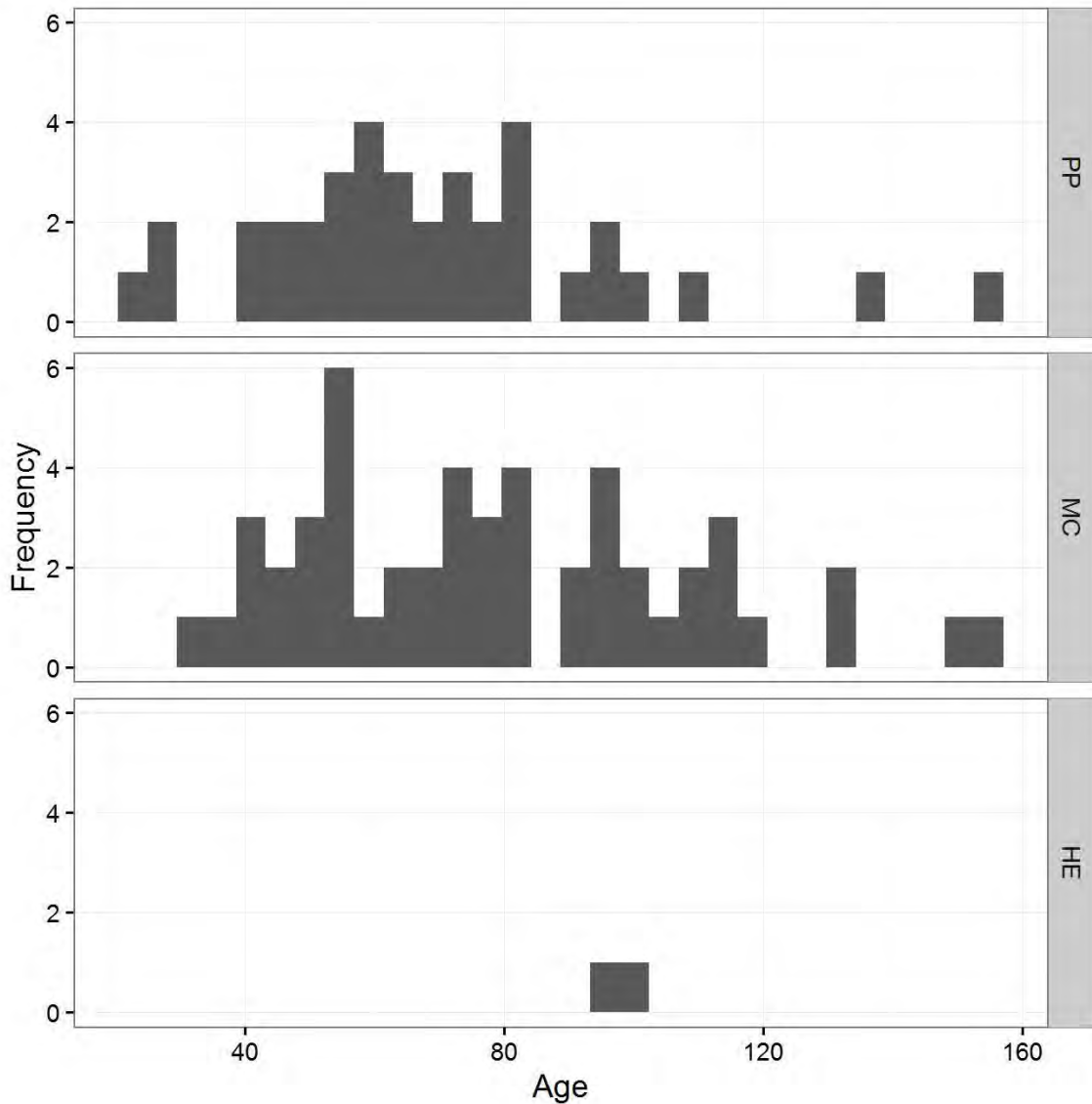
20 Not all sites had outer zones, as reported in the EWRAP Phase 1 Report (Bonoff et al. 2008). Outer  
21 zones were not required on any streams at class IV and V sites or on large steams at class III sites.  
22 Among the 42 PP timber habitat type sites, 22 had outer zones, including 3 of 15 sites on large  
23 streams and 19 of 27 sites on small streams. Among the 56 MC timber habitat type sites, 21 had  
24 outer zones, including 9 of 25 sites on large streams and 12 of 31 sites on small streams.

#### 25 ***Stand density and tree size***

26 Distributions of stand density and size of trees > 6 inches dbh on EWRAP sites as measured with  
27 basal area per acre (BA), quadratic mean diameter (QMD), trees per acre (TPA), Reinike's Stand  
28 Density Index (SDI) and Curtis' Relative Density (RD) were summarized **Error! Reference source**  
29 **not found.**by timber habitat type and regulatory zone. Statistics are listed in Appendix G. Overall,  
30 stand BA, QMD, TPA, SDI and RD were comparable among zones, with some small non-significant  
31 differences between timber habitat types and across zones. For instance, the overall mean basal  
32 area across all sites regardless of timber habitat type or zone was 122 ft<sup>2</sup>/ac. Mean basal areas  
33 for PP and MC sites were nearly identical at 122 ft<sup>2</sup>/ac and 121 ft<sup>2</sup>/ac respectively. Across  
34 regulatory zones, regardless of timber habitat type, basal areas generally decreased moving away  
35 from the stream, with mean basal area values of 128 ft<sup>2</sup>/ac, 116ft<sup>2</sup>/ac, and 123 ft<sup>2</sup>/ac for core,  
36 inner, and outer zones respectively. Basal area patterns varied across regulatory zones in  
37 different timber habitat types. For example, basal area on PP sites decreased moving away from  
38 the water, with mean basal areas of 127 ft<sup>2</sup>/ac, 126 ft<sup>2</sup>/ac, and 106 ft<sup>2</sup>/ac in the core, inner, and  
39 outer zones, respectively. Conversely, basal area on MC sites generally increased moving away

1 from the stream, with mean basal areas 128 ft<sup>2</sup>/ac, 108 ft<sup>2</sup>/ac, and 141 ft<sup>2</sup>/ac in the core, inner  
 2 and outer zones respectively. These trends generally held for all size and density metrics within  
 3 these two timber habitat types.

4



5

6 **Figure 3:** Age distributions for sites where age was measured for ponderosa pine (PP, N = 37 of 42) , mixed  
 7 conifer (MC, N = 52 of 58), and high elevation (HE, N = 2 of 3) timber habitat types.

8 ***Standing volume***

9 Trends in standing volume on EWRAP sites, in terms of board-foot and cubic-foot volumes per  
 10 acre (Figure 5), were similar to those found for stand density metrics. Analysis of standing volume  
 11 revealed small non-significant differences between timber habitat types and regulatory zones.  
 12 On average, standing volume decreased with increasing distance from stream, moving from the

1 core zone to the inner zone. For example, the overall mean cubic-foot volume across all sites  
2 regardless of timber habitat type or zone was 3,924 ft<sup>3</sup>/ac. Mean cubic-foot volumes for PP and  
3 MC sites were nearly identical at 3,917 ft<sup>3</sup>/ac and 3,930 ft<sup>3</sup>/ac respectively. Across regulatory  
4 zones, regardless of timber habitat type, cubic-foot volumes generally decreased moving away  
5 from the stream with 4,153 ft<sup>3</sup>/ac, 3,694 ft<sup>3</sup>/ac, and 3,927 ft<sup>3</sup>/ac for core, inner, and outer zones  
6 respectively. Between timber habitat types there were different patterns across regulatory  
7 zones. For PP sites, basal area decreased moving away from the stream with mean basal areas of  
8 4,066 ft<sup>3</sup>/ac, 4,059 ft<sup>3</sup>/ac, and 3,361 ft<sup>3</sup>/ac in the core, inner, and outer zones respectively. For  
9 MC sites, basal area generally increased moving away from the stream with mean basal areas of  
10 4,218 ft<sup>3</sup>/ac, 3,421 ft<sup>3</sup>/ac, and 4,521 ft<sup>3</sup>/ac in the core, inner and outer zones respectively. These  
11 trends generally held for board-foot volume across both timber habitat types.

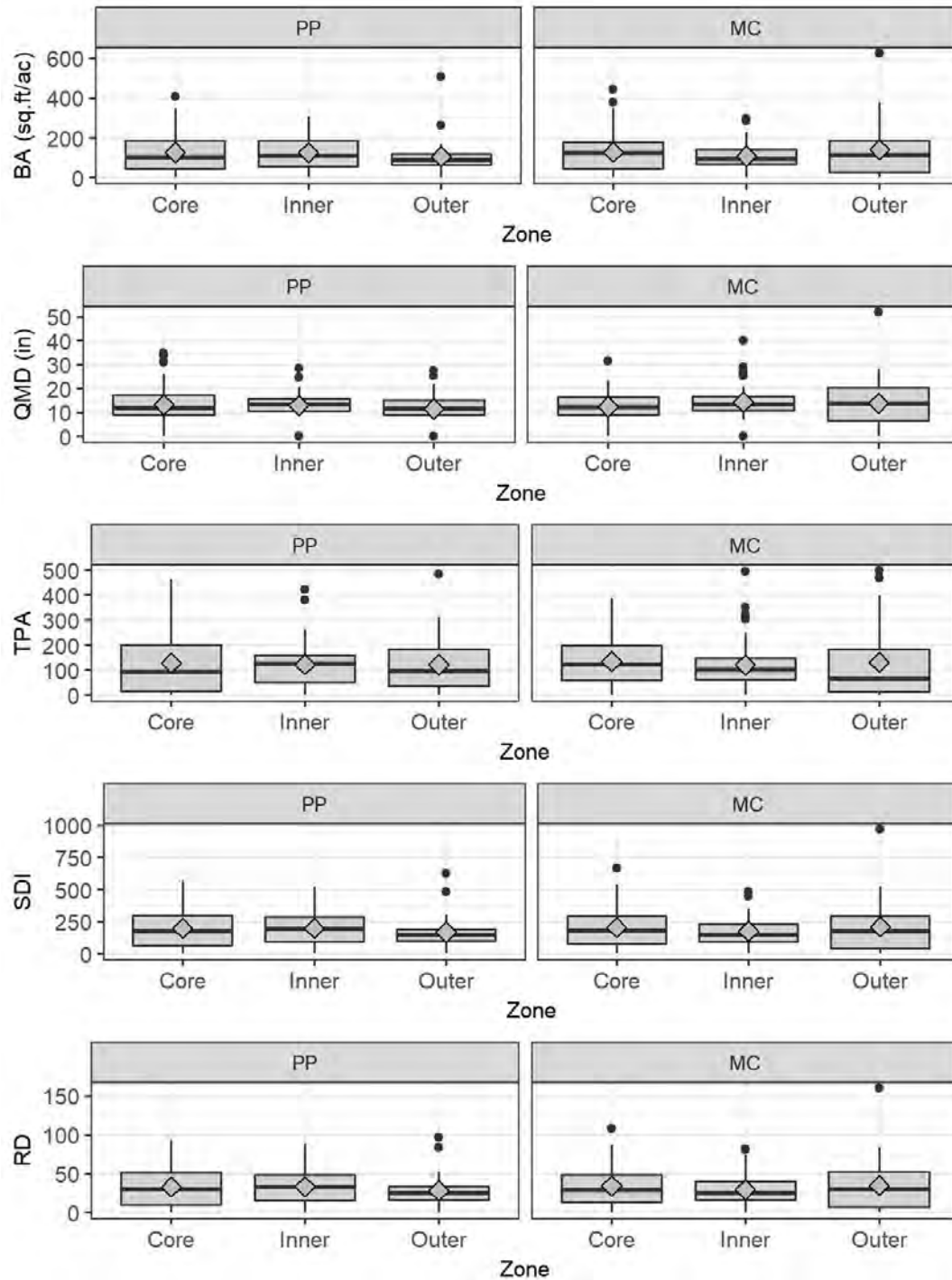
12 All stand size, density, and volume metrics had high levels of variability and some large outliers  
13 (Figure 4 and Figure 5) that may have been specific to conditions in eastern Washington riparian  
14 zones or artifacts of the sampling and data compilation procedures. High levels of variability were  
15 accompanied by large coefficients of variability. Overall, coefficients of variation for size, density,  
16 and volume metrics range from 0.9 to 1.7 for board-foot volume and QMD, respectively. As the  
17 data were segregated by timber habitat type and/or regulatory zone, the range expanded,  
18 reaching a range of 0.7 to 2.2 for board-foot volume in outer zones at PP sites and QMD from  
19 inner zones at PP and MC sites.

20 A few sites had unusually high QMD, TPA, BA, SDI, and RD values that could have been outliers:

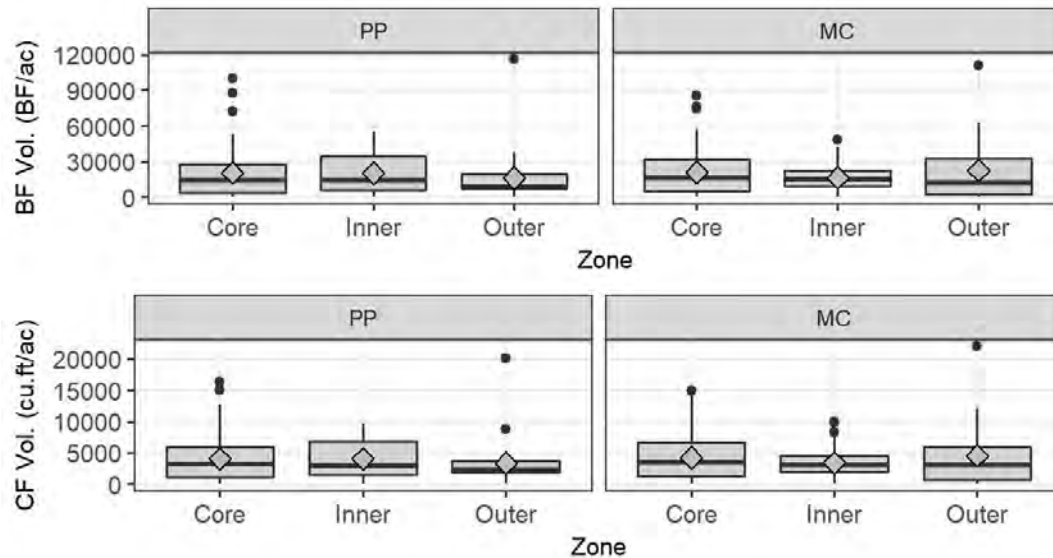
- 21 • 11 sites (6 PP and 5 MC) had QMDs of over 25 inches for trees  $\geq$  6 inches dbh;
- 22 • 18 sites (7 PP and 11 MC) had over 300 TPA for trees  $\geq$  6 inches dbh;
- 23 • 14 sites (7 PP and 7 MC) had basal area values of over 300 ft<sup>2</sup>/ac for trees  $\geq$  6 inches dbh;
- 24 • 9 sites had SDI values of over 500 for trees  $\geq$  6 inches dbh; and
- 25 • 12 sites (5 PP and 7 MC) had RD values over 80, including two sites with RD values of 108  
26 and 160.

27 Similar outlier patterns were seen in the board-foot and cubic-foot volume estimates. These high  
28 levels of variability and outliers may have influenced mean value calculations and statistical tests.





1  
 2 Figure 4: Distributions of basal area per acre (BA), quadratic mean diameter (QMD), trees per acre (TPA),  
 3 Stand Density Index (SDI), and Curtis' Relative Density (RD) for core, inner, and outer regulatory riparian  
 4 zones by ponderosa pine (PP) and mixed conifer (MC) timber habitat types. The gray boxes represent the  
 5 25<sup>th</sup> and 75<sup>th</sup> percentiles and the horizontal black lines the median of the data. The vertical lines extend  
 6 to the range of the data or 1.5 time the interquartile range (the range from the 25<sup>th</sup> to the 75<sup>th</sup> percentile).  
 7 Black points are potential outliers. The gray diamonds are the means of the data.



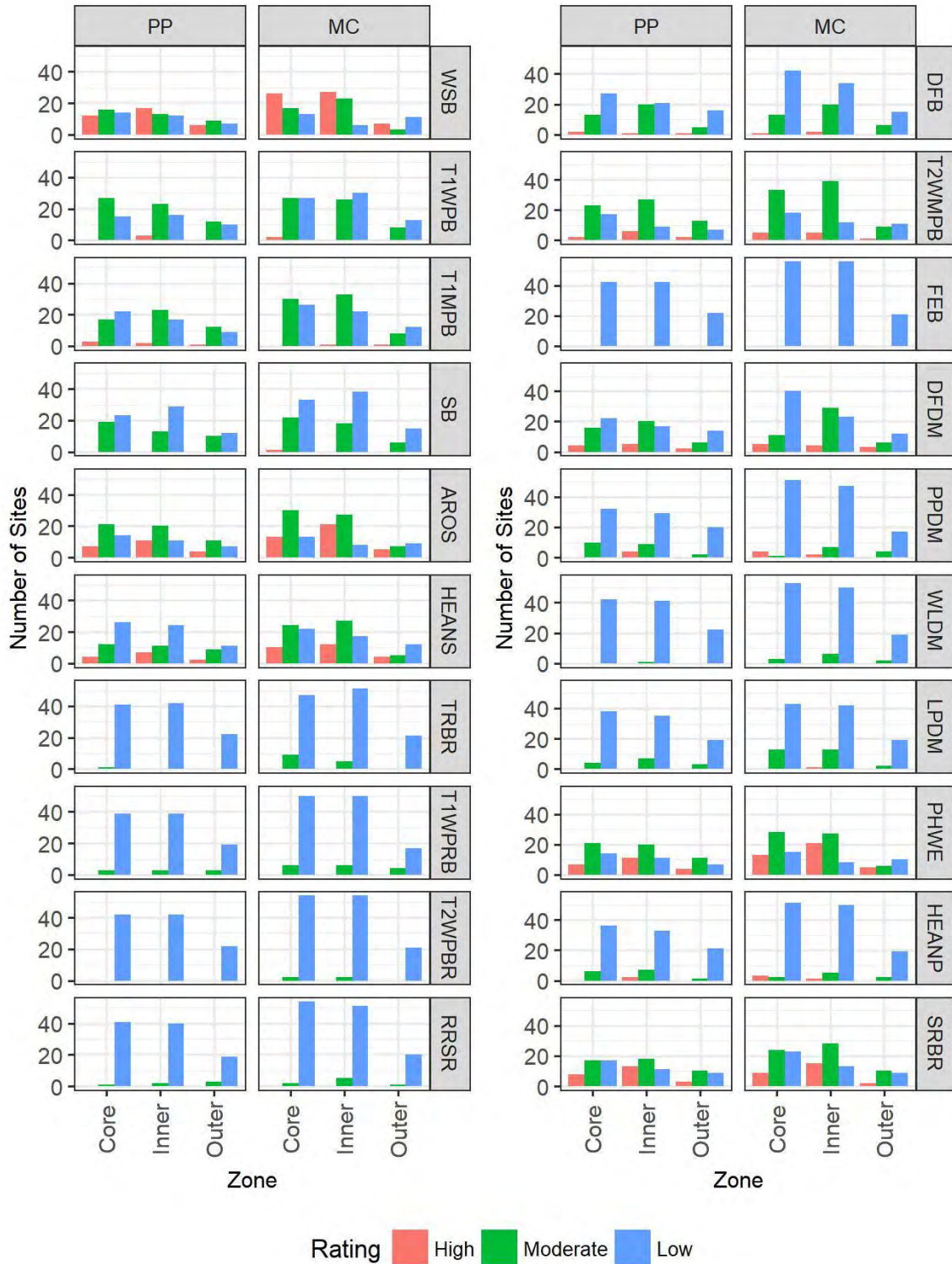
1

2 Figure 5: Distributions of board-foot volume (BV Vol.) and cubic-foot volume (CF Vol.) for core, inner, and  
 3 outer regulatory riparian zones by ponderosa pine (PP) and mixed conifer (MC) timber habitat types. The  
 4 gray boxes represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles and the horizontal black lines the median of the data.  
 5 The vertical lines extend to the range of the data or 1.5 time the interquartile range (the range from the  
 6 25<sup>th</sup> to the 75<sup>th</sup> percentile). Black points are potential outliers. The gray diamonds are the means of the  
 7 data.

8

9 ***Insect and disease susceptibility***

10 Based on the models of Hessburg et al. (1999), overall ratings of susceptibility to insects and  
 11 disease were generally low. The number of sites rated as high, moderate, or low susceptibility  
 12 were presented by regulatory zone for PP and MC sites (**Error! Reference source not  
 13 found.**Figure 6). However, among PP sites, higher susceptibility ratings occurred in the core and  
 14 inner zones. Core and inner zones tended to have moderate susceptibility to western and  
 15 mountain pine beetles (T1WPB, T2WMPB, T1MPB), spruce beetle (SB), Armillaria root disease  
 16 (AROS), laminated root rot (PHWE), S-group *annosum* root disease (HEANS), and *Schweinitzii* root  
 17 and butt rot (SRBR). Among MC sites, there were some moderate and occasional high  
 18 susceptibility ratings in the core and inner zones. Higher susceptibility to western spruce  
 19 budworm (WSB), *Armillaria* root disease (AROS), and laminated root rot (PHWE) was also seen in  
 20 the core and inner zones.



1

2 Figure 6: Insect and disease rating counts for ponderosa pine (PP, left column) and mixed conifer (MC,  
 3 right column) by regulatory zone. Ratings are based on Hessburg *et al.* (1999). Definitions of insect and  
 4 disease codes are defined in Table 2.

1 Table 2: Definitions for the Hessburg et al. (1999) insect and disease codes used in insect and disease  
 2 summary tables.

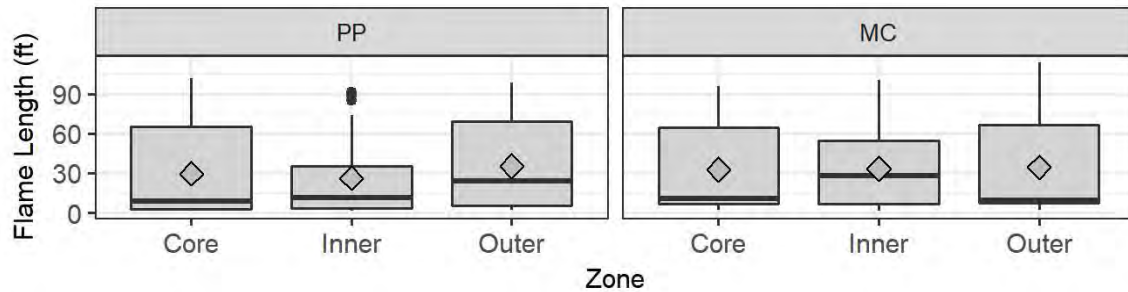
Code	Insect or Disease
WSB	Western spruce budworm
DFB	Douglas-fir beetle
T1WPB	Western pine beetle in mature and old ponderosa pine stands
T2WMPB	Western pine beetle and mountain pine beetle in immature, high density ponderosa pine stands
T1MPB	Mountain pine beetle in immature, high density lodgepole pine stands
FEB	Fir engraver beetle
SB	Spruce beetle
DFDM	Douglas-fir dwarf mistletoe
PPDM	Western dwarf mistletoe
WLDM	Western larch dwarf mistletoe
LPDM	Lodgepole pine dwarf mistletoe
AROS	<i>Armillaria</i> root disease
PHWE	Laminate root rot
HEANS	S-group <i>annosum</i> root disease
HEANP	P-group <i>annosum</i> root disease
TRBR	<i>Tomentosus</i> root and butt rot
SRBR	<i>Schweinitzii</i> root and butt rot
T1WPRB	White pine blister rust in western white pine
T2WPBR	White pine blister rust in whitebark pine
RRSR	Rust-red stringy rot

3

4 **Wildfire risk**

5 Distributions of potential wildfire total flame lengths were summarized by timber habitat type  
 6 and regulatory zone (Figure 7). Total flame length was used as a surrogate for fire-related  
 7 mortality susceptibility, with the assumption that flame length is proportional to susceptibility of  
 8 the sites to fire-related mortality. Accordingly, sites with lower total flame lengths should burn  
 9 with lower intensity, thereby killing fewer trees, than sites that burn with longer flame lengths.  
 10 Mean total flame length was not significantly different among timber habitat types or among  
 11 regulatory zones. Total flame lengths ranged from 1 to 114 feet, with an overall mean of  
 12 approximately 31 feet. Although many sites had relatively short flame lengths, which did not  
 13 present high levels of risk, values at other sites indicated high susceptibility to wildfire-related  
 14 mortality.

15



1  
 2 Figure 7: Wildfire potential total flame length, in feet, distributions for core, inner, and outer regulatory  
 3 riparian zones by ponderosa pine (PP) and mixed conifer (MC) timber habitat types. The gray boxes  
 4 represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles and the horizontal black lines the median of the data. The vertical  
 5 lines extend to the range of the data or 1.5 time the interquartile range (the range from the 25<sup>th</sup> to the  
 6 75<sup>th</sup> percentile). Black points are potential outliers. The gray diamonds are the means of the data.

7  
 8 **Trajectory of Riparian Stand Conditions Without Management**

9 Without management, stands continue to increase in stand density and tree size, on average  
 10 (Figure 8) over the 50-year simulation period. Overall basal area per acre periodic annual  
 11 increment (PAI) for inner and outer zones was 1.6 ft<sup>2</sup>/ac, or 1.6%, per year, with significant  
 12 differences among core and inner zones across all sites among timber habitat types ( $p = 0.019$ ),  
 13 regulatory zones ( $p = 0.002$ ), and growth periods ( $p = 0.048$ ) for core and inner zones at all sites  
 14 and among zones ( $p = 0.004$ ) for those sites with an outer zone. Among PP sites, basal area PAI  
 15 averaged approximately 1.4 ft<sup>2</sup>/ac., or 1.3%, per acre per year, while among MC sites, basal area  
 16 PAI averaged about 1.8 ft<sup>2</sup>/ac, or 1.8% per year. Among regulatory zones, basal area PAI increased  
 17 moving away from the stream with core, inner, and outer zones averaging 1.3 ft<sup>2</sup>/ac, or 1.3%, per  
 18 year, and 1.8 ft<sup>2</sup>/ac, or 1.7%, per year, and 2.1 ft<sup>2</sup>/ac, or 1.9%, per year respectively. Among sites  
 19 with an outer zone, outer zone basal PAI was significantly different from core zone PAI ( $p <$   
 20  $0.0001$ ) but marginally not significantly different than inner zone PAI ( $p = 0.521$ ). Over the 50-  
 21 year growth simulations, basal area PAI initially increased, beginning at 1.5 ft<sup>2</sup>/ac, or 2.1%, per  
 22 year to 1.8 ft<sup>2</sup>/ac, or 1.5-2.0%, per year during the second and third periods, before declining to  
 23 1.5 ft<sup>2</sup>/ac, or 1.0%, per year during the fifth period as available growing space decreased within  
 24 sites. Although differences among growth periods were marginally significant, none of the  
 25 pairwise comparisons were significant after Bonferroni correction for multiple comparisons.  
 26 Across all sites, QMD PAI averaged 0.07 inches, or 0.6%, per year, with significant differences in  
 27 interaction of timber habitat type and regulatory zone ( $p = 0.02$ ). However, PAI comparisons  
 28 between regulatory zones within timber habitat types were not significantly different after  
 29 Bonferroni corrections. Trees per acre with dbh  $\geq$  6 inches increased during growth simulation as  
 30 trees grew into the 6-inch and greater size class at a rate greater than mortality. Overall, trees  
 31 per acre PAI was 0.5 trees per acre, or 1.6% per year, with significant differences between timber  
 32 habitat types ( $p = 0.03$ ) and regulatory zones ( $p = 0.01$ ). Between timber habitat types, trees per  
 33 acre PAI for PP sites average 0.3 trees per acre, or 1.0%, per year and 0.7 trees per acre or 2.1%  
 34 for MC sites where more seedlings and advanced regeneration occurred. Among regulatory

1 zones, trees per acre PAI increased moving away from the stream where the core, inner, and  
2 outer zones were 0.03 trees per acre, or 0.8%, 0.8 trees per acre, or 2.6%, and 0.8 trees per acre,  
3 or 0.7%, per year respectively. However, no pairwise comparisons were significant after  
4 Bonferroni corrections.

5 As stands develop, size-density relationship metric values for Reinike's Stand Density Index (SDI)  
6 and Curtis' Relative Density (RD) increased (Figure 9). Overall SDI PAI averaged 2.2 units, or 1.4%,  
7 per year with significant differences among timber habitat types ( $p = 0.01$ ), regulatory zone ( $p =$   
8  $0.001$ ). Among timber habitat types, SDI PAI in PP sites averaged 1.9 units, or 1.1%, per year,  
9 which was less than SDI PAI in MC sites, where it averaged 2.4 units, or 1.6%, per year. Among  
10 regulatory zones, SDI PAI increased moving away from the stream with core zone PAI being  
11 significantly different than inner ( $p < 0.0001$ ) and outer zones ( $p = 0.0001$ ). SDI PAI averaged 1.6  
12 units, or 1.1% per year, 2.5 units, or 1.6% per year, and 2.8 units, or 1.6%, per year for core zones,  
13 inner, and outer zones respectively.

14 Similar patterns were seen in RD. Overall, RD PAI averaged 0.4 units, or 1.4% per year with  
15 significant differences among timber habitat type ( $p = 0.012$ ) and regulatory zone ( $p = 0.001$ ).  
16 Among timber habitat types, RD PAI averaged 0.3 units or 1.1% per year, %, and 0.4 units or 1.6%,  
17 per year for PP sites and MC sites, respectively. RD PAI also increased moving away from the  
18 stream with core zone PAI significantly different than inner ( $p < 0.0001$ ) and outer ( $p < 0.0001$ )  
19 zones, where they were present, averaging 0.3 units, or 1.0%, 0.4 units, or 1.6%, and 0.5 units,  
20 or 1.5%, for core, inner, and outer zones respectively.

21 Board-foot and cubic-foot volumes increased as stands develop, following trajectories that were  
22 comparable to basal area and size-density measures (Figure 10). Overall, board-foot volume PAI  
23 averaged 393 board-feet acre, or 2.3%, per year with significant differences among regulatory  
24 zones ( $p = 0.01$ ) and growth periods ( $p = 0.0002$ ). Among regulatory zones, board-foot volume  
25 increased moving away from the stream, with core zone PAI being significantly different than  
26 inner zones ( $p = 0.001$ ) and outer zones ( $p = 0.005$ ) where they were present. Board-foot PAI in  
27 the core, inner, and outer zones averaged 340 board-feet per acre, or 2.1%, 409 board-feet per  
28 acre, or 2.3% and 480 board-feet per acre, or 2.7%, per year respectively. As stands developed,  
29 board Board-foot volume PAI increased from 326 board-feet per acre, or 3.2%, per year during  
30 the first period to a maximum of 420 board-feet per acre, or 1.8%, per year in the fourth period.  
31 After applying Bonferroni corrections no pairwise comparisons were significantly different.  
32 Cubic-foot volume PAI followed similar trajectories with an overall average of 69 cubic-feet per  
33 acre, or 2.1%, per year with significant differences among regulatory zones ( $p = 0.004$ ) and  
34 growth periods ( $p = 0.047$ ). Among regulatory zones, cubic-foot volume also increased moving  
35 away from the stream, with core zone PAI values being significantly different than inner zone ( $p$   
36  $< 0.0001$ ) and outer zone ( $p = 0.0006$ ) values, which averaged 58 cubic-feet per acre, or 1.7%, 73  
37 cubic-feet per acre, or 2.1% and 85 cubic-feet per acre, or 2.7%, per year respectively. Board-foot  
38 volume PAI increased as stands developed, beginning at 61 cubic-feet per acre, or 2.8%, year  
39 during the first period then increasing to a maximum of 73 cubic-feet per acre or 2.0% per year  
40 in the third period and declining to 69 cubic-feet per acre or 1.3%, per year as available growing  
41 space decreased. After applying Bonferroni corrections no pairwise comparisons were  
42 significantly different.



1 Mortality of trees with dbh  $\geq$  6 inches, measured as basal area per acre and trees per acre, and  
2 the size of mortality trees, measured as quadratic mean diameter, were projected to increase in  
3 stands without management as stand density and competition-related mortality increased  
4 (Figure 11). Overall basal area mortality rate was relatively low, averaging 1.1 ft<sup>2</sup>/acre or 0.5%,  
5 per year, with significant differences among growth periods ( $p < 0.0001$ ) and non-significant  
6 differences among timber habitat types and regulatory zones. Basal area mortality rate increased  
7 through time, beginning at 0.8 ft<sup>2</sup>/ac, or 0.4%, per year in the first growth period then increasing  
8 to 1.5 ft<sup>2</sup>/ac, or 0.6%, per year during the final growth period as available growing space  
9 decreased and competition mortality increased. Mortality differed significantly between: 1) the  
10 first fourth ( $p = 0.0014$ ), )and fifth ( $p < 0.0001$ ) growth periods; 2) the second fourth ( $p < 0.0001$ ),,  
11 and fifth ( $p < 0.0001$ ) growth periods;), and 3) the third and fifth ( $p < 0.0001$ ) growth periods.).  
12 Trees per acre mortality rate was relatively low, averaging 1.2 trees per acre, or 0.6% per year,  
13 with significant differences among growth periods ( $p < 0.0001$ ) but no significant differences  
14 among timber habitat types or regulatory zones. Initially, mortality averaged 1.1 trees per acre,  
15 or 0.5%, per year and increased to 1.7 trees per acre or 0.8% per year during the final growth  
16 period. Trees per acre mortality rate also differed significantly: 1) between the first, fourth ( $p =$   
17 0.0008) and fifth ( $p < 0.0001$ ) periods; 2) the second and fifth periods ( $p = 0.0003$ ); and 3) the  
18 third and fifth periods ( $p = 0.0009$ ). Over time, the median size of mortality trees increased from  
19 approximately 13.3 inches dbh to 15.4 inches dbh.

20 Increases in moderate and high susceptibility to insect and disease risk (Figure 12 through Figure  
21 16, and Appendix G) were expected as stands developed without management, growing space  
22 decreased, and competition increased. Among PP sites, the greatest increases in susceptibility  
23 were predicted for: 1) western pine beetle (Figure 12) susceptibility with 25% and 14% of the  
24 inner and outer zones respectively, moving to moderate; 2) western spruce budworm (Figure 12)  
25 with 7%, 10% and 25% of the core, inner and outer zones respectively, moving to high; and 3)  
26 *Schweinitzii* root and butt rot (Figure 16) with 20% of the inner zones moving to moderately year  
27 50.

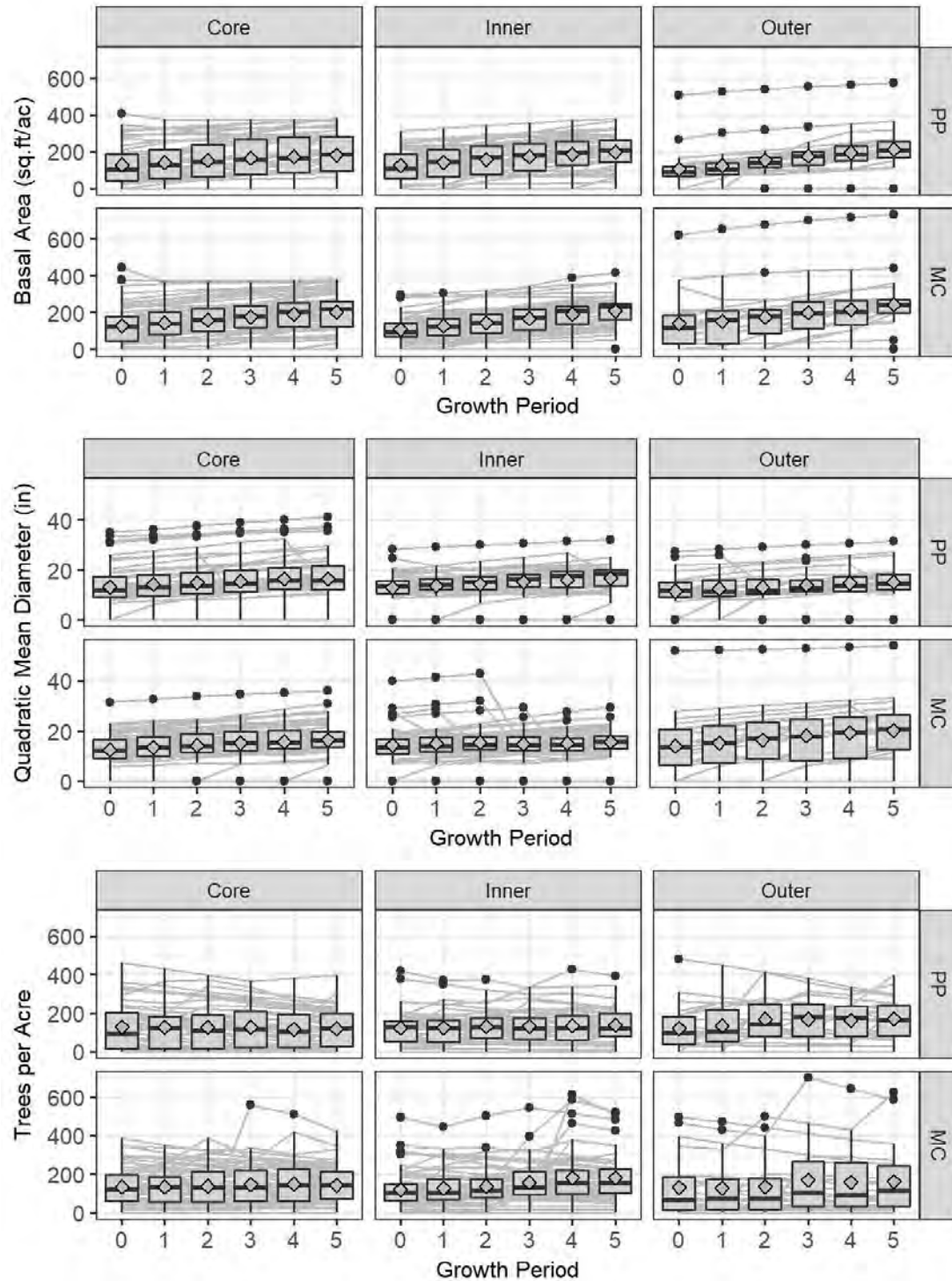
28 Among MC sites, the greatest increases in susceptibility were predicted for:

- 29 • Western pine beetle (Figure 12), with 33% and 23% of the inner and outer zones  
30 respectively, moving to moderate;
- 31 • Douglas-fir beetle (Figure 12) with 14% of the inner zones moving to moderate,
- 32 • Mountain pine beetle (Figure 13) with 10% of the inner zones moving to moderate;
- 33 • Western spruce budworm (Figure 12) with 22% of the inner zones moving to high,
- 34 • *Armillaria* root disease (Figure 14) with 19% of the inner zones moving to high, and

35 Laminated root rot (Figure 15) with 17% of the inner zones moving to high in the inner zone by  
36 year 50.

37 Similarly, as stands develop without management or natural disturbances such as wind storms  
38 or low intensity fires, total flame length, a surrogate metric for susceptibility to fire-related  
39 mortality, were predicted to increase (see Figure 17 and Appendix G). Overall, total flame lengths  
40 average 39 feet with significant differences among growth years ( $p < 0.0001$ ) but no significant

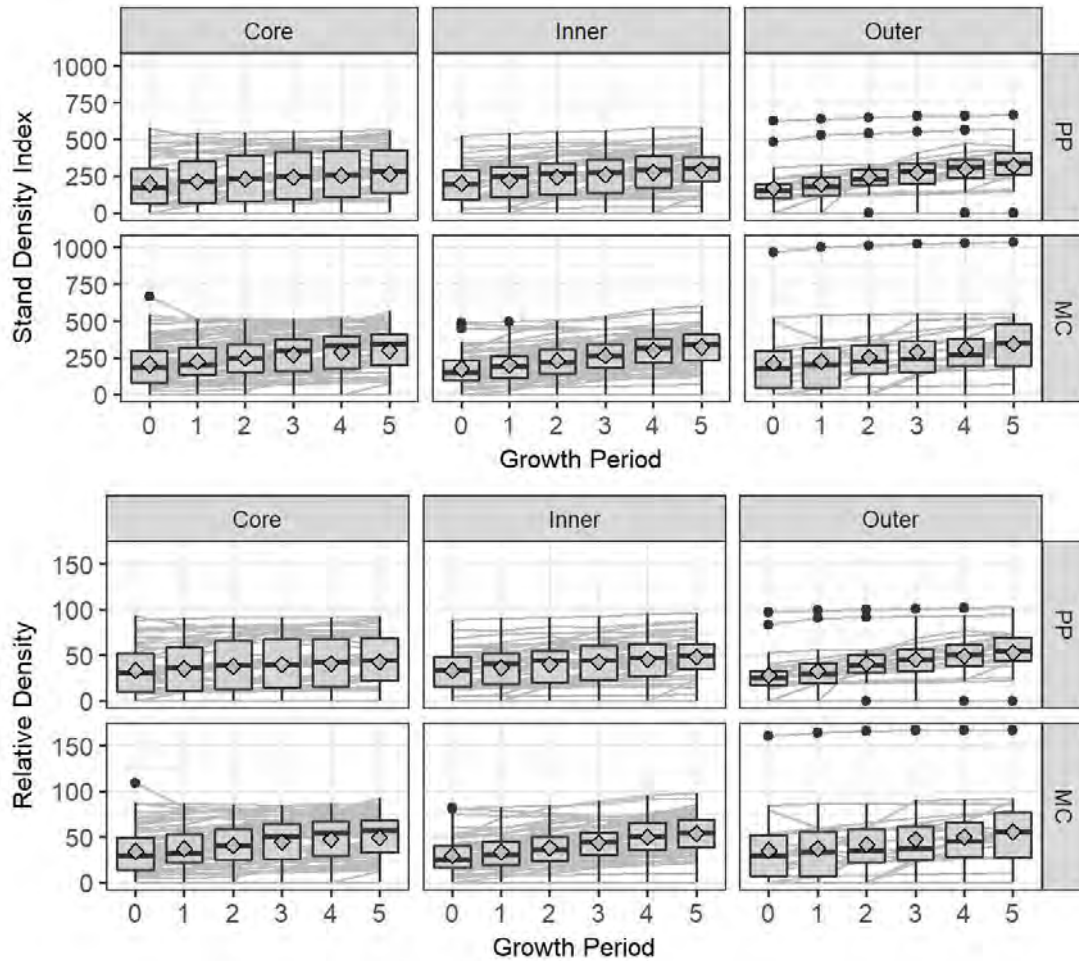
1 differences between timber habitat types or regulatory zones occurred. Through time, average  
2 total flame lengths increased from 31 feet to 43 feet, with significant differences between current  
3 conditions and projected conditions at year 30 ( $p = 0.004$ ), year 40 ( $p = 0.001$ ) and year 50 ( $p =$   
4  $0.002$ ). These differences were driven by increases in PP sites where average total flame lengths  
5 increased from 28 feet to 47 feet over the 50-year simulation in core and inner zones respectively.



1

2 Figure 8: Basal area, quadratic mean diameter and trees per acre distributions for core, inner and  
 3 regulatory riparian zones by ponderosa pine (PP) and mixed conifer (MC) timber habitat types. The gray  
 4 boxes represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles and the horizontal black lines the median of the data. The  
 5 vertical lines extend to the range of the data or 1.5 time the interquartile range (the range from the 25<sup>th</sup>  
 6 to the 75<sup>th</sup> percentile). Black points are potential outliers. The gray diamonds are the means of the data.  
 7 Gray lines are trajectories of individual regulatory zone stands.

1

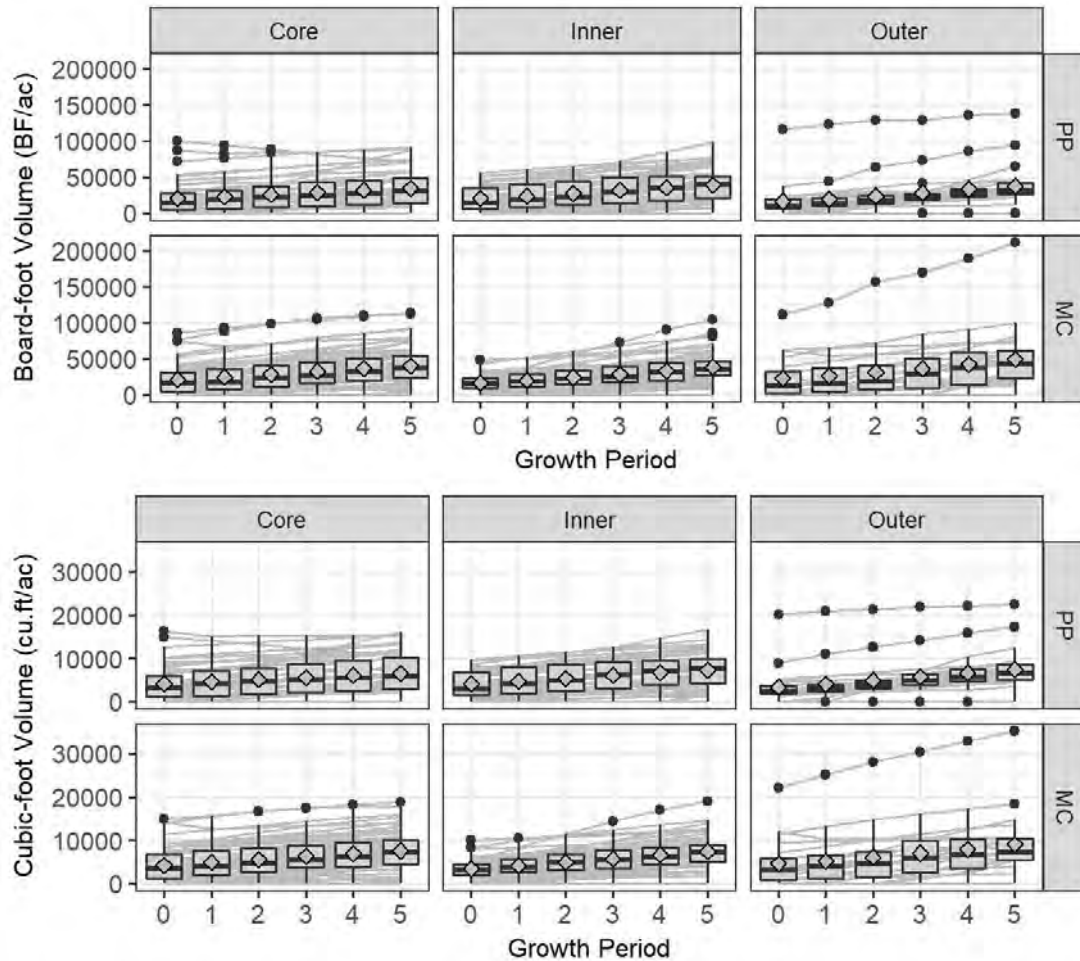


2

3 Figure 9: Reineke's Stand Density Index and Curtis' Relative Density distributions for core, inner, and outer  
4 regulatory riparian zones by ponderosa pine (PP) and mixed conifer (MC) timber habitat types. The gray  
5 boxes represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles and the horizontal black lines the median of the data. The  
6 vertical lines extend to the range of the data or 1.5 time the interquartile range (the range from the 25<sup>th</sup>  
7 to the 75<sup>th</sup> percentile). Black points are potential outliers. The gray diamonds are the means of the data.  
8 Gray lines are trajectories of individual regulatory zone stands.

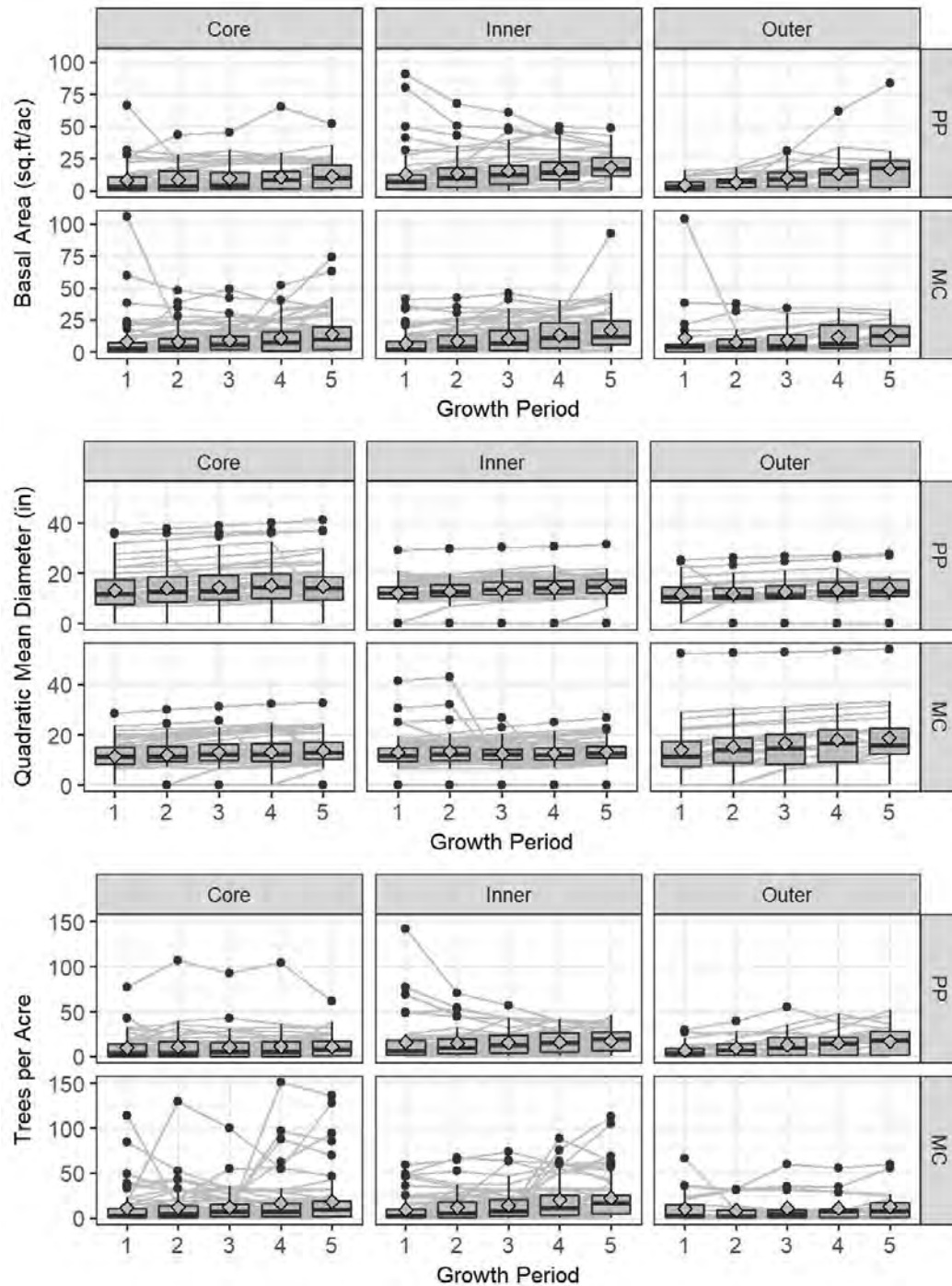
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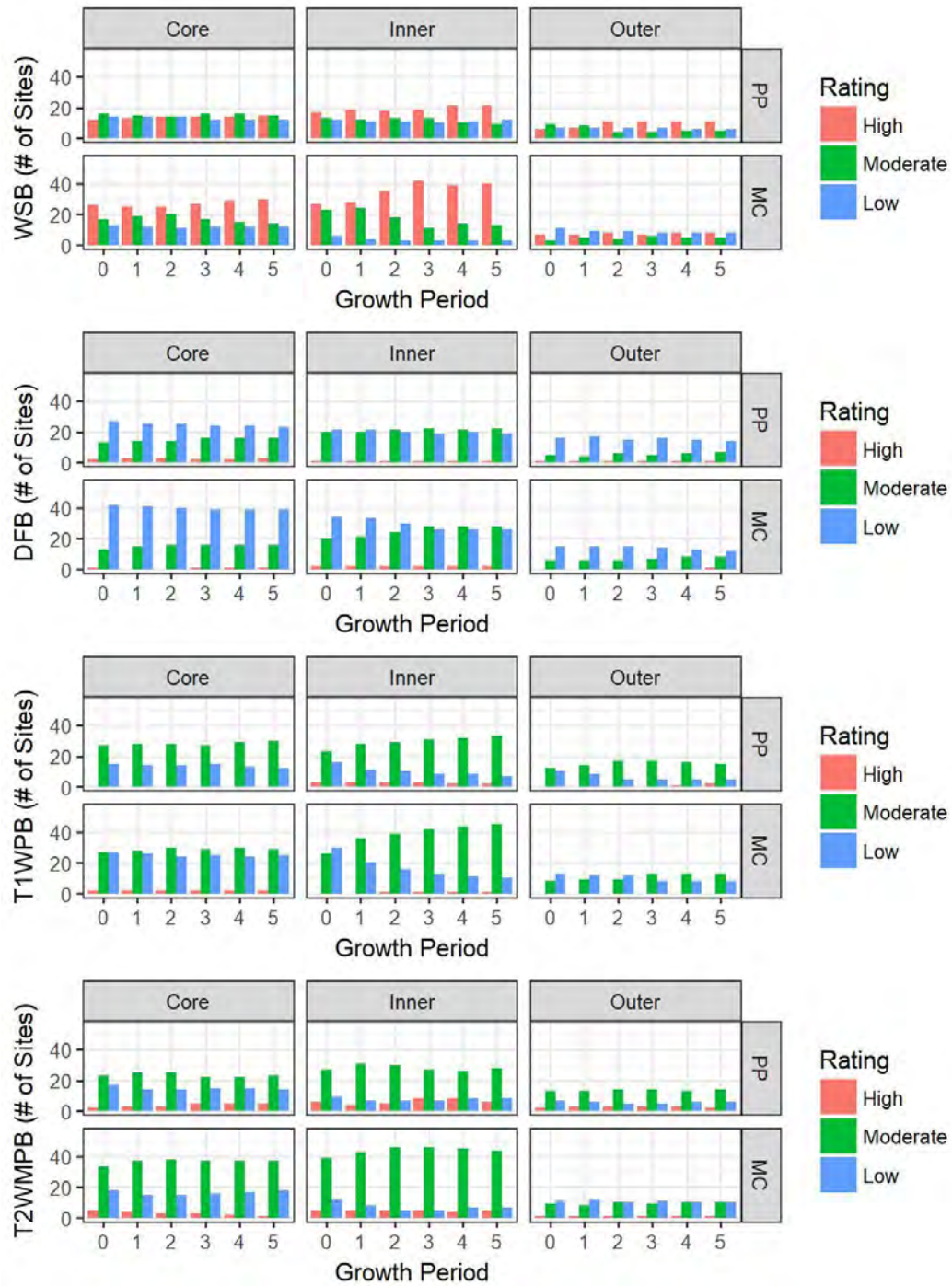
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2 Figure 10: Board-foot volume and cubic-foot volume distributions for core, inner, and outer regulatory  
 3 riparian zones by ponderosa pine (PP) and mixed conifer (MC) timber habitat types. The gray boxes  
 4 represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles and the horizontal black lines the median of the data. The vertical  
 5 lines extend to the range of the data or 1.5 time the interquartile range (the range from the 25<sup>th</sup> to the  
 6 75<sup>th</sup> percentile). Black points are potential outliers. The gray diamonds are the means of the data. Gray  
 7 lines are trajectories of individual regulatory zone stands.



1

2 Figure 11: Basal area, quadratic mean diameter and trees per acre distributions or mortality trees with  
 3 dbh  $\geq$  6 inches for core, inner, and outer regulatory riparian zones by ponderosa pine (PP) and mixed  
 4 conifer (MC) timber habitat types. The gray boxes represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles and the  
 5 horizontal black lines the median of the data. The vertical lines extend to the range of the data or 1.5  
 6 time the interquartile range (the range from the 25<sup>th</sup> to the 75<sup>th</sup> percentile). Black points are potential  
 7 outliers. The gray diamonds are the means of the data. Gray lines are trajectories of individual regulatory  
 8 zone stands.

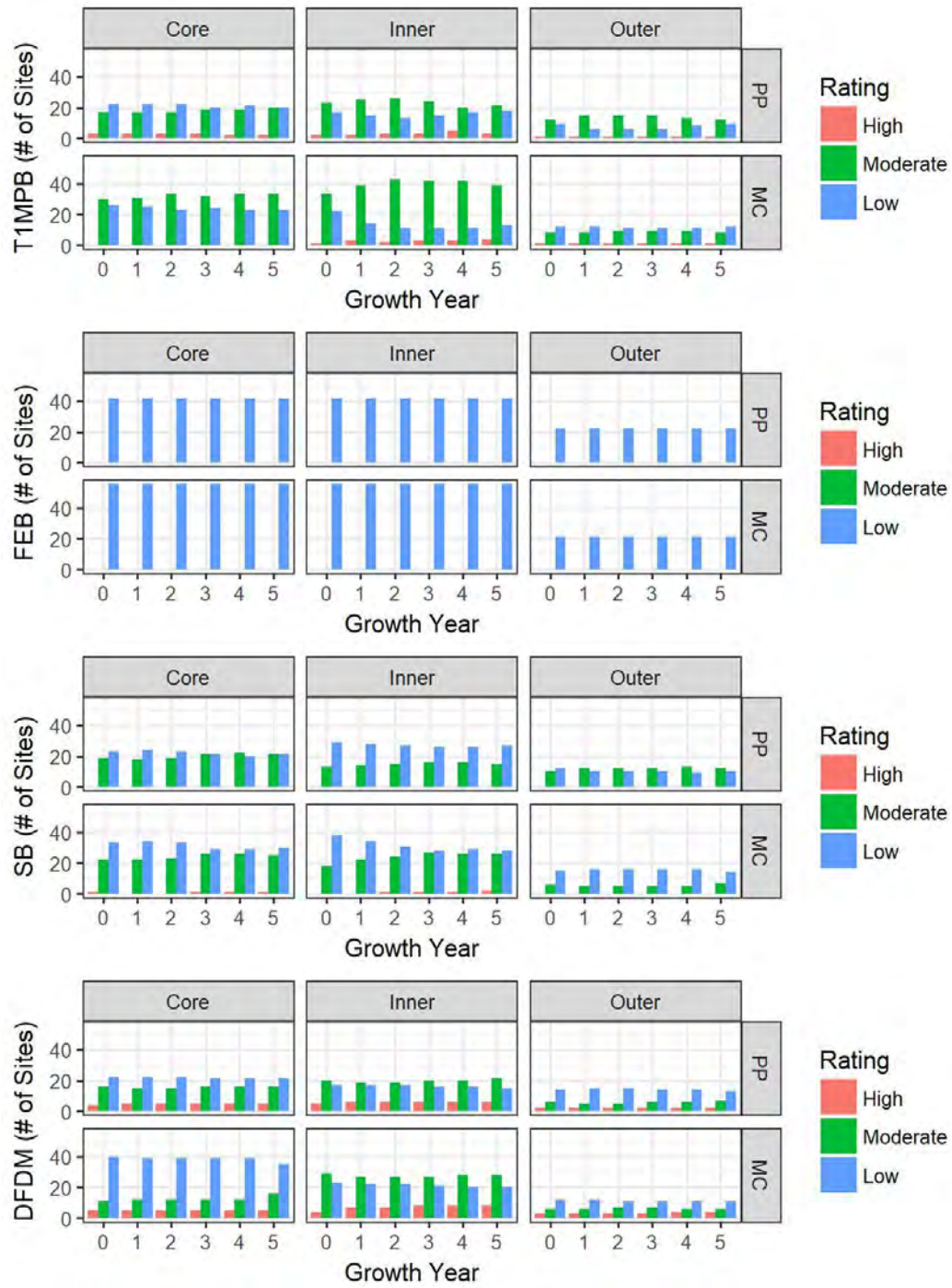


1

2 Figure 12: Counts of sites with high, moderate, and low insect susceptibility ratings in core, inner, and  
 3 outer regulatory riparian zones for ponderosa pine (PP) and mixed conifer (MC) sites for each growth  
 4 period of a 50-year simulation. Definitions of insect codes are in Table 2.

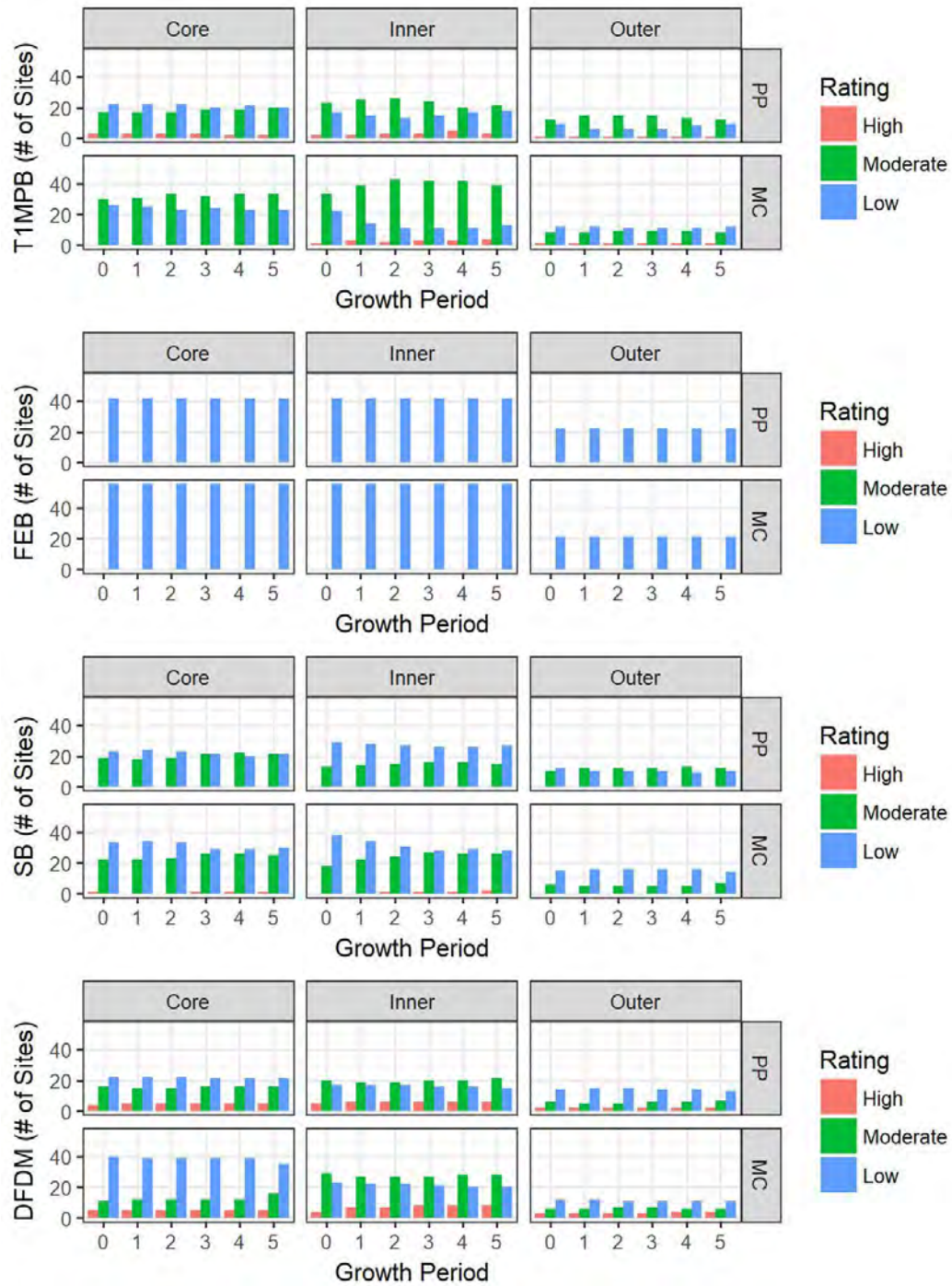
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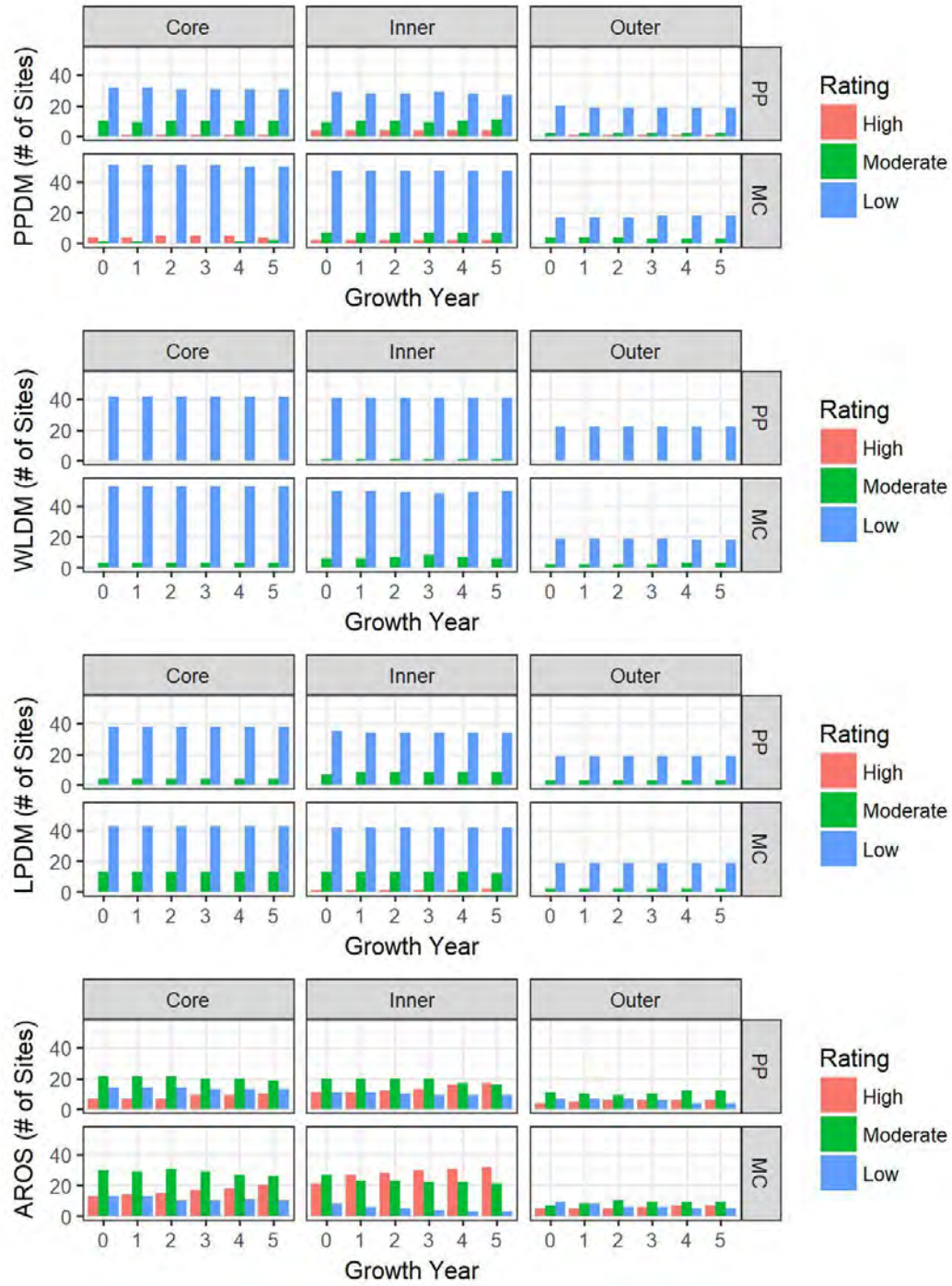


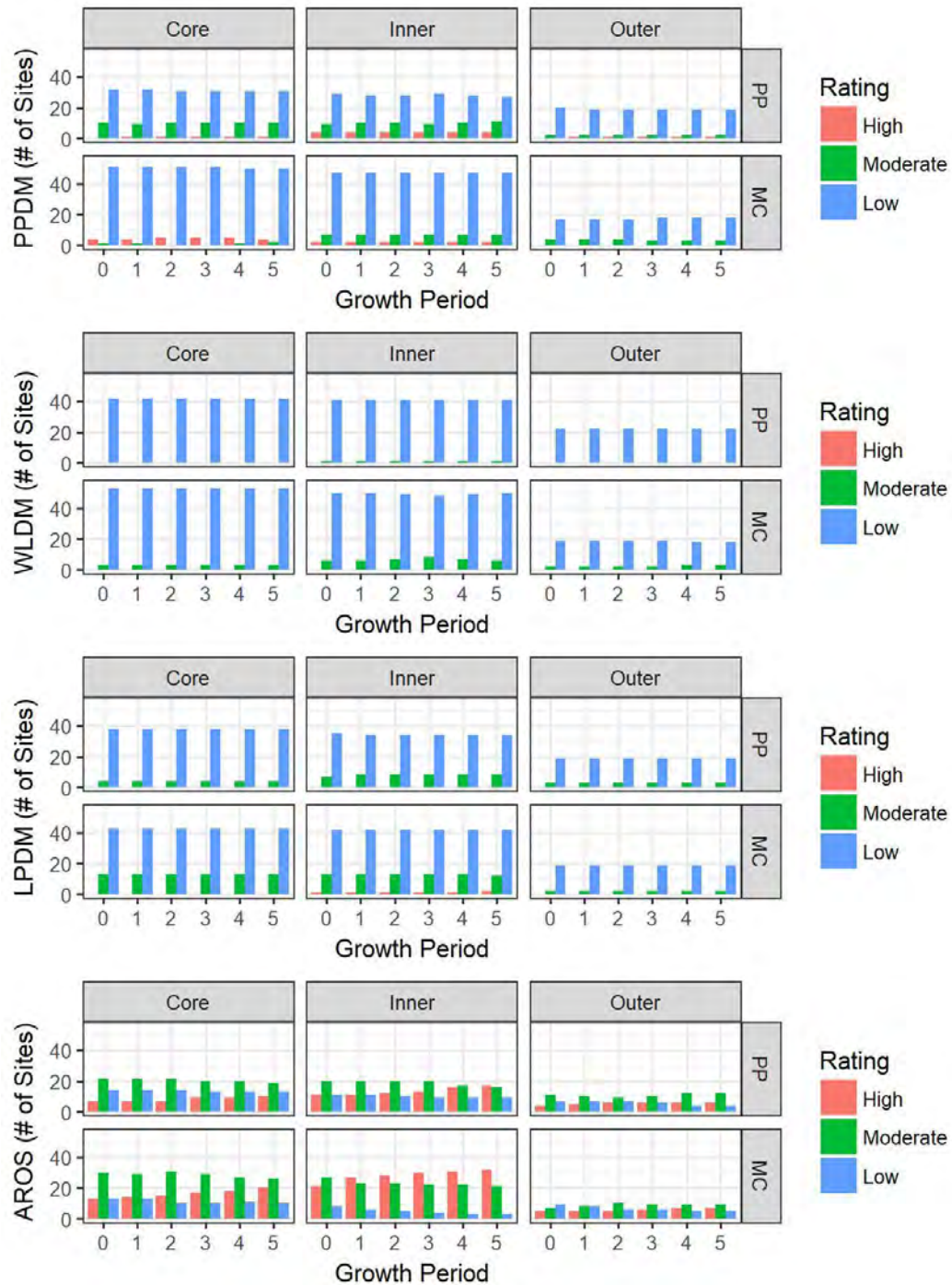


1

2 Figure 13: Counts of sites with high, moderate, and low insect and disease susceptibility ratings in core,  
 3 inner, and outer regulatory riparian zones for ponderosa pine (PP) and mixed conifer (MC) sites for each  
 4 growth period of a 50-year simulation. Definitions of insect codes are in Table 2.

5



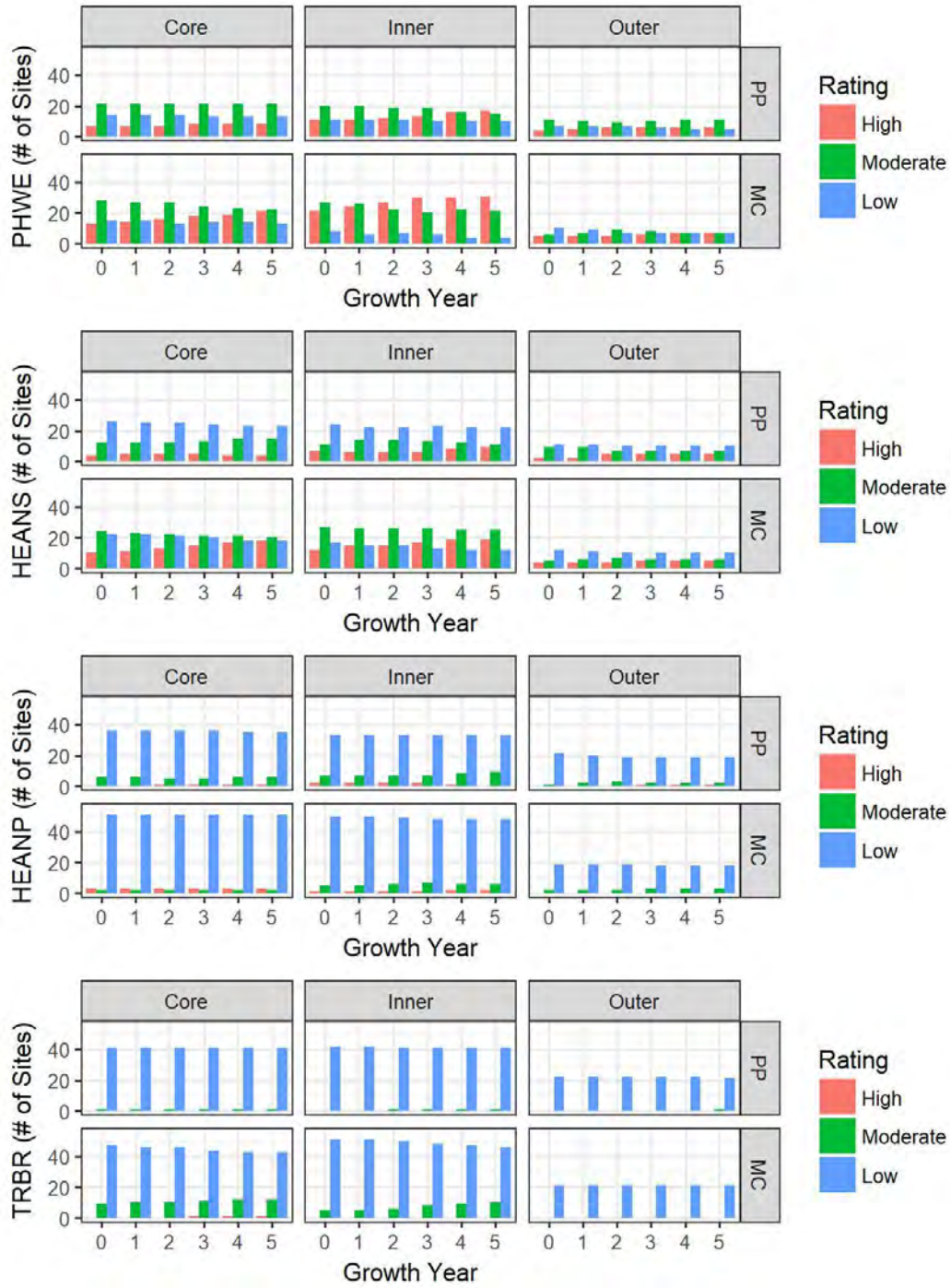


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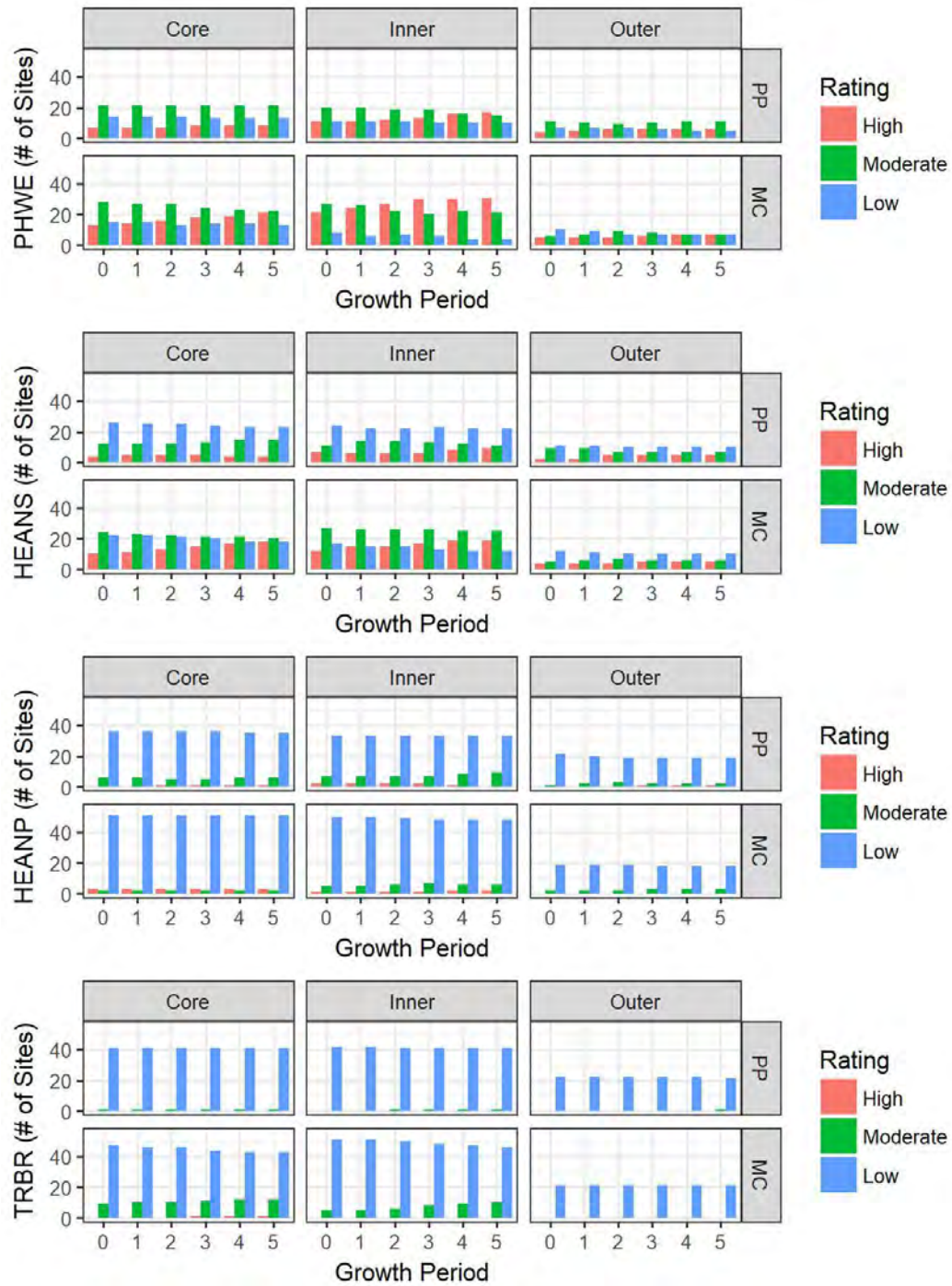
2 Figure 14: Counts of sites with high, moderate, and low disease susceptibility ratings in core, inner, and  
 3 outer regulatory riparian zones for ponderosa pine (PP) and mixed conifer (MC) sites for each growth  
 4 period of a 50-year simulation. Definitions of insect codes are in Table 2.

5





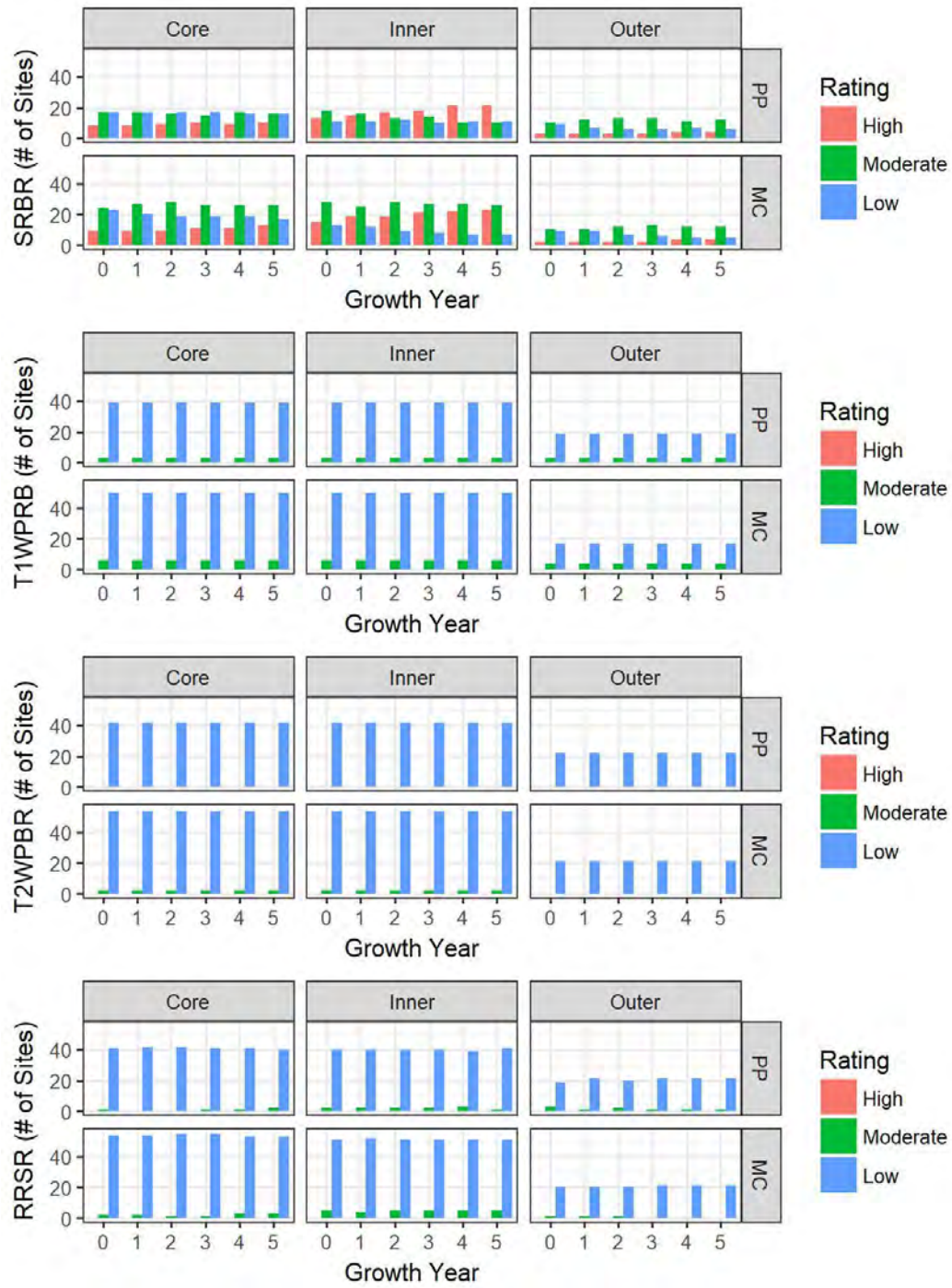
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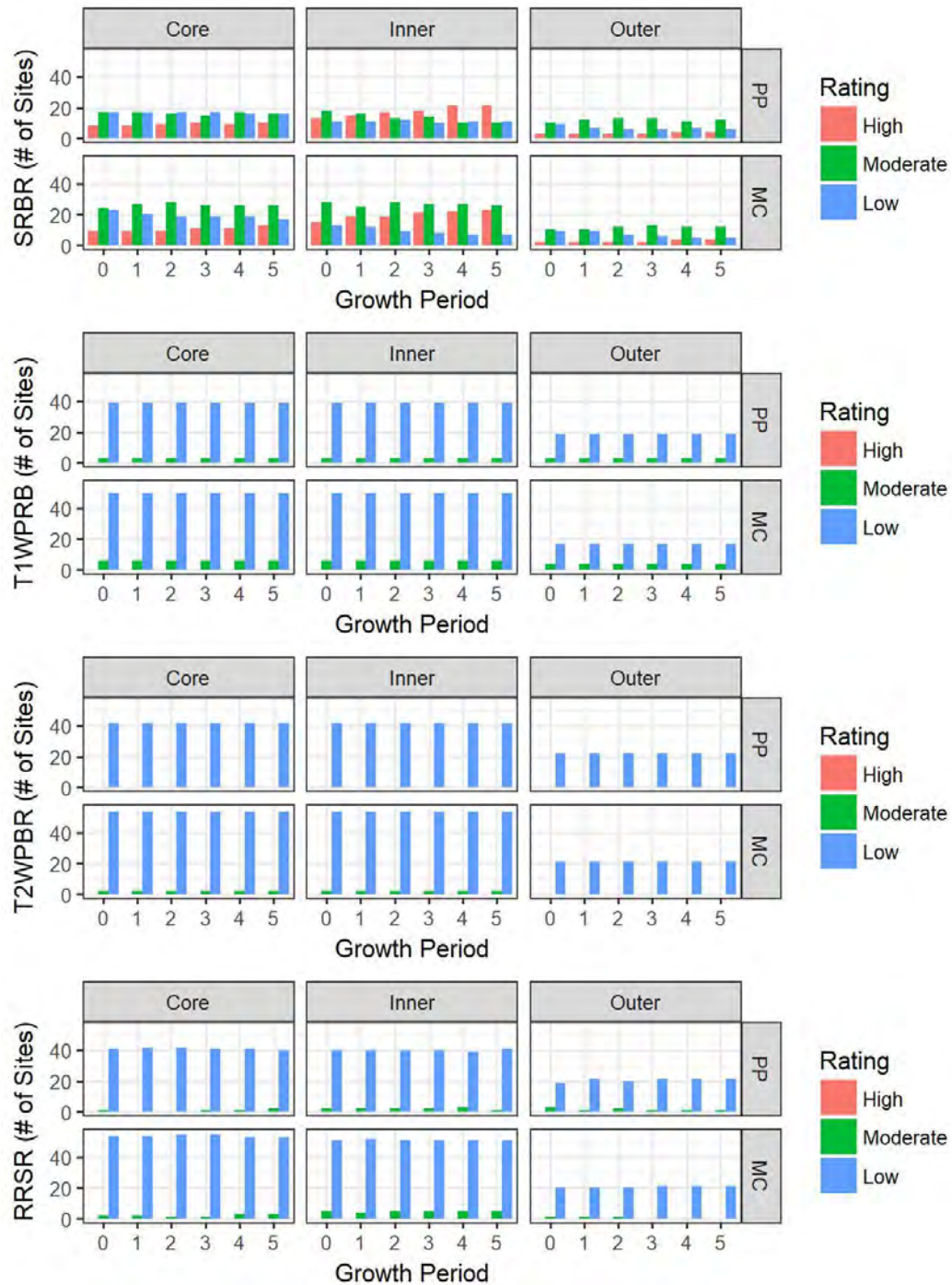
2 Figure 15: Counts of sites with high, moderate, and low disease susceptibility ratings in core, inner, and  
 3 outer regulatory riparian zones for ponderosa pine (PP) and mixed conifer (MC) sites for each growth  
 4 period of a 50-year simulation. Definitions of insect codes are in Table 2.

5



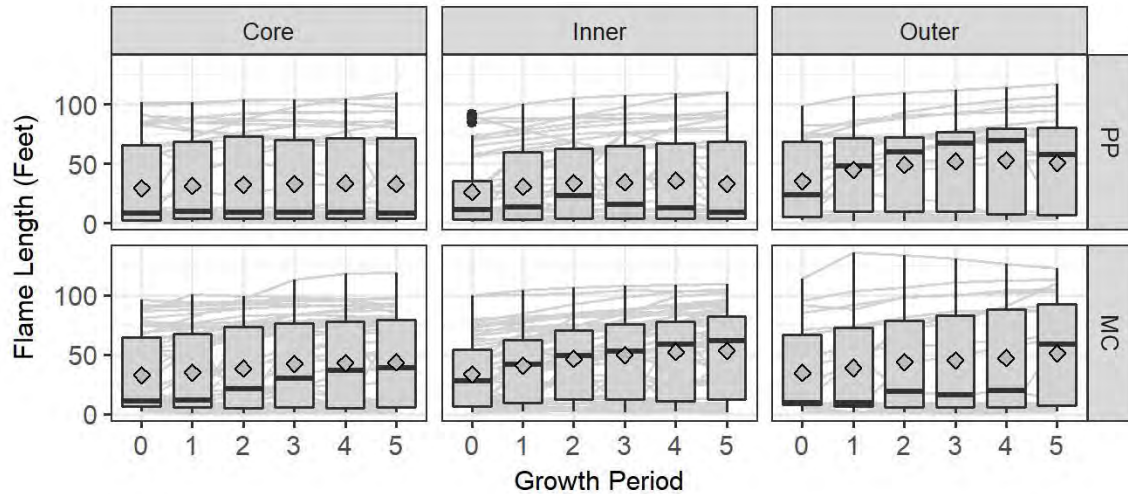
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1

2 Figure 16: Counts of sites with high, moderate, and low disease susceptibility ratings in core, inner, and  
 3 outer regulatory riparian zones for ponderosa pine (PP) and mixed conifer (MC) sites for each growth  
 4 period of a 50-year simulation. Definitions of insect codes are in Table 2.



1  
 2 Figure 17: Wildfire potential total flame length distributions for core, inner, and outer regulatory riparian  
 3 zones by ponderosa pine (PP) and mixed conifer (MC) timber habitat types. The gray boxes represent the  
 4 25<sup>th</sup> and 75<sup>th</sup> percentiles and the horizontal black lines the median of the data. The vertical lines extend  
 5 to the range of the data or 1.5 time the interquartile range (the range from the 25<sup>th</sup> to the 75<sup>th</sup> percentile).  
 6 Black points are potential outliers. The gray diamonds are the means of the data. Gray lines are  
 7 trajectories of individual regulatory zone stands.

## 8 Eligibility of Stands for Timber Harvest

9 As described in the Methods section, determining the eligibility of stands for harvest is complex,  
 10 following WAC 222-30-040 for the area within 75 feet of bankfull width, WAC 222-030-022(1)(b)  
 11 for inner zones, and WAC 222-030-022(1)(c) for outer zones. Once eligible, harvest prescriptions  
 12 were determined following WAC 222-030-022(1)(b) for inner zones and WAC 222-030-022(1)(c)  
 13 for outer zones. The following summarizes, by regulatory zone, stands eligible for harvest, and  
 14 the amount of harvest were generated over the 50-year simulation period. Detailed listings of  
 15 harvest levels by stand are provided in Appendix H.

### 16 *Inner Zone*

17 Eligibility for harvest in the inner zone was determined by whether the site provided adequate  
 18 shade under WAC 222-30-040 and whether the site had adequate stocking under WAC 222-030-  
 19 022(1)(b). Table 3 summarizes the number of sites meeting eligibility requirements under these  
 20 rules, over time. The greatest regulators of harvest eligibility were shade requirements (retaining  
 21 all shade within 75 feet of the stream in the BTO or meeting nomograph shade requirements).  
 22 Fifty-five sites were located within the BTO while another 12 sites outside of the BTO during this  
 23 study timeframe did not provide adequate shade under WAC 222-30-040. The total number of  
 24 shade-limited sites varied little over the 50-year simulation period. At shade-limited sites, harvest  
 25 in the inner zone was limited to thinning the outer 25 feet of the inner zone, which was  
 26 permissible only along large streams where stocking requirements were met under WAC 222-  
 27 030-022(1)(b). Initially, about half of the shade-limited sites had sufficient stocking to permit  
 28 even this limited amount of harvesting. In contrast, about 30 sites met shade requirements, and  
 29 of these sites, the proportion of sites that met stocking requirements increased from about 50

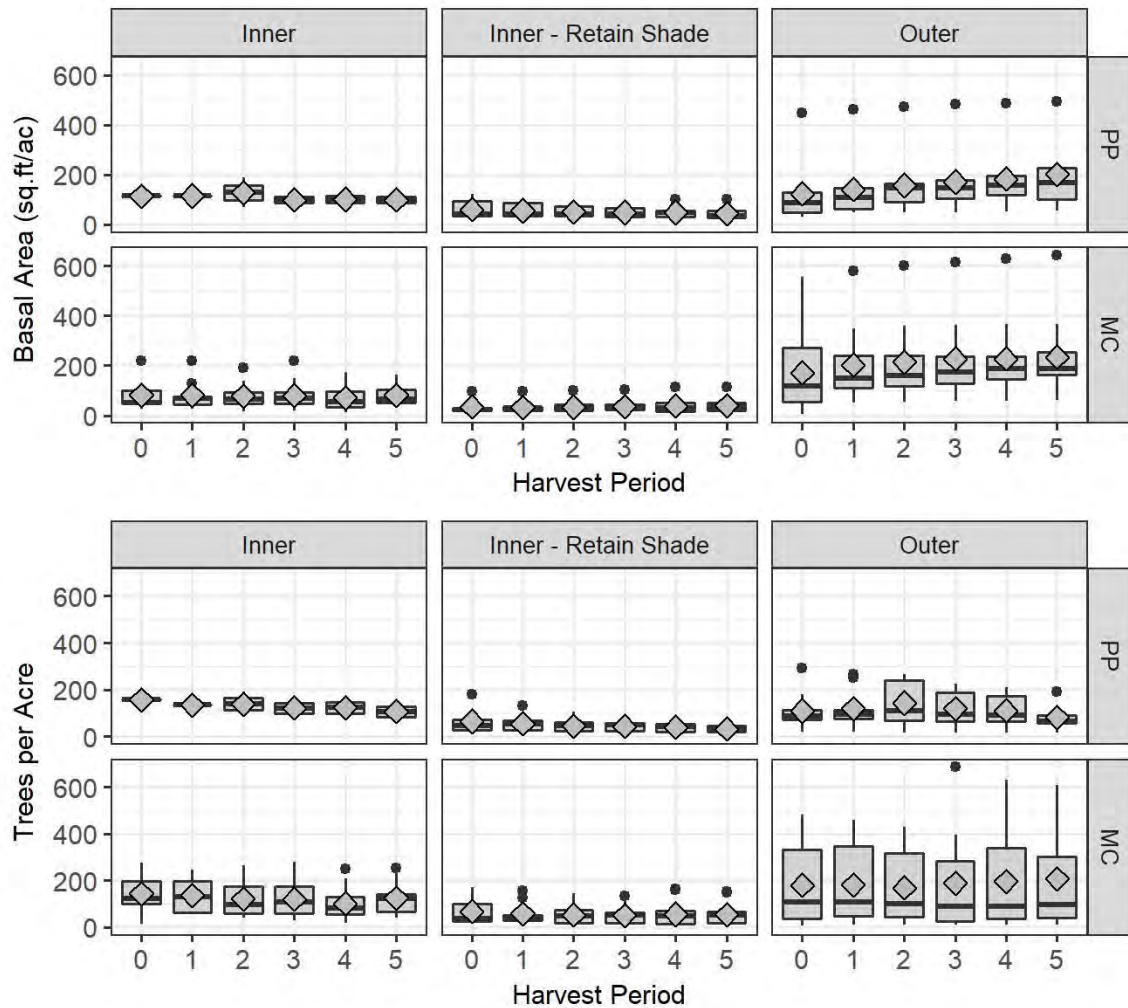


1 percent during year 0 to about 95 percent in year 50. On these sites, thinning could occur  
 2 throughout the inner zone.

3 **Table 3:** Number of EWRAP stands eligible for harvest in the inner riparian management zone meeting  
 4 shade requirements under WAC 222-30-040 and stocking requirements under WAC 222-030-022(1)(b).

		Year 0		Year 10		Year 20		Year 30		Year 40		Year 50	
Meets Shade Requirements	N												
	Y	67	31	68	30	67	31	66	32	65	33	64	34
Meets Stocking Requirements	N	35	16	26	13	21	9	15	6	14	4	10	2
	Y	32	15	42	17	46	22	51	26	51	29	54	32

5  
 6 Basal area per acre and trees per acre removals from inner zones (Figure 18) when only the outer  
 7 25-feet of are harvested on shade-limited sites were significantly less than removals when  
 8 thinning throughout the inner zone on sites where shade was not limiting ( $p < 0.0001$ ). Removals  
 9 from shade-limited sites averaged 43 ft<sup>2</sup>/ac of basal area comprised 50 trees per acre while  
 10 removals when thinning throughout the inner zone averaged 82 ft<sup>2</sup>/ac comprised of 123 trees  
 11 per acre. These estimates were consistent through time with some small non-significant  
 12 differences between potential harvest years. Removals on PP timber habitat type sites were  
 13 removals are slightly higher than on MC sites, although though differences were not significant.



1

2 Figure 18: Potential basal area and trees per acre with dbh >= 6 inches distributions for inner zone, with  
 3 thinning throughout or retain shade treatment, and outer regulatory riparian zones by ponderosa pine  
 4 (PP) and mixed conifer (MC) timber habitat types for each possible harvest. The gray boxes represent the  
 5 25<sup>th</sup> and 75<sup>th</sup> percentiles and the horizontal black lines the median of the data. The vertical lines extend  
 6 to the range of the data or 1.5 time the interquartile range (the range from the 25<sup>th</sup> to the 75<sup>th</sup> percentile).  
 7 Black points are potential outliers. The gray diamonds are the means of the data.

8 **Outer Zone**

9 Because there are no shade restrictions under WAC 222-30-040 and residual stocking targets are  
 10 lower under WAC 222-030-022(1)(c), harvesting in the outer zone removed significantly more  
 11 trees and more basal area per acre (Figure 18) than the inner zone harvest forecasts ( $p < 0.0001$ ).  
 12 Harvests during the initial year removed approximately 188 ft<sup>2</sup>/ac of basal area, comprising  
 13 comprised of 155 trees per acre from PP and MC timber habitat type sites. As stands develop  
 14 over time, trees per acre harvest levels remained consistent but basal area harvest levels  
 15 increased over time as trees grew in size. However, there were no significant differences in trees  
 16 per acre and basal area per acre harvest levels among time periods for either timber habitat type.

## 1 **Trajectory of Managed Stand Conditions**

2 Overall, predicted QMD growth was very stable under post-harvest growing conditions created  
3 by following forest practice rules. With management, stands continued to increase in stand  
4 density, tree size, and tree volume, on average, over the 50-year simulation period. Among sites  
5 where inner zones were harvested, either thinning throughout or only within the outer 25' of the  
6 inner zone along large streams, basal area (Figure 19) periodic annual increment (PAI) averaged  
7 1.4 ft<sup>2</sup>/ac, or 1.1%, per year with significant differences between thinning only the outer 25 feet  
8 and thinning throughout the inner zone ( $p < 0.0001$ ). No significant differences occurred among  
9 timber habitat types or harvest years. Higher residual stocking when retaining all shade within  
10 75 feet of the stream resulted in an average basal area per acre PAI of 1.6 ft<sup>2</sup>/ac, or 1.2%, per  
11 year compared to 1.2 ft<sup>2</sup>/ac, or 1.6%, per year when thinning throughout the inner zone.  
12 Quadratic mean diameter (Figure 19) growth was highly variable, with a mean approaching 0 that  
13 was largely driven by decreases in QMD as small trees grew into the six inches and greater dbh  
14 size class. Significant differences did occur between timber habitat types ( $p = 0.004$ ) and harvest  
15 type ( $p = 0.0003$ ) with small increases in QMD on PP timber habitat type sites or when retaining  
16 all shade within 75' of large stream and small decreases in QMD on MC sites or when thinning  
17 throughout the inner zone was used. Differences in QMD among timber habitat types were  
18 driven by significant differences in trees per acre (Figure 19) PAI among timber habitat types ( $p$   
19 = 0.025) with an average increase of 0.3 trees per acre, or 0.9%, per year on PP sites and an  
20 average increase of 1.0 trees per acre, or 2.3%, per year on MC sites.

21 Among sites with outer zones, harvest basal area per acre and trees per acre increased while  
22 quadratic mean diameter decreased overall (Figure 19). No significant differences occurred  
23 between timber habitat types or harvest years, with the exception of quadratic mean diameter  
24 where there were significant differences between timber habitat types ( $p = 0.007$ ). Outer zone  
25 basal area PAI after harvest averaged 1.5 ft<sup>2</sup>/ac, or 3.0%, per year and trees per acre PAI averaged  
26 2.2 trees per acre, or 10.8%, per year, which were driven by trees growing into the 6 inch and  
27 greater dbh size class. While not statistically significant, differences were seen in trees per acre  
28 PAI between PP and MC timber habitat type sites (2.6 and 1.8 trees per acre per year respectively)  
29 that resulted in QMD PAI of -0.1 and 0.04 inches per year, respectively, as the number of small  
30 trees increased. Although simulations removed a substantial number of small trees during  
31 harvesting in both timber habitat types to reflect logging damage, substantial recruitment of  
32 trees from the seedling and sapling size classes into the 6 inches and greater dbh class still  
33 occurred after treatment in mixed conifer sites. Overall, these trends were heavily weighted by  
34 simulated changes in small trees during the first period after harvest.

35 Stand density as measured by Reinike's Stand Density Index and Curtis' Relative Density (Figure  
36 20), increased through time with growth rates in inner zones that were very stable after harvest  
37 (averaging 2.1 units per year, and 0.3 units per year, respectively). Small but non-significant  
38 differences between timber habitat types and zones when the outer was harvested. Among the  
39 MC timber habitat type, SDI and RD growth rates averaged 2.2 units per year and 0.4 units per  
40 year respectively, while among PP sites averaged 1.9 units per year and 0.3 units per year  
41 respectively. Across sites with outer zones, rates of SDI and RD increase were non-significantly

1 higher than in outer zones than in inner zones, which averaged averaging 2.5 units per year and  
2 0.4 units per year. No significant differences in SDI and RD were detected between timber habitat  
3 types.

4 Board-foot and total cubic-foot standing volumes (Figure 21) increased as stands grew. Across all  
5 sites with inner zone harvest there were significant differences in board-foot and cubic-foot PAI  
6 across timber habitat types ( $p < 0.0001$  and  $p = 0.0004$  for board-foot and cubic-foot,  
7 respectively) and harvest types ( $p < 0.0001$  for both volumes). Volume growth rates were higher  
8 on PP sites, averaging 457 board-feet per acre, or 1.4%, per year and 72 cubic-feet acre, or 1.2%,  
9 per year than on MC sites, averaging 330 board-feet per acre, or 1.4%, per year, and 59 cubic-  
10 feet per acre, or 1.3%, per year. PP sites had fewer instances of extremely high volume PAIs that  
11 may have influenced the average PP volume PAIs.

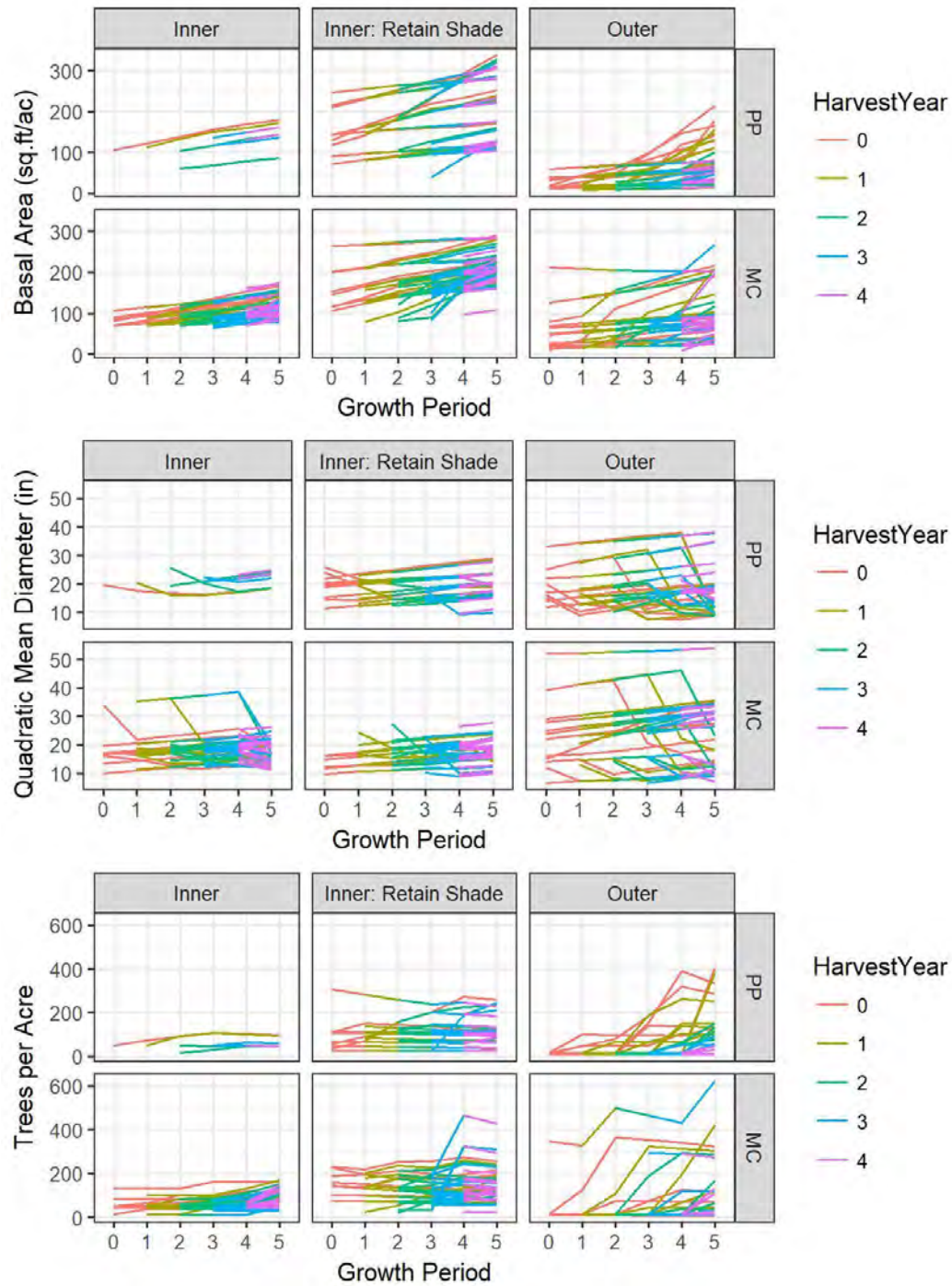
12 Projected decreases in trees per acre (Figure 22) occurred in stands after treatment due to  
13 density-dependent mortality and random background mortality in FVS simulations. Decreases in  
14 TPA did not include mortality from episodic events such as windthrow that could occur post-  
15 harvest. Across all sites where inner zone harvest occurred, basal area per acre mortality  
16 averaged a relatively low rate of 1.2 ft<sup>2</sup>/ac, or 0.7%, per year, with significant differences between  
17 timber habitat types ( $p = 0.003$ ) and inner zone treatment type ( $p < 0.0001$ ). Basal area per acre  
18 mortality on PP sites averaged 1.4 ft<sup>2</sup>/ac, or 0.7%, per year, which was slightly higher than  
19 mortality rate on MC sites, which averaged 1.2 ft<sup>2</sup>/ac, or 0.6%, per year. With the higher retention  
20 requirements when retaining all shade with 75 feet of large steams, basal area mortality  
21 averaged 1.6 ft<sup>2</sup>/ac, or 0.8%. Lower retention requirements when thinning throughout the inner  
22 zone resulted in a lower average mortality rate of 0.6 ft<sup>2</sup>/ac, or 0.5%, per year. Trees per acre  
23 mortality averaged 1.3 trees per acre, or 1.0%, per year with significant differences between  
24 harvest types ( $p < 0.0001$ ) but not between timber habitat types or harvest years. Retaining all  
25 shade within 75 feet of the stream resulted in average trees per acre mortality rate of 1.7 trees  
26 per acre, or 1.1%, per year, while thinning throughout the inner zone reduced mortality to 0.6  
27 trees per acre, or 0.8%, per year. The QMD of mortality trees remained consistent, averaging 15.4  
28 inches, with no significant differences among post-harvest growth years. Across sites with outer  
29 zone harvest basal area mortality averaged 0.4 ft<sup>2</sup>/ac, or 0.7%, per year with small, but  
30 statistically significant ( $p < 0.0001$ ), differences between timber habitat types, 0.4 ft<sup>2</sup>/ac, or 0.8%,  
31 per year and 0.5 ft<sup>2</sup>/ac, or 0.6%, per year for PP and MC sites, respectively, and harvest years ( $p$   
32  $< 0.0001$ ), with average basal area mortality increasing from 0.3 ft<sup>2</sup>/ac, or 0.2%, per if harvest  
33 occurs at year 0, to 0.7 ft<sup>2</sup>/ac, or 1.3%, per year if harvest occurs at year 40. Trees per acre  
34 mortality was also relatively low and consistent averaging 0.6 trees per acre, or 1.0%, per year  
35 with an average QMD of 21.9 inches.

36 Harvesting in the inner and outer zones reduced high and moderate susceptibility ratings in year  
37 50 (Figure 23 through Figure 27 and Appendix G) when thinning throughout the inner zone and  
38 thinning the outer zone with lesser reductions when all shade was retained within 75 feet of the  
39 steam. When all shade was retained within 75 feet of the stream, the distribution of risk ratings  
40 at year 50 among sites was comparable to year 50 when the inner zones developed without  
41 management. Timing of harvest generally had little impact on the number of sites rated as high,  
42 moderate, or low susceptibility. Exceptions to this pattern included western spruce budworm,

1 western pine beetle, and mountain pine beetle (Figure 23 and Figure 24) where harvesting earlier  
2 resulted in less high susceptibility to western spruce budworm, and less moderate susceptibility  
3 to pine beetles. Lower retention levels when thinning throughout the inner zone, which primarily  
4 occurred on MC sites, and thinning in the outer zone resulted in larger proportions of sites rated  
5 as low or moderate susceptibility. This was especially true for Douglas-fir, western pine,  
6 mountain pine, and spruce beetles (Figure 23 and Figure 24). Unlike retaining all shade within 75  
7 feet of the stream, there were differences in ratings for these beetles at year 50 among harvest  
8 years. Harvesting later, such as in years 30 or 40, often resulted in a larger number of sites rated  
9 as low susceptibility, particularly in the outer zone, where reductions related to thinning were  
10 ameliorated by stand growth and development after thinning in earlier years.

11 Similarly, as stands developed with management, predicted changes occurred in mean total  
12 flame length, a surrogate metric for susceptibility to fire-related mortality (Figure 28, and  
13 Appendix G). While highly variable, overall flame length values lengths remained relatively  
14 consistent, averaging 30 feet, with significant differences between timber habitat types ( $p =$   
15  $0.005$ ) and inner zone harvest types ( $p < 0.0001$ ). Average flame lengths among PP sites were  
16 shorter than those on mixed conifer sites at 27 and 31 feet, respectively. Harvesting only the  
17 outer 25 feet of the inner zone resulted in an average total flame length of 41 feet while thinning  
18 throughout the inner zone reduced total flame length to 20 feet. Outer zone total flame lengths  
19 averaged 13 feet after treatment.

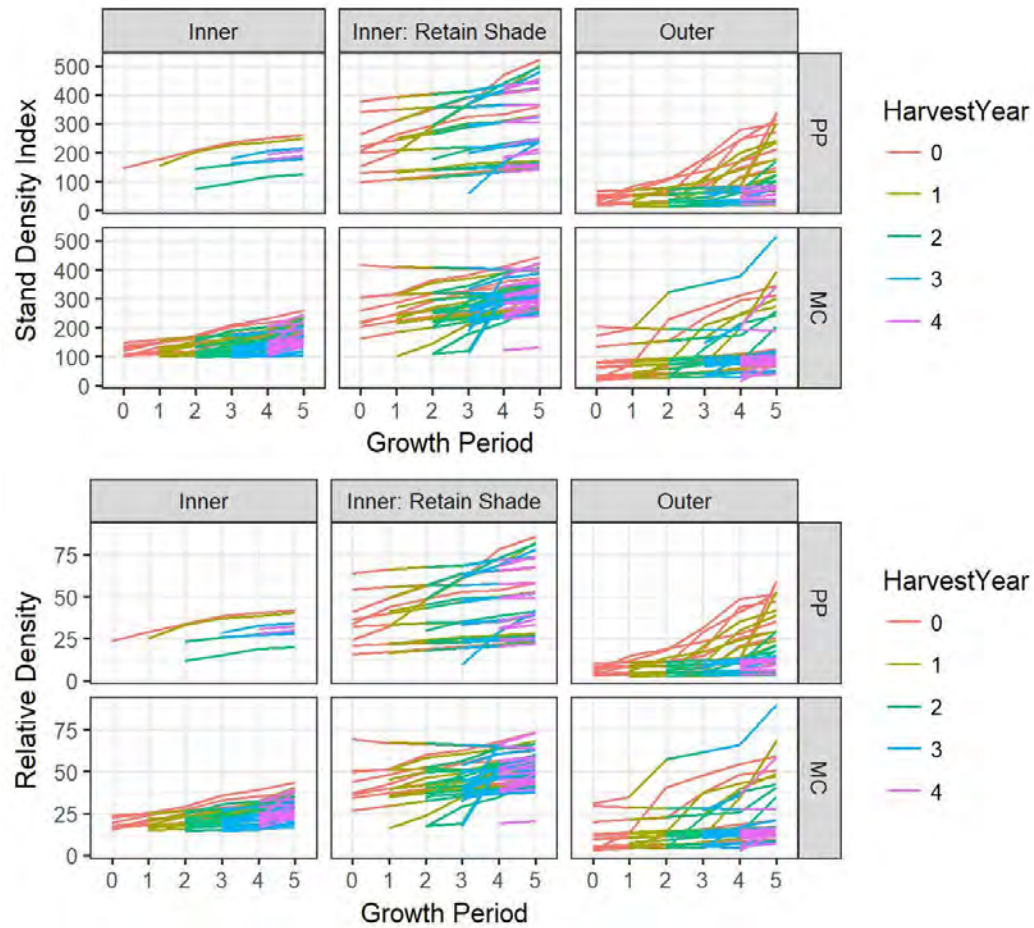
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1

2 Figure 19: Trajectories of basal area per acre, quadratic mean diameter, and trees per acre of trees with  
 3 dbh  $\geq$  6 inches on ponderosa pine (PP) and mixed conifer (MC) timber habitat type sites after thinning  
 4 throughout the inner zone (Inner), thinning only the outer 25' of the inner zone (Inner: Retain Shade), and  
 5 harvesting in the outer zone after harvesting in growth period 0 (red), 1 (yellow), 2 (green), 3 (blue), or 4  
 6 (purple). Trajectories are not shown for stands prior to harvest and trajectories for harvest in later years  
 7 may overlay those from earlier years.



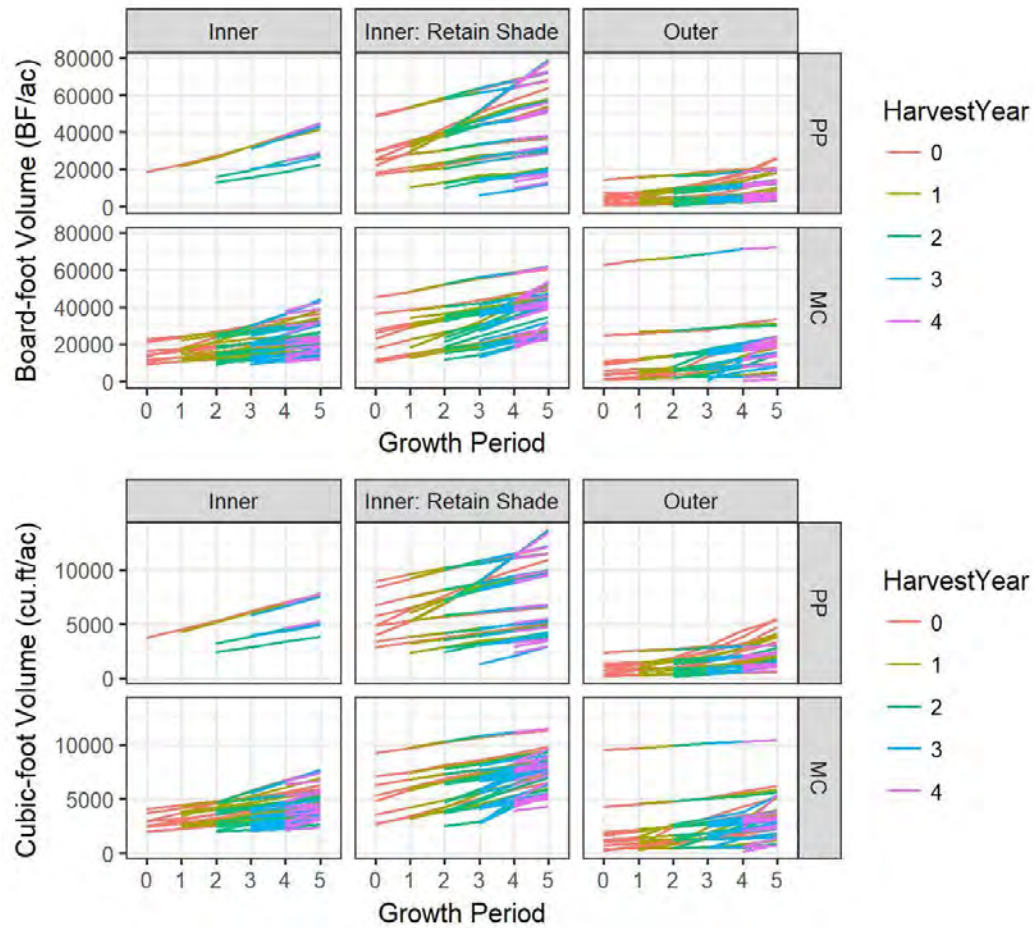


1

2 Figure 20: Trajectories of Reineke’s Stand Density Index and Curtis’ Relative Density for trees with dbh >=  
 3 6 inches on ponderosa pine (PP) and mixed conifer (MC) timber habitat type sites after thinning  
 4 throughout the inner zone (Inner), thinning only the outer 25’ of the inner zone (Inner: Retain Shade), and  
 5 harvesting in the outer zone after harvesting in growth period 0 (red), 1 (yellow), 2 (green), 3 (blue), or 4  
 6 (purple). Trajectories are not shown for stands prior to harvest and trajectories for harvest in later years  
 7 may overlay those from earlier years.

8

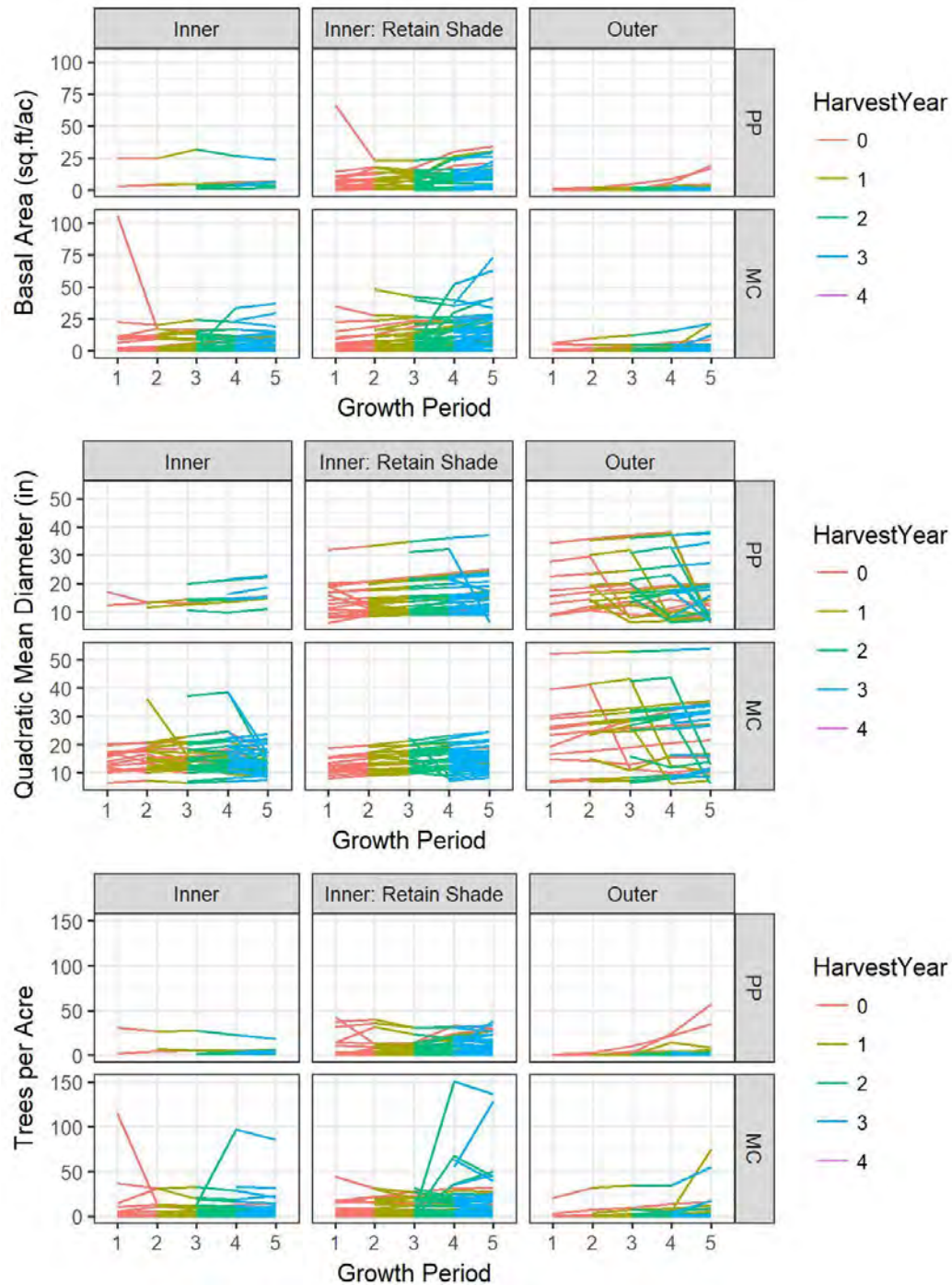




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2 Figure 21: Trajectories board-foot volume and cubic-foot volume per acre of trees with dbh  $\geq$  6 inches  
 3 on ponderosa pine (PP) and mixed conifer (MC) timber habitat type sites after thinning throughout the  
 4 inner zone (Inner), thinning only the outer 25' of the inner zone (Inner: Retain Shade), and harvesting in  
 5 the outer zone after harvesting in growth period 0 (red), 1 (yellow), 2 (green), 3 (blue), or 4 (purple).  
 6 Trajectories are not shown for stands prior to harvest and trajectories for harvest in later years may  
 7 overlay those from earlier years.

8

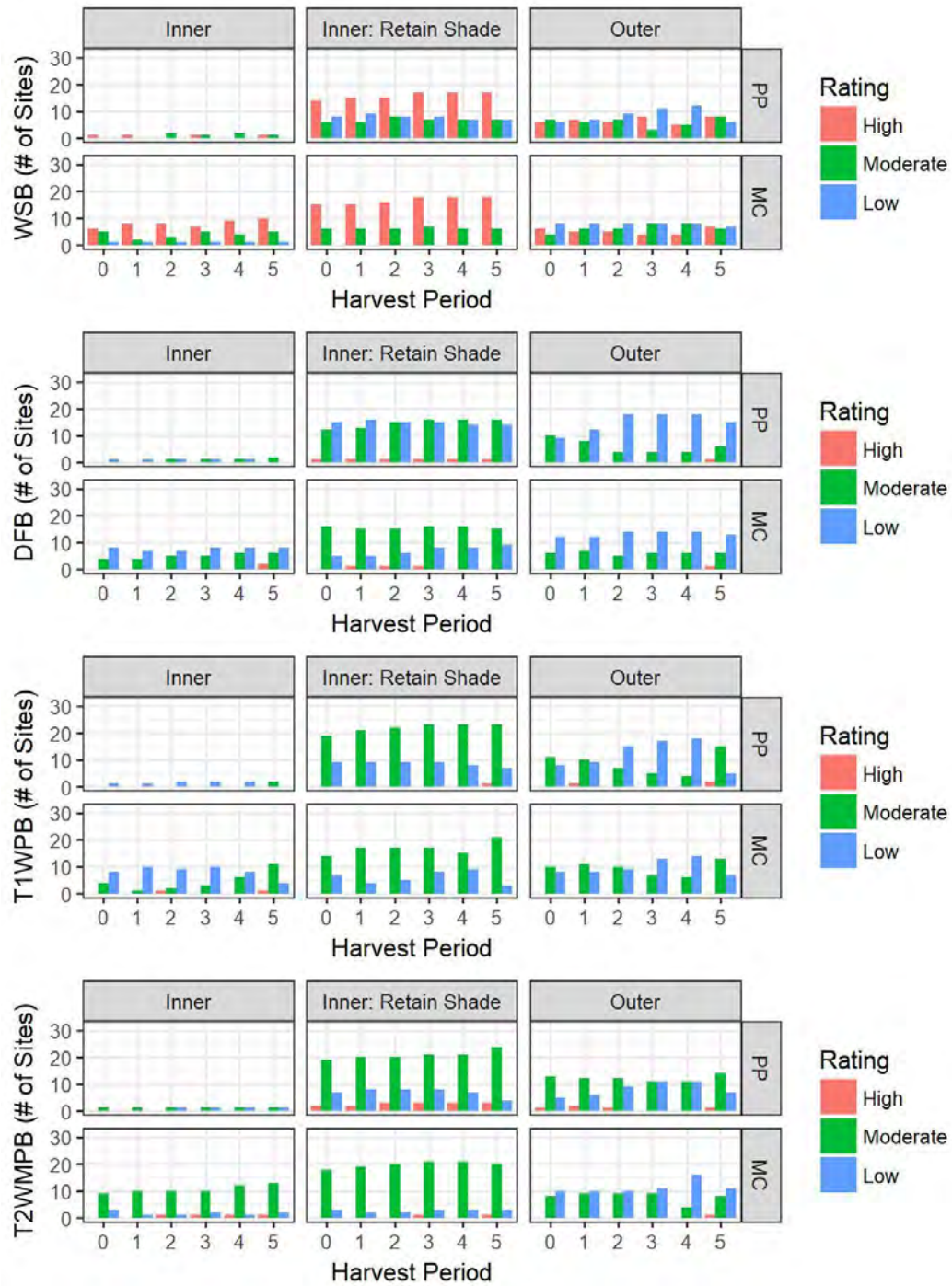


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2 Figure 22: Trajectories of basal area per acre, quadratic mean diameter, and trees per acre mortality of  
 3 trees with dbh  $\geq$  6 inches on ponderosa pine (PP) and mixed conifer (MC) timber habitat type sites after  
 4 thinning throughout the inner zone (Inner), thinning only the outer 25' of the inner zone (Inner: Retain  
 5 Shade), and harvesting in the outer zone after harvesting in growth period 0 (red), 1 (yellow), 2 (green), 3  
 6 (blue), or 4 (purple). Trajectories are not shown for stands prior to harvest and trajectories for harvest in  
 7 later years may overlay those from earlier years.

8

9

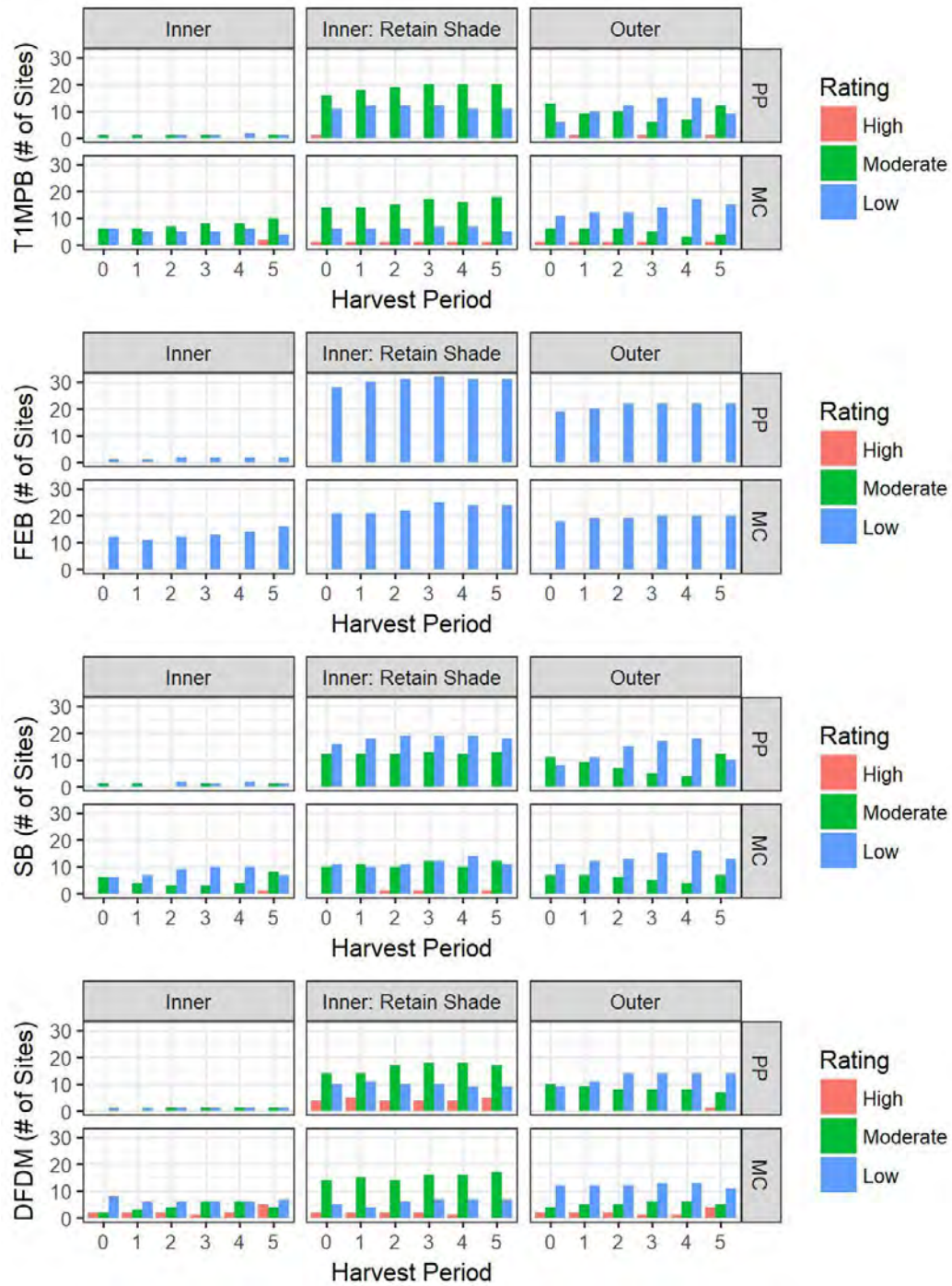


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2 Figure 23: Counts of sites with high, moderate, and low insect susceptibility ratings in (PP) and mixed  
 3 conifer (MC) timber habitat type sites after thinning throughout the inner zone (Inner), thinning only the  
 4 outer 25' of the inner zone (Inner: Retain Shade), and harvesting in the outer zone sites at the end of a  
 5 50-year simulation by harvest year. Definitions of insect codes are in Table 2.

6



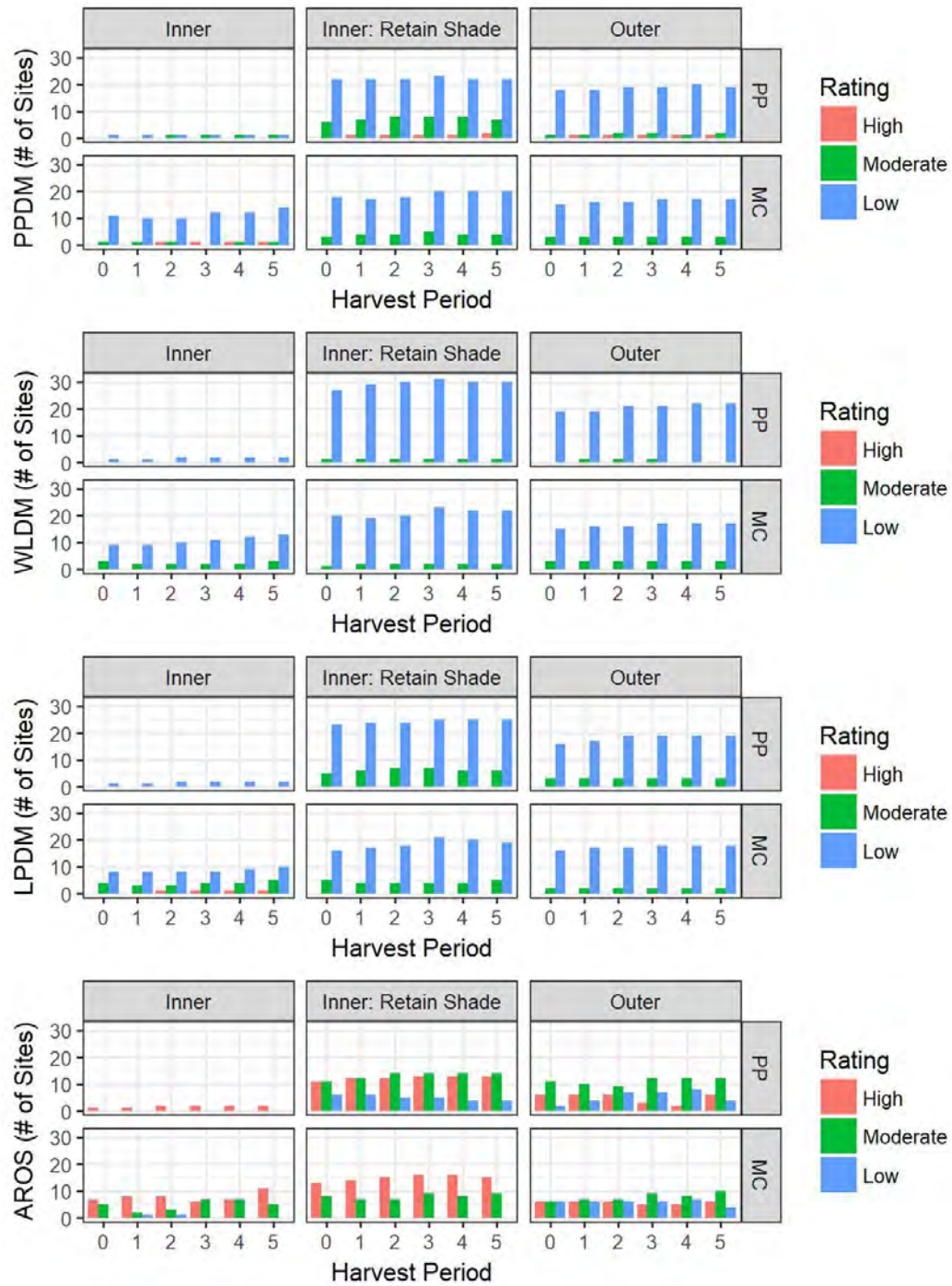


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2 Figure 24: Counts of sites with high, moderate, and low insect and disease susceptibility ratings (PP) and  
 3 mixed conifer (MC) timber habitat type sites after thinning throughout the inner zone (Inner), thinning  
 4 only the outer 25' of the inner zone (Inner: Retain Shade), and harvesting in the outer zone at the end of  
 5 a 50-year simulation by harvest year. Definitions of insect codes are in Table 2.

6

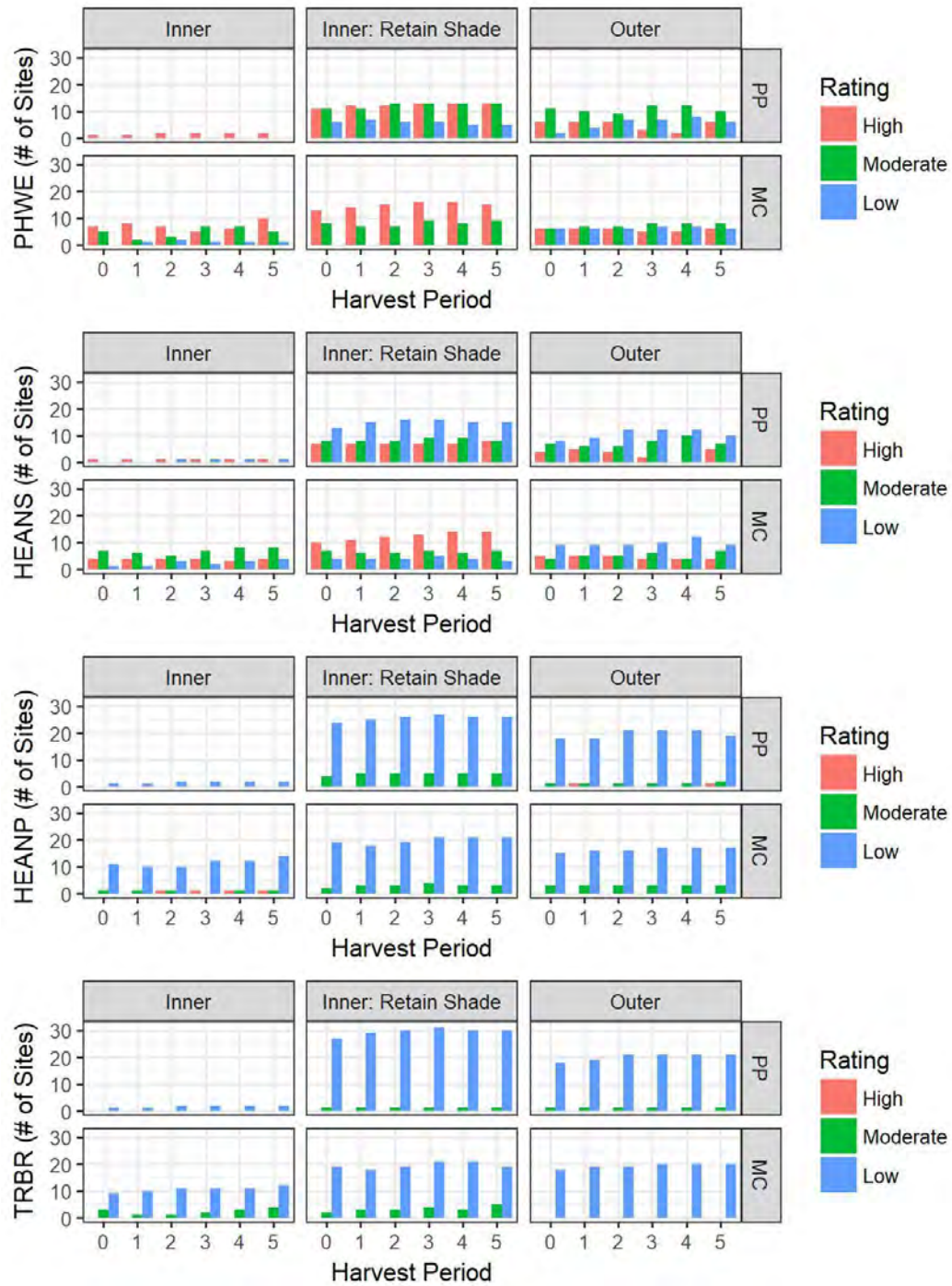
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1

2 Figure 25: Counts of sites with high, moderate, and low disease susceptibility ratings in (PP) and mixed  
 3 conifer (MC) timber habitat type sites after thinning throughout the inner zone (Inner), thinning only the  
 4 outer 25' of the inner zone (Inner: Retain Shade), and harvesting in the outer zone at the end of a 50-year  
 5 simulation by harvest year. Definitions of insect codes are in Table 2.

6

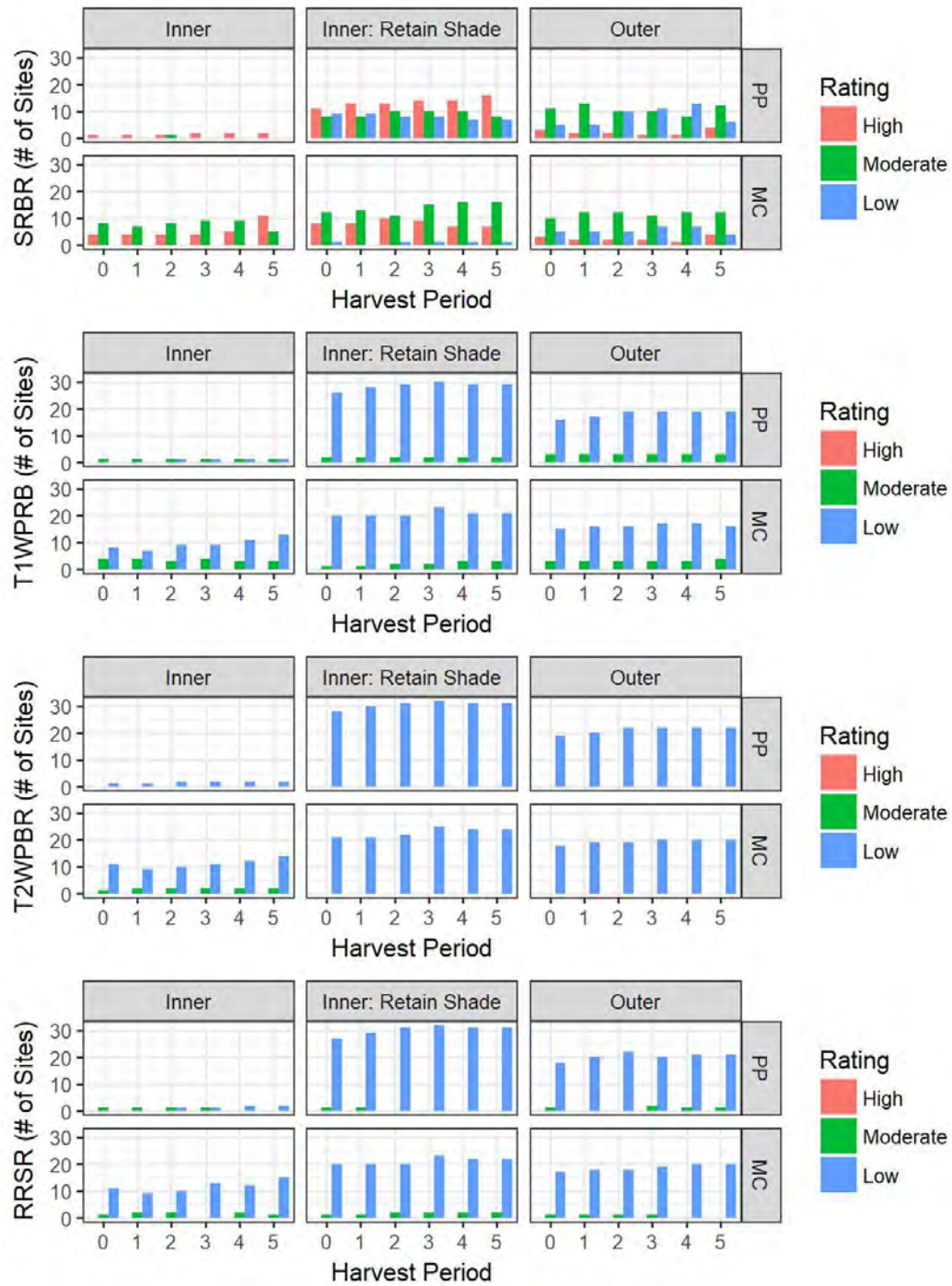


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2 Figure 26 Counts of sites with high, moderate, and low disease susceptibility ratings in inner zones, with  
 3 thinning throughout or retain shade treatment, and outer regulatory riparian zones for ponderosa pine  
 4 (PP) and mixed conifer (MC) sites at the end of a 50-year simulation by harvest year. Definitions of insect  
 5 codes are in Table 2.

6

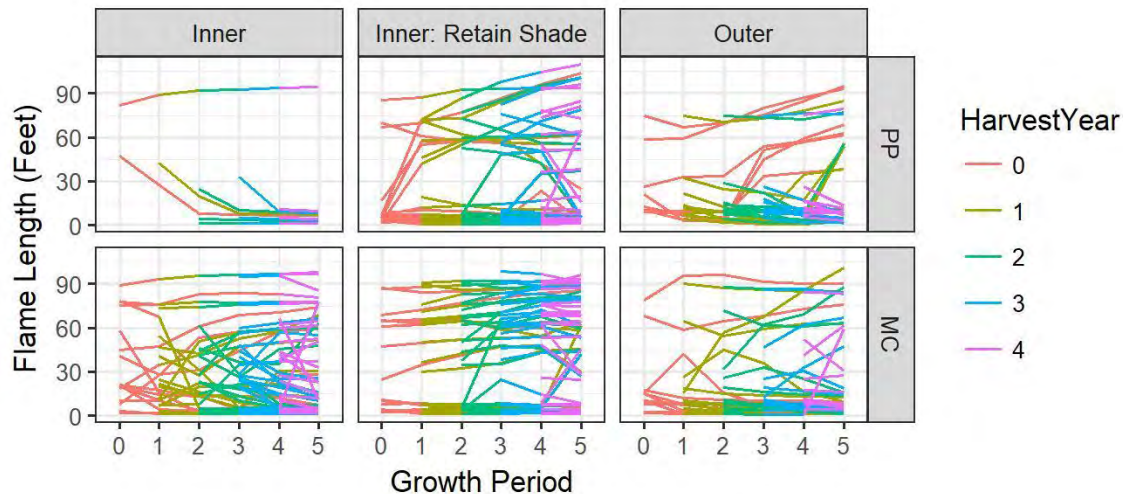




1

2 Figure 27 Counts of sites with high, moderate, and low disease susceptibility ratings in inner zones, with  
 3 thinning throughout or retain shade treatment, and outer regulatory riparian zones for ponderosa pine  
 4 (PP) and mixed conifer (MC) sites at the end of a 50-year simulation by harvest year. Definitions of insect  
 5 codes are in Table 2.





1  
 2 Figure 28: Trajectories of total flame length on ponderosa pine (PP) and mixed conifer (MC) timber habitat  
 3 type sites after thinning throughout the inner zone (Inner), thinning only the outer 25' of the inner zone  
 4 (Inner: Retain Shade), and harvesting in the outer zone after harvesting in growth period 0 (red), 1  
 5 (yellow), 2 (green), 3 (blue), or 4 (purple). Trajectories are not shown for stands prior to harvest and  
 6 trajectories for harvest in later years may overlay those from earlier years.

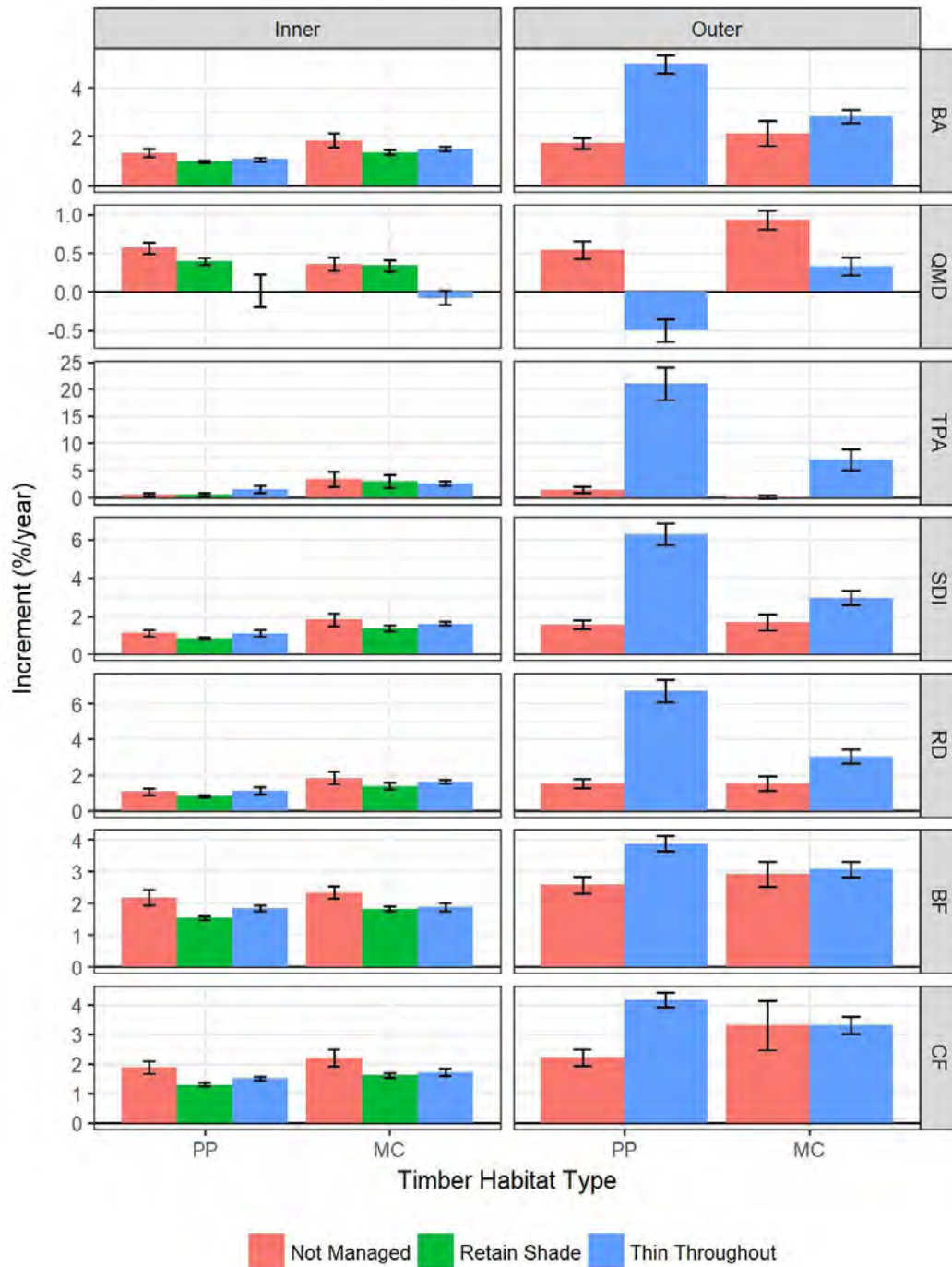
## 7 Unmanaged/Managed Stand Comparisons

8 Comparisons of unmanaged and managed conditions at sites that received a treatment that  
 9 either retained shade, or thinned throughout the inner zone and/or outer zone, during the 50-  
 10 year FVS simulation provided a rigorous analytical platform to examine the effects of various  
 11 prescriptions on a suite of riparian forest conditions. Mean percent PAI growth rates were  
 12 comparable for all metrics other than QMD, with or without treatment in the inner zone (Figure  
 13 29). With treatment, basal area per acre, SDI, RD, board-foot volume per acre, and cubic-foot  
 14 volume per acre were slightly reduced relative to untreated sites regardless of timber habitat  
 15 type. This was a counterintuitive result, since growth is typically expected to increase after  
 16 thinning due to increased availability of growing space. Growth rates increased after treatment  
 17 in outer zones for all metrics except QMD. Increases were more pronounced at PP sites than at  
 18 MC sites. For example, average basal area per acre growth rate at PP sites increased from almost  
 19 2% per year without management to nearly 5% per year after management. Similarly, growth  
 20 increased from about 2% per year without management to about 3% per year after management  
 21 at MC sites. QMD growth rates decreased in inner and outer zones at PP sites after thinning.  
 22 These trends appeared to be related to increased post-treatment increases TPA as small trees  
 23 grew into the  $\geq 6$ -inch dbh class.

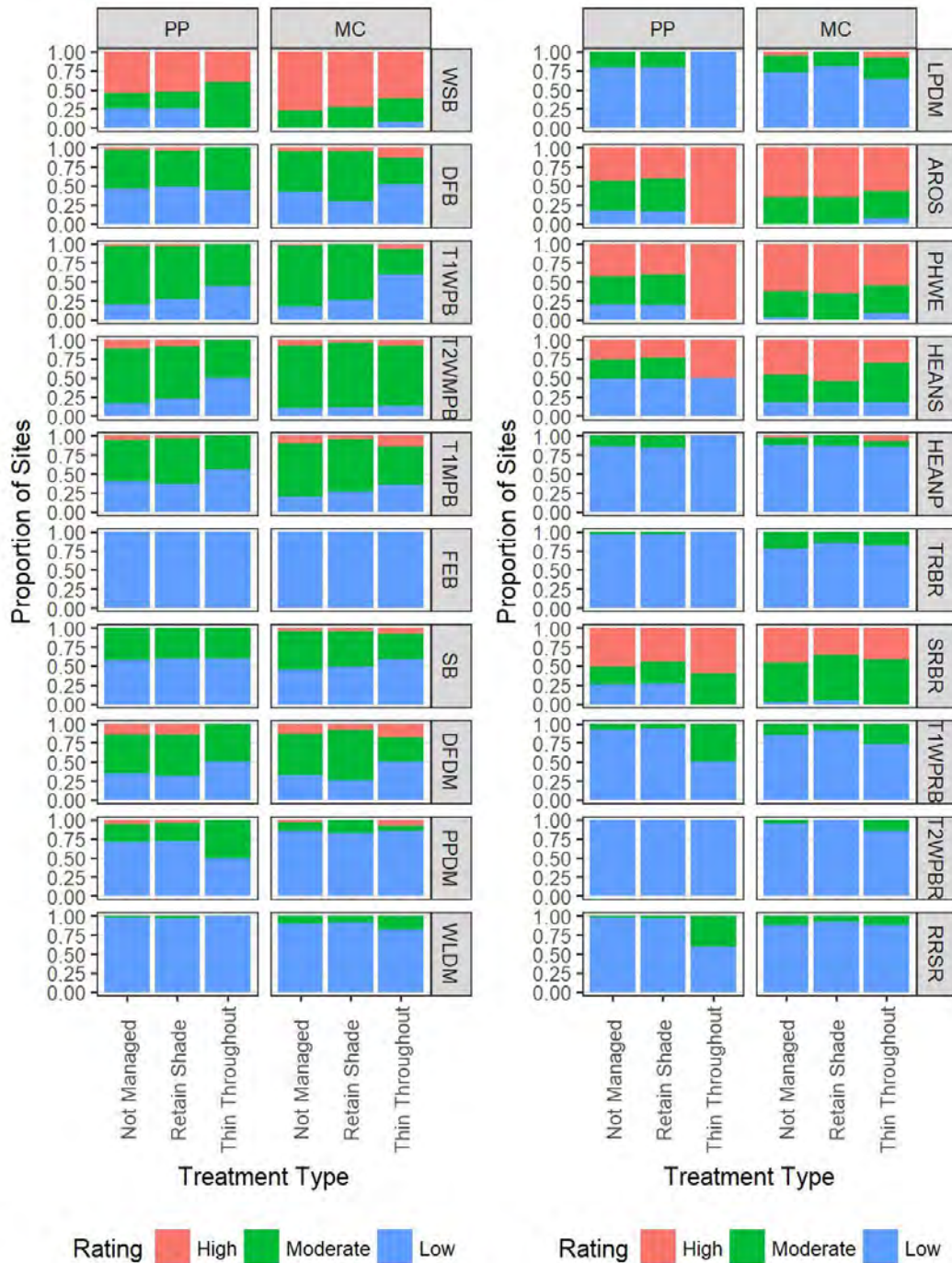
24 Treatment had a predicted effect on susceptibility to insect and disease as per the risk rating  
 25 system described by Hessburg et al. (1999). Among sites eligible for harvest, predicted decreases  
 26 in moderate and high susceptibility to insect and disease in response to even low levels of  
 27 management were observed (Figure 30 and Figure 31). Compared to unmanaged stands,  
 28 management in stands eligible for harvest yield reduced proportions of high and moderate  
 29 susceptibility to many evaluated insects or pathogens at year 50. The magnitude of treatment

1 effects was generally greater in reducing susceptibility to insects (western spruce budworm,  
2 western pine beetle, and mountain pine beetle) in both inner and outer zones on PP sites than  
3 on MC sites. However, limited effects on reducing susceptibility to root diseases (*Armillaria*,  
4 *Phellinus*, *Annosum*, and *Schweinitzii*) were also observed but generally for harvested outer  
5 zones. Similar reductions were predicted among stands eligible for harvest in the MC timber  
6 habitat type. However, the magnitude of these reductions on MC sites was not as dramatic as  
7 seen among PP sites, where responses were also greater than those observed among the  
8 harvested versus unmanaged sites. These results were generally consistent with the different  
9 treatment effects on growth rates between inner and outer zones.

10 Among sites eligible for harvest, treatment also had a predicted positive effect on fire behavior,  
11 including decreased flame length values in response to thinning throughout the inner and outer  
12 zones (Figure 32). Low removal levels when retaining shade within 75 feet of the stream resulted  
13 in very similar flame lengths to those without management. However, thinning tended to reduce  
14 average total flame lengths. This was especially true in inner zones were thinned throughout  
15 where average total flame length was reduced from approximately about 37 feet to about 12  
16 feet at PP sites and from about 50 feet to about 20 feet on MC sites. Smaller reductions in total  
17 flame length were also seen at outer zone sites. Overall, simulated riparian forest management  
18 prescriptions generally improved conditions for growth and reduced the levels of risk associated  
19 with insects, pathogens, and fire.



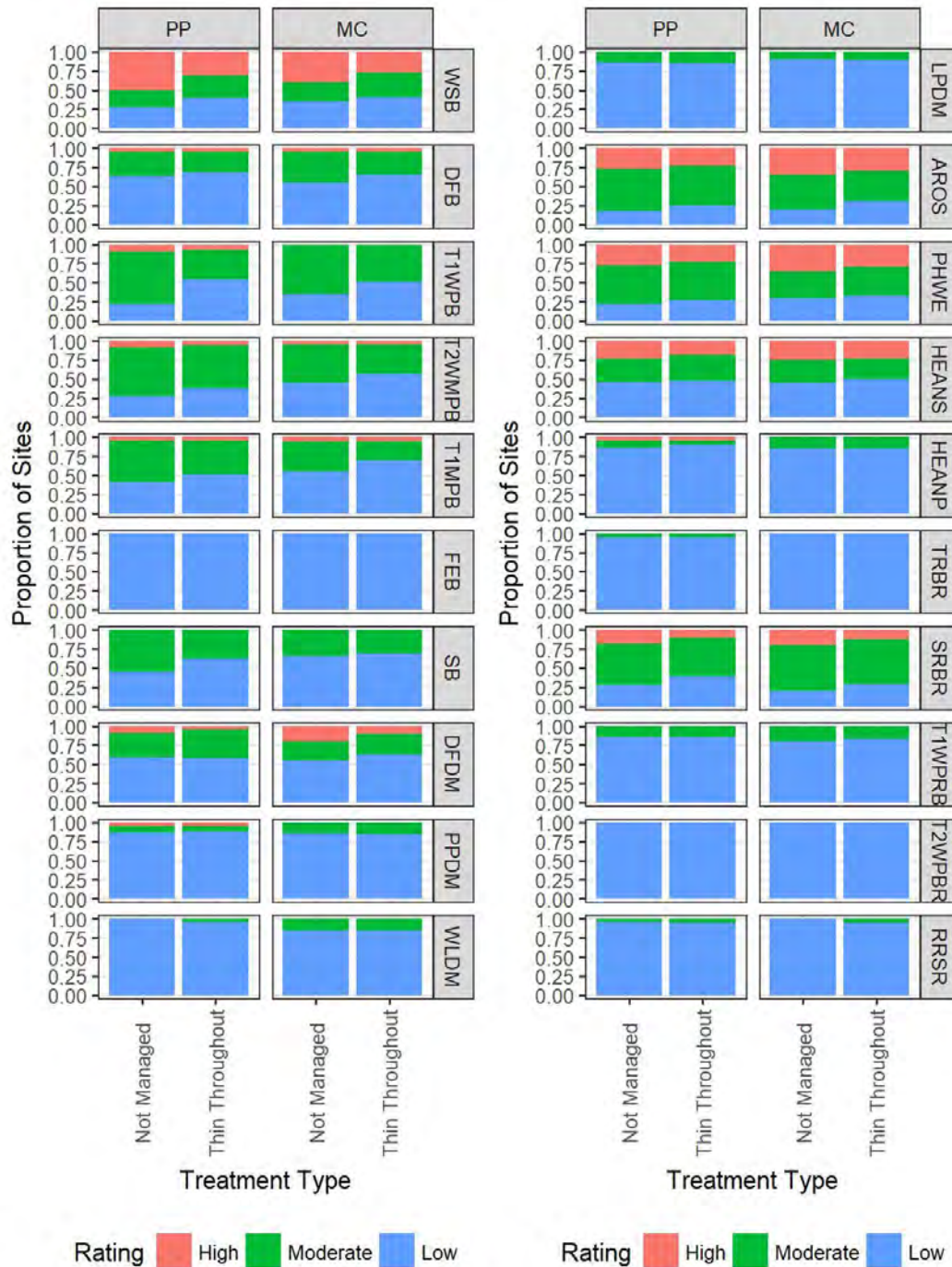
1  
 2 Figure 29: Comparisons of mean percent periodic annual increment of basal area per acre (BA), quadratic  
 3 mean diameter (QMD), trees per acre (TPA), Reineke’s Stand Density Index (SDI), Curtis’ Relative Density  
 4 (RD), board-foot volume per acre (BF) and total cubic-foot volume per acre (CF) of trees with dbh ≥ 6  
 5 inches for inner and outer regulatory zone stands that were not managed, inner zones where shade is  
 6 retained, and inner and outer zones that were thinned throughout. Error bars represent one standard  
 7 error.



1

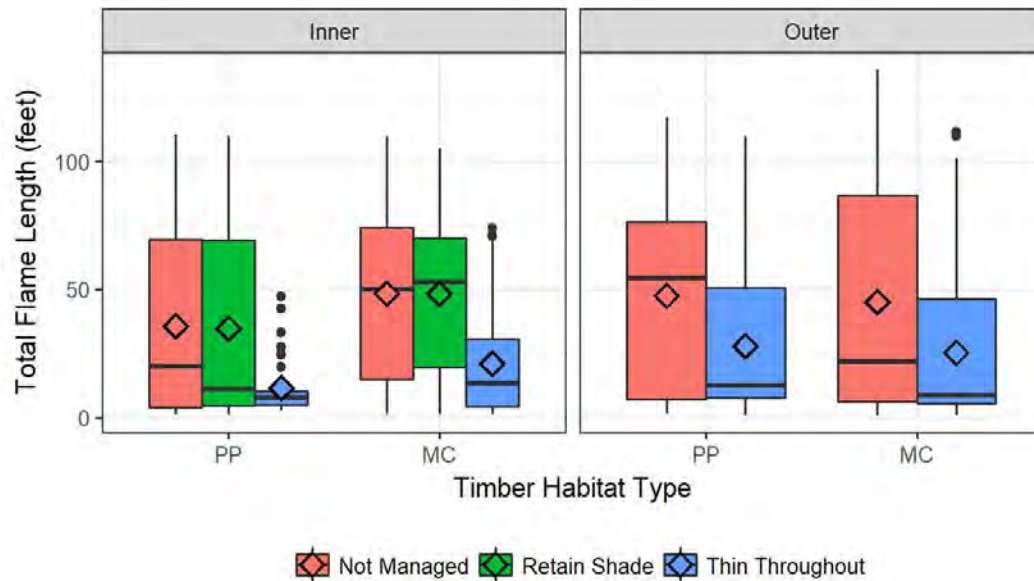
2 Figure 30: Comparisons of the proportion of inner regulatory zone stands in high, moderate, or low insect  
 3 and disease susceptibility that were not managed shade was retained, or were thinned throughout. See  
 4 Table 2 for insect and disease code definitions.





1

2 Figure 31: Comparisons of the proportion of outer regulatory zone stands in high, moderate, or low insect  
 3 and disease susceptibility that were not managed or were thinned throughout. See Table 2 for insect and  
 4 disease code definitions.



1  
 2 Figure 32: Comparisons total flame length distributions for sites that were not managed (red), inner zones  
 3 where shade is retained (green), and inner and outer zones that were thinned throughout (blue) for  
 4 ponderosa pine (PP) and mixed conifer (MC) timber habitat types. The boxes represent the 25<sup>th</sup> and 75<sup>th</sup>  
 5 percentiles and the horizontal black lines the median of the data. The vertical lines extend to the range  
 6 of the data or 1.5 time the interquartile range (the range from the 25<sup>th</sup> to the 75<sup>th</sup> percentile). Black points  
 7 are potential outliers. The diamonds are the means of the data.

## 8 DISCUSSION

9 This project evaluated current stand conditions and simulated stand conditions, with and without  
 10 various management treatments. Current stand conditions were based on a survey conducted  
 11 by Bonoff et al. (2008) for lands in eastern Washington covered by the Forest and Fish Report  
 12 (FFR). Projected stand conditions were based on projections using the Forest Vegetation  
 13 Simulator (Keyser and Dixon 2008a, Keyser and Dixon 2008b, Keyser 2008) to simulate forest  
 14 growth and stand dynamics over a 50-year timeframe with 10-year time steps. Additional  
 15 simulations were implemented to evaluate shade levels using methods following those in the  
 16 Washington Forest Practices Watershed Analysis Manual Riparian Function Module, and to  
 17 evaluate susceptibility of stands to insects and disease per Hessburg et al. (1999). Management  
 18 was simulated in FVS by applying Washington Forest Practice rules WAC 222-30-040 for the area  
 19 within 75 feet of bankfull width, WAC 222-030-022(1)(b) for inner zones, and WAC 222-030-  
 20 022(1)(c) for outer zones. Results of this study were based on a combination of: 1) field evidence  
 21 in the form of currently available riparian datasets; 2) the application of the rules for managing  
 22 stands to the minimum permitted under the WACs; and 3) statistical models designed to predict  
 23 outcomes under managed and unmanaged conditions.

24 In the following sections, we discuss the extent to which each of these factors may have  
 25 influenced our study results and the interpretation of these results when applied to our study  
 26 objectives. We also synthesize the results to discuss the potential effects of harvest under

1 Washington Forest Practice rules, as predicted by FVS. We then discuss the attributes and  
2 limitations of the riparian datasets and statistical models available for use in this study, and  
3 conclude with a short section regarding management implications of our study results.

#### 4 **Riparian Data Sets**

5 The EWRAP Phase 1 data set characterized riparian stand conditions in eastern Washington  
6 forests. Using data from 98 of the 103 ERWAP sites (five sites were removed because they lacked  
7 trees or were high elevation), we analyzed a broad range of conditions and geographic areas and  
8 evaluated the utility of the available data and sample sizes used in this study. Sites predominantly  
9 occurred within ponderosa pine and mixed conifer timber habitat types, with little  
10 representation of high elevation timber habitat type. This was largely expected due to the  
11 relatively small amount of managed state and private lands above 5,000 feet elevation. Within  
12 the PP and MC timber habitat types, there may have been some inconsistency with the true  
13 ecological types within each elevation zone. In other words, some PP ecological plant associations  
14 and habitat types may have existed in the MC timber habitat types and vice versa. Overall, the  
15 models employed in this EMEP study did not distinguish between WAC-based timber habitat  
16 types. Rather, they used information collected at the site level, including ecological plant  
17 associations and habitat types, to evaluate growth, insect and disease susceptibility, and fire  
18 behavior irrespective of timber habitat type. Therefore, the typing inconsistency did not  
19 influence raw results. However, in summarizing by timber habitat type, this inconsistency could  
20 introduce variability to summary results. Based on best professional judgement, the authors  
21 assumed that timber habitat types adequately captured ecological differences at a coarse  
22 meaningful scale, ensuring that reported differences and trends were ecologically informative  
23 and meaningful. However, these strata were not as representative as they could be if ecological  
24 plant associations or habitat types were used and data were limited to pursue any further  
25 analytical refinements. From a statistical standpoint, increased variability may bias test statistics  
26 such that statistical differences and treatment effect magnitudes were understated. That is, there  
27 may have been more statistically significant differences due to ecological reasons than were  
28 reported here, which may have been muted by ecological overlap between timber habitat types.

29 Despite the range of conditions analyzed and the sample sizes available for this study, we found  
30 current average stocking levels to be remarkably low. Average stocking in this study was lower  
31 than published maximum stocking levels for the region (e.g., Powell 1999), and lower than  
32 maximum stocking assumed by FVS. Existing stocking averaged 40% of the maximum stocking  
33 assumed by FVS with a range from 5 – 97% depending on habitat type. While explanations for  
34 this outcome within the data set were limited, available information on stand age indicated a  
35 relatively high percentage of young stands in this study. Perhaps stands were not fully developed  
36 towards maximum stocking levels. We also had information on the sampling methods. It was  
37 possible that the stand inventory sampling methods in the EWRAP, modified horizontal line  
38 sampling, which is known to be unbiased, may have contributed to unintended inaccuracies. In a  
39 comparison of several sampling methods Marquardt et al. (2010) found horizontal line sampling  
40 to be the least accurate and the most variable when estimating variability in basal area per  
41 hectare and trees per hectare that involved over 500 sample simulations. Schreuder et al. (1987)



1 suggested that longer transects with horizontal line sampling would lead to more accurate  
2 samples. Compiling the ERWAP data into regulatory zones, as was done in this study, effectively  
3 created a shorter transect length, which could be expected reduced sample accuracy, especially  
4 in the narrower core and outer zones. This may explain the large outliers seen in the data. We  
5 also believe there was the potential for undersampling small trees using this method, thereby  
6 potentially lowering resulting tree count estimates. Horizontal line sampling selects trees with a  
7 probability that is proportional to tree size (Husch et al. 2003) whereby small trees are less likely  
8 to be sampled than large trees. If small trees were not uniformly distributed in the riparian zones,  
9 the single transect and lower sampling probability of small trees could have underestimated small  
10 tree density, which would have been better sampled using fixed area plot methods. Higher  
11 potential variability resulting from the horizontal line sampling and data compilation methods  
12 may have influenced statistical tests and obscured meaningful differences that could have been  
13 better detected or more accurately quantified by alternative methods. Would it be so systematic  
14 as to influence overall results? Probably not in aggregate. There could be other reasons not  
15 explained by the data. For instance, Bonoff et al. (2008) speculated about the effect of past  
16 harvest on EWRAP sites. Stand densities in the core and inner zones tend to be higher than the  
17 outer zone. Is this an ecological effect or a management effect? Information did not exist in the  
18 survey to test this. Overall, whereas study objectives were addressed by the results, conditions  
19 and trends reported in this study were tempered by these concerns. One key implication was  
20 that the employed methods may have underrepresented the number of stands eligible for  
21 harvest if smaller diameter trees were undersampled and not included in shade and basal area  
22 per acre calculations when determining harvest eligibility.

23 The employed survey method also had potential implications for determining stands eligible for  
24 harvest based on adequate shade under the rules. Specifically, because in-stream shade data  
25 were not collected by Bonoff et al. (2008), we had to simulate shade using survey information  
26 about near-stream trees. While we used a recognized method for determining stream shade, the  
27 method was designed for field use, not simulation. Of concern is that, when using the variable-  
28 width line method to survey trees, not all trees along the line were sampled. Only “in” trees were  
29 sampled, trees large enough and/or close enough to the transect centerline to have their dbh  
30 equal to or greater than the angle of the angle gage. Therefore, many “out” trees along the  
31 sampling transect may not have been represented and included in the analysis. This is important  
32 when calculating instream shade. In some instances, we may have been using “in” trees that were  
33 farther from the stream and therefore provided a greater view-to-sky under our simulation  
34 method than if we had used “out” trees, including smaller trees closer to the stream that may  
35 have been undersampled. These “out” trees can still provide riparian function, including shade,  
36 and likely would have been sensed by any one of several shade measurement techniques in the  
37 field. This effect could have been exacerbated by the fact that only one transect per site was  
38 surveyed in the EWRAP data. Alternatively, more than one point would be sampled under WAC  
39 222-30-040(2), which would lead to a more representative estimate of shade. Overall, our  
40 concern was that if the number and the coverage of near-stream trees were under-represented,  
41 the extent of shade provided by the riparian stand may also be underrepresented, and, hence,  
42 the number of stands providing adequate shade under WAC 222-30-040(2) may have also been  
43 underestimated. This, along with the potentially low stocking levels represented by the EWRAP

1 data may have led to an underestimation of the number of inner zones eligible for harvest under  
2 the shade and stocking provisions of the WACs.

3 However, we believe that the effects of stocking and shade simulation on inner zone eligibility  
4 may have been muted in our analysis by: 1) the number of sites in the BTO, and 2) the practical  
5 uncertainty one faces in determining levels of shade adequate for protecting stream  
6 temperature. About two-thirds of the EWRAP sites occurred within the BTO. Within these sites,  
7 concerns about stocking and shade simulation were not serious issues. In simulation, harvesting  
8 only occurred in a limited number of instances along large streams in the outer 25 feet of the  
9 inner zone. Legally, there are no “no-harvest” zones under the BTO stipulations of WAC 222-30-  
10 040. However, it is very difficult to defensibly discern which trees that can be harvested within  
11 75 feet of the stream while retaining shade. Available shade models did not support such tree-  
12 level precision on input or on output. However, even with tree-level precision, detailed stem and  
13 canopy mapping would be needed to reliably evaluate inter-tree interactions as light is diffused  
14 through the entire riparian canopy (Teply and McGreer 2013). Addressing such issues can also be  
15 cost-prohibitive and this discernment is difficult in the field. Anecdotally, the authors understand  
16 that attempts to identify individual trees that could be removed within BTO buffers is difficult to  
17 accomplish technically and can be contentious. Consequently, this practice was not employed.  
18 Nonetheless, while this practice was not legally prohibited, the authors felt that the effects on  
19 harvest eligibility were reasonably represented in this study.

20 Among sites outside the BTO, our concern about shade determinations became more of an issue.  
21 About 40% of sites outside the BTO could not be thinned throughout the inner zone due to  
22 inadequate shade under WAC 222-30-040(2). This prohibition occurred over all time periods and  
23 across a range of stocking levels. In contrast, of those 60 percent of stands outside the BTO that  
24 were determined to have adequate shade by our simulation method, about one-third had  
25 insufficient stocking under WAC 222-30-022(1)(b) to be harvested at the onset of simulation.

26 These issues raise several important questions. For example, how can a poorly stocked stand  
27 have adequate shade while otherwise well stocked stands have inadequate shade? And, will  
28 shade ever improve in the future so as to permit harvest in previously ineligible stands? As  
29 analysts, we try to answer these questions by simulating shade using the EWRAP data as  
30 described above. The effect of these concerns was amplified given the importance of near-stream  
31 trees. Teply and McGreer (2013) demonstrated this in central Idaho. If there are limited near-  
32 stream trees there will be limited instream shade provided currently and in the future until  
33 adequate shade trees are recruited. In this EMEP analysis, we were left with the simplifying  
34 assumption that currently insufficient shade may predict inadequate shade in the future. Because  
35 FVS does not support spatially explicit tree recruitment, uncertainty exists regarding estimated  
36 harvest eligibility given currently available data and tools.

37 As practitioners, we recognize that the lack of information in the EWRAP data and uncertainty  
38 inherent in current shade models resembles the lack of certainty one can have in the field when  
39 determining adequate shade under the rules. In simulation, adequate shade is determined only  
40 when we have certainty about the presence of near-stream trees and the shade they provide. In  
41 practice, we speculate that a similar level of certainty is sought by foresters when implementing

1 the forest practice rules. Only when shade requirements are clearly met could inner zone  
2 harvesting be entertained. Therefore, in that regard, the simulations may in fact be  
3 representative of practical determinations of harvest eligibility in the field.

## 4 **Statistical Models**

5 Although three different FVS variants were used in this study, we did not expect major  
6 differences in estimated effects due to the variants. These variants all used similar sets of  
7 predictive equations and were parameterized at the local level (i.e. national forest administrative  
8 unit). Therefore, as expected, the shape of the response was generally the same across variants,  
9 but the magnitude could vary from forest to forest. While no published comprehensive validation  
10 studies of the variants used in our study were found, we believe growth response was largely  
11 influenced by the large-tree diameter growth model in FVS. Factors in this model that vary  
12 between unmanaged and managed situations included stand density, individual tree size, and  
13 individual tree live crown ratio. Stand density negatively affects tree growth (i.e., higher density,  
14 lower growth rates), while tree and crown size positively affect tree growth (i.e., larger trees and  
15 crowns, higher growth rates). Under the WACs, outer zones can be thinned to lower residual  
16 stocking levels than inner zones. Therefore, the large tree diameter growth model reflected this  
17 by simulating higher growth rates in the outer zone than in the inner zone. Furthermore, because  
18 simulated harvest occurs from below (i.e., thinning smaller diameter trees first until the stocking  
19 target is met), there would be a greater number of small diameter, suppressed trees left in inner  
20 zone harvests than in outer zone harvests. WAC 222-030-022(1)(c) specifies the retention of 10  
21 to 15 dominant or codominant trees to be retained in the outer zone—the largest, healthiest  
22 trees in the stand. Therefore, the large tree diameter growth model of FVS reflected this by  
23 simulating higher growth rates in the outer zone compared to the inner zone. Though simulated,  
24 this stand growth dynamic reflects well-recognized principles (e.g., Oliver and Larson 1996; Smith  
25 *et al.* 1997; Tappeiner *et al.* 2015). Therefore, this predicted response to treatment represents a  
26 plausible outcome. However, results remain modeled simulation outcomes, and as such, should  
27 be regarded as inferential and as hypotheses to be tested by field experimentation.

28 FVS predictions are based on statistical models developed using data sets with stand conditions  
29 very similar to those encountered in the EWRAP data set. EWRAP stands are generally not  
30 excessively overstocked (see earlier concerns) and do not develop to stand densities beyond the  
31 predictive ability of FVS, with the exception of some outliers. While proprietary growth and yield  
32 models do exist (e.g., FPS) and may provide more accurate predictions, such models were not  
33 available to us for this project. Therefore, predictions in should be regarded as the best publicly  
34 available science.

35 Looking forward, a major concern that could affect the reliability of FVS predictions include  
36 current and future effects of climate change. While a climate extension to FVS does exist and  
37 could be used to model the effects of climate change, such work was outside the scope of this  
38 study. Generally, we would expect FVS output from the climate extension application to show  
39 reduced growth rates for species susceptible to climate change. For instance, decreased growth  
40 and increased mortality may likely be predicted for grand fir as conditions become warmer and  
41 drier. Compared to results presented in this report, growth rates predicted under climate change

1 could be lower, mortality could be higher, susceptibility to insects and disease could be higher,  
2 and fire risk and severity could be higher. An important addition consequence could be that fewer  
3 stands would develop to become eligible for future harvest under the current rules or they could  
4 become eligible later than predicted by analyses reported here. However, for such stands to  
5 currently be eligible for harvest, we would still expect similar responses to management, albeit  
6 at slightly lower levels. That is, managed stands would be more resilient to the effects of climate  
7 change than unmanaged stands.

8 Concerns have also been expressed regarding the use of FVS, FVS-FFE, and the insect and disease  
9 ratings within “strips” created by riparian stands at the scale of regulatory zones. Regarding FVS,  
10 this individual tree growth model is parameterized using data from plots that would fit within  
11 regulatory zones. Therefore, we would expect it to be responsive to conditions that would vary  
12 among zones. However, at the spatial margins of each zone, there would be increasing influence  
13 of stand conditions in adjacent zones. While this was not captured by the modeling approach,  
14 reported differences may be overstated. This would be of greatest concern for trees along the  
15 outer margin of the outer zone adjacent to an upland clearcut. In such cases, FVS projections  
16 would likely underestimate individual tree growth. As stated earlier, FVS is not a spatially explicit  
17 model, making emulation of these spatial differences problematic. Nonetheless, overall, the  
18 authors felt that results reflect meaningful differences among regulatory zones and acknowledge  
19 the presence of some inherent error. A similar disclaimer should be stated for use of FVS-FFE.  
20 However, given the limited overall effect of treatment on fire behavior, the practical implications  
21 are limited. Finally, similar concerns have been expressed regarding insect and disease ratings,  
22 which are calculated using expert models meant to be applied at a landscape scale. However,  
23 they are heavily influenced by stand-level parameters, which are reasonably expressed at a  
24 regulatory zone level. The authors feel that whereas the results may not reliably predict the  
25 changes of an actual insect or disease infestation (which may occur on a larger scale than a  
26 riparian stand), they do meaningfully represent differences in the susceptibility of trees within  
27 each regulatory zone. Overall, concerns regarding scale of application are valid, but are of  
28 insufficient magnitude to invalidate our results for the purposes of this study.

## 29 **Management Implications**

30 Differences between modeled stand conditions with and without management for the sites that  
31 were eligible for harvest highlighted the range and direction of potential effects of management  
32 actions in riparian zones. Among inner zones, growth rates were predicted to decrease with  
33 management, which seems counterintuitive since management should reduce competition and  
34 thereby increase growth. Inner zone prescriptions appeared to remove trees without reducing  
35 competition sufficiently to increase growth. However, growth among outer zones increased after  
36 management. Retention levels in outer zones were much lower and appeared to reduce  
37 competition to the point where growth rates of the leave trees increased. In stating this, it is  
38 important to remember that these attributes of simulated growth responses, absent random  
39 effects that could be encountered in field trials. Nonetheless, our simulations indicated that by  
40 harvesting to lower levels, growth could be increased.

1 The models also suggested that by harvesting to lower levels in PP sites, greater reductions in  
2 susceptibility to insects and disease could occur. The observed muted response to harvest effects  
3 among MC sites was likely due to the susceptibility to insects and disease generally being lower  
4 than in PP sites. This may have been because observed stocking relative to maximum stocking  
5 that can be supported in these timber habitat types. It was also possible that PP sites were closer  
6 to their biological maximum, hence more stressed and more susceptible to negative effects of  
7 insects and disease.

8 Fire behavior estimated in the FVS-FFE model were heavily driven by fuel loads including standing  
9 dead wood, down wood, fine fuels, live surface fuels, and canopy fuels. Collectively, these factors  
10 influence fire behavior and all factors typically require substantial reductions to produce a  
11 meaningful change in fire behavior (i.e., a change from crown fire to surface fire). Treatments  
12 under the forest practices rules have mixed effects on these factors. Reductions in flame length  
13 are greatest when thinning throughout the inner and the outer management zones where there  
14 would be the greatest reduction in tree density. By thinning trees from below and removing  
15 understory trees to simulate logging damage, connectivity between the ground and canopy may  
16 be reduced resulting in lower potential flame lengths. Nevertheless, predicted flame lengths that  
17 would result under management remain tall and would likely not limit wildfire behavior to  
18 surface fires. Given these average flame lengths, there remains a high probability that crown fires  
19 could occur even at managed sites. However, the reduced fire behavior would improve the  
20 chances of successful fire suppression.

## 21 **CONCLUSIONS**

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22 The Eastside Modeling Evaluation Project (EMEP) was built on the previously completed Phase 1  
23 of the Eastern Washington Riparian Assessment Project (EWRAP) with the objectives of  
24 describing current conditions in eastern Washington riparian management zones, as defined in  
25 WAC 222-030. The eligibility of riparian management zones was based on current and modeled  
26 conditions based on criteria in WAC 222-030 and WAC 222-040, and changes in riparian  
27 management zones with growth, and where possible, management with prescriptions available  
28 in WAC 222-030. Data collected for the EWRAP provided a spatially distributed data set  
29 representative of riparian management zone conditions for eastern Washington lands covered  
30 by the Forest and Fish Report (FFR) used as the basis for simulation modeling to meet the EMEP  
31 study objectives. These data were highly variable, as may be expected for an area as diverse as  
32 eastern Washington but may have also included effects due to the sampling methods used. This  
33 variability would not have systematically biased the results but may have obscured differences  
34 among conditions among timber habitat types, riparian management zones, and prescriptions.

35 Overall, as riparian zone growth was simulated with FVS for 50-years with and without  
36 management, tree size and stand density increased (Study Objective 5), along with some  
37 increases in insect and disease susceptibility and potential fire severity without management and  
38 decreases with management (Study Objective 4). Across the EWRAP sites, many inner riparian  
39 management zones were not eligible for harvest primarily because they were located within the  
40 BTO or lacked sufficient shade to allow management treatments, which was consistent

1 throughout management simulations (Study Objective 1). When inner zones could be managed,  
2 either thinning throughout the zone or only thinning the outer 25 feet along larger streams in the  
3 BTO or where shade was deficient, management with available prescriptions had minimal effects  
4 on tree growth and minimal reductions in insect and disease susceptibility (Study Objective 2,  
5 Study Objective 5). Management in outer zones, which removed more trees, increased tree  
6 growth and reduced insect and disease susceptibility, and potential wildfire severity (Study  
7 Objective 2, Study Objective 5). Higher levels of harvesting could result in forest growth and  
8 health benefits. However, potential benefits of harvesting at higher levels in riparian  
9 management zones would need to be balanced with potential negative impacts on ecological  
10 functions and processes in riparian habitats and overall aquatic system health and protection.

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